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PUBLIC HEALTH SERVICE
CENTERS FOR DISEASE CONTROL AND PREVENTION
NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH

convenes the

ADVISORY BOARD ON
RADIATION AND WORKER HEALTH

VOLUME II

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Advisory Board on Radiation and Worker Health held
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P R O C E E D I N G S

8:29 a.m.

1
2
3 **DR. ZIEMER:** Good morning, everyone. I want
4 to call us back to order for our second day of
5 our fifth meeting.

6 I think that everybody I see was probably
7 here yesterday. If there is anyone who was not
8 here yesterday, I'd like to ask you to please
9 register in the log book back on the table. I
10 have just one other announcement at this time for
11 the members of the Advisory Board, and that is if
12 you have more materials than you wish to carry
13 aboard the plane and want those shipped to you,
14 please let Cori know and she'll make arrangements
15 with you to ship whatever materials you want her
16 to -- within limits, I suppose, but anyway --

17 **UNIDENTIFIED:** If you're not shipping
18 antiques -

19 (Laughter)

20 **DR. ZIEMER:** Right, antiques that you've
21 bought.

22 We have a full session this morning. We're
23 pleased to have several speakers here that will
24 be addressing the IREP risk models, the
25 uncertainty analysis, and the radiation

1 effectiveness factors. Those speakers are Dr.
2 Owen Hoffman, Brian Thomas, and David Kocher.
3 These three gentlemen are with SENES Oak Ridge,
4 and I might tell you that that particular group
5 originally worked with NCI and had a contract, I
6 believe, with NCI to update the 1985 models; and
7 then more recently then has had a contract with
8 NIOSH to make the NCI-IREP adapted to the NIOSH
9 approach. So they've been very heavily involved
10 in the risk models, the uncertainty analysis, and
11 radiation effectiveness factors.

12 So we're going to begin with Dr. Owen
13 Hoffman, and then that'll be followed by a
14 presentation by Brian Thomas, and then
15 presentation by David Kocher. We've set aside
16 two hours for these three presentations. There
17 will be time during each of those, I think, for
18 some discussion, even though we have a separate
19 discussion period later.

20 Now one thing I want to mention to you that
21 -- and Owen has already suggested that we do this
22 -- and that is that if there are certain
23 questions that he feels might be better answered
24 by others who are not here, and more specifically
25 by Dr. Land, we will in a sense collect those

1 questions. Dr. Land is standing by at his office
2 and will join us, if needed, by conference call
3 during the discussion period. So if questions
4 are identified that either you wish to direct to
5 Dr. Land or that Owen or his colleagues believe
6 would be best answered by Dr. Land, we will set
7 those questions aside until the 10:45 discussion
8 period, at which time Dr. Land will be available
9 to join us by conference call or speaker phone, I
10 guess.

11 So with that, Owen, we'll let you kick it
12 off, and then your other colleagues can join you
13 as needed along the way. We appreciate your
14 being here.

15 **DR. HOFFMAN:** I think with all the meetings
16 I've attended and all the times I've had to do
17 this, that this would be automatic. It's a
18 pleasure to be invited to address you this
19 morning. We've been involved for a period of
20 perhaps three years in adapting the Interactive
21 RadioEpidemiological Program for calculating
22 probability of causation. And as Paul Ziemer
23 mentioned we first started this under contract
24 with the National Cancer Institute, and most
25 recently have had a contract to make this program

1 available over the web for NIOSH in facilitating
2 their implementation of worker's compensation
3 legislation.

4 When I was asked by Jim Neton to come here,
5 the issue at hand was can we increase the
6 transparency of IREP? Evidently at your last
7 meeting there was quite a bit of conversation
8 from around the table and from the audience that
9 the web version appeared to be somewhat like a
10 black box, and that IREP wasn't as transparent as
11 it could be. Well, our objective today before
12 you is to try to make things as transparent as
13 possible, and we are prepared to answer any
14 question that you have. If you'd like to see
15 what changes would be made in the final result as
16 the result of changing input assumptions, we'll
17 do that. We've got the source code with us, and
18 so we're prepared to give you complete insight
19 into this code.

20 Those of us from SENES Oak Ridge really had
21 involvement with the code itself. The decisions
22 about the risk coefficients, the actual models to
23 be used in transferring the risk from Japanese to
24 the U.S. population have been the responsibility
25 of the scientists working with the National

1 Cancer Institute.

2 The estimation of the probability that past
3 exposure to radiation caused a diagnosed cancer
4 is primarily the product of three simple factors:
5 quantifying the organ-specific exposure,
6 translating that exposure into risk, and
7 accounting for uncertainty in these two steps
8 that then is put into the mathematical
9 transformation that accounts for a probability of
10 causation, whereby probability of causation is
11 simply the risk from radiation divided by the
12 risk from radiation plus the risk from all other
13 sources.

14 Probability of causation is sometimes
15 referred to as assigned share. Assigned share is
16 the fraction of disease in a heterogenous
17 population that would not have occurred in the
18 absence of that exposure for all individuals of
19 the same exposure category, such as dose, gender,
20 age at exposure, age at diagnosis, time between
21 exposure and onset of disease, ethnic background,
22 et cetera. Assigned share is a conceptually
23 measurable quantity. You can measure it.
24 Probability of causation for an individual is not
25 measurable. An individual's either going to get

1 disease from exposure or he's not going to get
2 disease. For an individual, probability of
3 causation is simply the weight of evidence that
4 the disease could have been caused by that
5 exposure. Assigned share, however, is a
6 attribute of a population and is a measurable
7 quantity.

8 The basic calculation of probability of
9 causation in the Interactive RadioEpidemiological
10 Program is simply the ratio of excess relative
11 risk divided by excess relative risk plus one.
12 The quantity excess relative risk plus one is
13 known in epidemiological circles as the relative
14 risk, so excess relative risk divided by relative
15 risk equals probability of causation.

16 The excess relative risk is a product of risk
17 coefficient, excess relative risk per unit dose
18 at sievert times the dose. And it is the
19 uncertainty in the risk coefficient times the
20 uncertainty in dose that gives us the uncertainty
21 in the excess relative risk. So you see that the
22 uncertainty in probability of causation is just a
23 function of the uncertainty in the calculated
24 excess relative risk.

25 The program IREP is probably the most

1 extensive use of full quantitative uncertainty
2 analysis and risk assessment to date, so it's a
3 major step forward in how we calculate the risk
4 from radiation -- in fact, how we calculate the
5 risk from any type of hazardous substance.

6 Uncertainty is considered using probability
7 distributions, and probability distributions are
8 assigned to the organ equivalent dose. This must
9 be defined by those responsible for doing the
10 dose reconstruction. The original relative
11 excess risk per unit dose is also considered as a
12 probability distribution, but what goes into this
13 is the original statistical uncertainty in the
14 dose response as defined by age at time of
15 exposure, gender, attained age at the time of
16 onset of the disease, and numerous other factors.

17 But there's also bias or uncertain bias that
18 is accounted for due to the random systematic
19 errors associated with the original dosimetry
20 that was incorporated in the analysis of the
21 atomic bomb survivors. Well, this accounts for
22 the fact that -- what is it -- BS-86 dosimetry is
23 subject to update, and what kind of uncertainties
24 would be introduced as a result of that impending
25 update.

1 Uncertainty is also assigned to the selection
2 of different mathematical models used to transfer
3 the observed risk in the Japanese population to a
4 member of the U.S. population, and this primarily
5 accounts for differences in background incidence
6 rates and differences between an additive, a
7 multiplicative, and/or any combination of
8 additive and multiplicative models for
9 transferring risk from one population to another.

10 David Kocher is here to talk about one of the
11 areas where there's been a major improvement in
12 the way we look at quantification of radiation
13 risk, and that is the assignment of probability
14 distributions to account for the uncertainty in
15 the radiation effectiveness of exposure to
16 radiation types other than high energy gamma
17 rays. Why high energy gamma rays? It's because
18 that's what the Japanese survivor data is
19 primarily based on. And now we're looking at
20 very low energy gammas like X-rays or low energy
21 betas like tritium, alpha particles or various
22 energies of neutrons, we will have probability
23 distributions assigned to those. And as David
24 will mention, these probability distributions
25 don't necessarily overlap with the default

1 assumptions recommended by national committees
2 that recommend values for radiation protection
3 purposes.

4 One of the areas that I know has been a
5 subject of interest among your committee is what
6 do we do about extrapolation from information
7 from the Japanese survivors to conditions where
8 individuals have been exposed at low doses and at
9 low dose rates. Low dose rates mean chronic
10 exposures, where there are several exposures in
11 sequence over a number of years.

12 Well, this is accounted for as what's called
13 a DDREF. That just means a dose and dose-rate
14 effectiveness factor. It's using the denominator
15 of the equation, so the higher the value of the
16 DDREF or dose and dose-rate effectiveness factor,
17 the lower is the adjustment of risk. The DDREF
18 is used for both acute and chronic exposures to
19 low LET radiation. But for acute exposure it
20 only comes in when the exposures are below
21 something that ranges between two and 20
22 centisieverts. As you will see, there is a small
23 possibility accounted for for an inverse dose
24 rate effect for both low and high linear energy
25 transfer radiation. This means that there is a

1 possibility accounted for that the DDREF may be
2 superlinear or less than one.

3 Now the probability distributions used in
4 IREP mostly reflect uncertainty that accounts for
5 our subjective states of knowledge, as opposed to
6 variability associated with an experimental
7 design or repetitive observations. This is
8 important to keep in mind. The probability
9 distributions that describe stochastic
10 variability from random observations in an
11 experiment, these distributions must obey the
12 laws of nature. Normal distributions, lognormal
13 distributions are typically the most common that
14 come out of such experiments.

15 State of knowledge distributions can be any
16 shape necessary to represent the space within
17 which the true but unknown value is likely to
18 occur. And in IREP you'll see that there are a
19 whole variety of distribution functions that are
20 used to express our state of knowledge. Some are
21 discrete, with weights given at specific values.
22 Some are continuous -- normal, lognormal, uniform
23 distributions, triangular, trapezoidal. And many
24 are hybrids of various distributions to reflect
25 the impact of alternative datasets. It's the

1 most, I would say, sophisticated use of combining
2 various sets that contribute to our state of
3 knowledge to represent this within a state of
4 knowledge probability distribution.

5 To give you an example, here is the current
6 distribution used in IREP for the dose and dose-
7 rate effectiveness factor for solid tumors,
8 except for breast and thyroid. And you can see
9 that the primary weight is given to values
10 between 1.0 and 3. A value of 1.0 means that
11 there is complete linearity between health
12 effects seen at high acute exposures and that
13 that occurs at low doses and low dose rates. The
14 higher the value of the DDREF, the more there is
15 an adjustment downward in risk, the more the risk
16 is suppressed; which means that exposure to
17 chronic doses will give a lower risk. Notice
18 that there is about a 80 percent probability for
19 values between one and two; about a 15 percent
20 probability for values at three and/or greater; a
21 five percent probability for values less than
22 one; and a 25 percent probability for values at
23 one or less.

24 Now if we look at breast and thyroid, almost
25 the same but not quite. There's increased weight

1 of evidence for linearity. Still the bulk of the
2 distribution is between 1 and 3; a small
3 probability out at 4.0; and about the same
4 probability, five percent, for values less than
5 1. The reason for this is the increased evidence
6 for these two organs that radiogenic cancer is
7 linear.

8 Now some of you asked about, well, how does
9 this whole thing work, and how does Monte Carlo
10 simulation affect the final outcome? What
11 happens is that we have the probability of
12 causation model. This is the Interactive
13 RadioEpidemiological Program. This is a
14 mathematical model that translates dose and
15 disease into probability of causation. All of
16 the uncertain inputs are expressed as a variety
17 of probability distributions. One value at
18 random is selected from each distribution to
19 produce a randomized outcome. This is repeated
20 over and over until there are a large number of
21 possible outcomes that are tabulated, and from
22 this we can get a central estimate, and in this
23 case a 95 percent confidence interval.

24 For the purposes of adjudication of claims,
25 the Veterans Administration and NIOSH and the

1 Department of Labor -- actually it's in the --
2 the acronym, I can't pronounce it -- it's in the
3 law that the upper 99th percentile of this
4 population of numbers will be used for decision-
5 making and the adjudication of claims. And the
6 reason why such an extreme value is used is to
7 give the benefit of the doubt to those who have
8 been exposed. This is not a decision we have
9 made. This is a decision that's was made
10 external to the effort that we have put into
11 quantifying uncertainty.

12 In fact, I read the minutes of your last
13 meeting, and in those minutes there is numerous
14 discussions about all the decisions that have
15 been made within IREP to be claimant-friendly.
16 We have made not a single one. Not a single
17 assumption that we have made that has been
18 intentionally made to be claimant-friendly. What
19 we've tried to do is to capture our state of
20 knowledge quantitatively, albeit many of these
21 decisions are the result of our collective
22 judgment, but subject to peer review. And we
23 have structured IREP in such a way that in the
24 future if there is a need for updating, it can be
25 readily updated.

1 Now here's an example of results that are
2 produced by IREP, and the example is a person
3 exposed at age 24 who has come down with thyroid
4 cancer at age 60. He was exposed to a thyroid
5 dose of -- here I have 15 centigray, but 15
6 centigray and 15 centisieverts are identical for
7 low LET radiation to high energy gammas. The
8 dose is uncertain, but we've given a modest
9 uncertainty which would be a geometric standard
10 deviation of 1.4. That's about a factor of two
11 either side of this central estimate.

12 As a result of 2,000 Monte Carlo simulations
13 using Median Latin Hypercube Sampling -- and I
14 won't go into that, but that's the mechanism
15 that's used for sampling -- here is the outcome.
16 Notice that the central estimate only shows about
17 a 12 percent probability of causation. The upper
18 95th percentile often used for decision-making
19 would still be less than a 40 percent probability
20 of causation. However, at the 99th percentile,
21 that percentile that has been deliberately chosen
22 for decision-making, that would cause this person
23 to be eligible for claims.

24 A feature of IREP that I know that some of
25 you aren't familiar with, and this is an

1 important feature because we know that we're
2 working in an atmosphere of imperfect knowledge.
3 We know that although we have tried to account
4 for all sources of uncertainty, that the state of
5 knowledge progresses on. And so in addition to
6 building this code so it can be readily updated,
7 we've also allowed for additional sources of
8 uncertainty to be included with adequate
9 justification. This justification should require
10 written rationale.

11 And what we have within IREP -- and Brian
12 Thomas will demonstrate this -- is an additional
13 variable that functions like an overall bias
14 correction factor that is uncertain, with the
15 central value and the width of the uncertainty in
16 this parameter, will adjust the final excess
17 relative risk. The rationale for such adjustment
18 could be an individual whose background rates of
19 cancer are known to be significantly different
20 from those of the national average, updates in
21 radiogenic cancer risk for certain disease end
22 points, or as new information comes forward from
23 worker populations. This back door can be used
24 to justify additional modifications to the
25 overall outcome.

1 But the point I want to make is that it was
2 our intent that this just not be used willy-
3 nilly; that, Larry, there should be good, strong
4 scientific rationale for its implementation.

5 The default of this additional uncertainty
6 factor is a lognormal distribution with a mean of
7 one and a geometric standard deviation of one.
8 What does that mean? Means it's constant.
9 There's no effect at all currently. But if the
10 mean were kept at one and this geometric standard
11 deviation were changed to, let's say, 1.4, that
12 would increase the overall uncertainty in the
13 expression of probability of causation. If the
14 geometric mean were to change to two, it means
15 that we would have an overall bias whereby we
16 felt that the current estimates in IREP were
17 underestimating the probability of causation, and
18 this could be used to adjust the entire
19 distribution upward by a factor of two. If this
20 were to go down to, let's say, .33, it means that
21 we felt we were overestimating the probability of
22 causation, and the whole distribution could be
23 adjusted the other way by a factor of three.

24 So in summary in this introductory
25 presentation, IREP starts with original risk

1 factors that come from the follow-up of the
2 lifespan study of the Japanese cohort that is
3 formed from the survivors of the atomic bombings
4 of Hiroshima and Nagasaki. What's new is unlike
5 past risk estimates that are based on mortality,
6 this one is now based on incidence. And the
7 basic data used in IREP is incidence-based. I
8 think this is the first time anywhere in any
9 radiation risk assessments that the incidence
10 data have been used directly, as opposed to risk
11 estimates being derived from mortality
12 statistics.

13 The only organs not using the Japanese data
14 would be the thyroid, in which case the pooled
15 study from Ron, et al. in 1995 is the basic
16 dataset, and for lung cancer exposures to radon
17 is used as the primary dataset for the case where
18 exposures to radon are explicitly quantified in
19 terms of working level months' exposure.

20 These original epidemiological estimates are
21 adjusted for errors in the epidemiological
22 dosimetry. Those errors are further adjusted for
23 the uncertainty associated with the transfer of
24 risk from the Japanese to the U.S. population,
25 and this accounts for both the uncertainty in the

1 models as well as uncertainty in the differences
2 in the background incidence rates.

3 For low dose and chronic exposures, it's
4 further adjusted for that dose and dose-rate
5 effectiveness factor. And then the final excess
6 relative risk per sievert can be adjusted using
7 this user or claimant-justifiable uncertainty
8 factor. To date it hasn't been used, and to date
9 it is just simply set as a constant.

10 That's my introduction.

11 **DR. ZIEMER:** Thank you, Owen. I think we'll
12 take a few moments for some questions here. Let
13 me begin simply by asking you, in our handout
14 there are three slides that deal with dose and
15 dose-rate effectiveness factor that you either
16 omitted or are holding for later. Were you
17 intending not to cover those?

18 **DR. HOFFMAN:** You led right into the reason
19 that I decided to hold them, because I wanted to
20 wait for a question to come up.

21 (Laughter)

22 **DR. HOFFMAN:** Because I know this has been a
23 subject of interest, but I didn't want to give
24 you everything I knew.

25 **DR. ZIEMER:** Is there anything else you're

1 holding back?

2 **DR. HOFFMAN:** Hoping that a question would
3 come forward, I used the advanced features of
4 PowerPoint to hide these slides -- but you have
5 them in your handouts -- to show what other
6 distributions have people used in quantifying the
7 uncertainty in radiogenic cancer risk.

8 The first attempt to formally quantify
9 radiogenic cancer risk was in Publication 126 of
10 the National Council on Radiation Protection and
11 Measurements. And Dr. Charles Land, Andre
12 Bouville, and Warren Sinclair were the principal
13 authors of that report. That report used a state
14 of knowledge distribution -- no named shape to
15 this; it looks like a compounded series of
16 triangular distributions with the left-hand side
17 truncated at 1, peak value at 2, and then
18 diminishing but stopping at 5.0.

19 Now the interesting part of this distribution
20 is that linearity or 1.0 is not sampled at all,
21 so there's no weight given to 1.0 here. There is
22 weight given to values slightly above 1.0, but in
23 a continuous distribution like that neither
24 values at 5 or at 1 are sampled. This was a
25 subject that was brought up in the Science

1 Advisory Board review of EPA's uncertainty in
2 radiogenic cancer risk, and Gen and I were
3 associated with that effort.

4 Well, here's what EPA did. And this is 1999,
5 EPA's addendum to their radiogenic cancer risk.
6 And this is the small report written on their
7 attempt to quantify uncertainty in radiogenic
8 cancer risk, and this is the distribution that
9 they put in for all solid tumors other than
10 breast and thyroid. Again, it goes from 1 to
11 very small weights given to values greater than
12 5. However, most of the distribution is between
13 1 and 2. Because it's a continuous distribution,
14 values at 1 aren't sampled. And again this was a
15 subject that we discussed in our Science Advisory
16 Board review, and EPA's answer was, well, if we
17 put some weight here at 1, it would only change
18 the overall results by about 10 percent. So they
19 didn't do it.

20 This was an issue that I think over the last
21 few years we battled and debated amongst the team
22 of us working on IREP, and finally what
23 influenced us to try for something different was
24 the dose reconstruction for Rocky Flats. And
25 this is Warren Sinclair, Helen Grogan, and

1 others, who looked at the NCRP distribution and
2 said, well, there's evidence from the Japanese
3 bomb survivors, and some animal experiments as
4 well as some other human epidemiological studies,
5 that says that even some superlinearity cannot be
6 discounted. And so they went down as low as .2,
7 but basically used the NCRP distribution and
8 added this small probability to an inverse dose
9 rate effect.

10 We looked at the information and said that,
11 well, basically there is not a whole lot of
12 epidemiological and experimental evidence to
13 allow us to dictate a distribution of any shape,
14 and that's why we put weights at discrete values
15 and used a discrete distribution for both breast
16 and thyroid and distributions for all other solid
17 tumors.

18 Now for leukemia there is no DDREF used.
19 It's just a -- basically it's a linear quadratic
20 dose response. And that linear quadratic dose
21 response has the effect that at low chronic
22 exposures the risk is about a factor of two less
23 than it would be at high acute exposures.

24 I'm not hiding any other slides. You've now
25 seen all of them.

1 **DR. ROESSLER:** You led right into a question
2 I have, and that's why do you use the DDREF for
3 the solid tumors and then the linear quadratic
4 for leukemia, when aren't they essentially the
5 same? Or is there some fine difference that I'm
6 not recognizing? Or are you trying to make it
7 line up with the BEIR reports?

8 **DR. HOFFMAN:** Neither, neither. This is --
9 and here's a case where the ultimate authority on
10 that is Charles Land.

11 But since I've got the floor I will try to
12 mimic what I know his answer would be, and that
13 is that the data are far better developed for
14 leukemia than perhaps any other organ, and it is
15 clear from the statistical analysis of those data
16 that it follows a linear quadratic relationship.
17 It's also clear, however, that in looking at all
18 other solid tumors that it is not a linear
19 quadratic relationship. And in fact, for the
20 range over which one sees a statistically
21 significant excess relative risk, the model is
22 more linear than anything else.

23 But we can reserve that as one of the
24 questions we ask Charles when he gets on the line
25 to get his viewpoint on it.

1 **MR. GRIFFON:** I guess I was looking for one
2 other hidden overhead there. You mentioned that
3 the analysis of the Hiroshima data showed some
4 superlinearity, and I wondered did they recommend
5 a separate distribution for the DDREF value? You
6 said Grogan incorporated that into their
7 distribution. Did the Hiroshima researchers --

8 **DR. HOFFMAN:** No.

9 **MR. GRIFFON:** -- recommend any distribution?

10 **DR. HOFFMAN:** No, they just report their
11 observations. They make no recommendations.

12 **MR. GRIFFON:** Can you give the reference for
13 that? What reference, and what was their
14 citation? Some superlinearity, or was it more
15 specific?

16 **DR. HOFFMAN:** Well, I believe it's the most
17 recent publication on cancer mortality by Preston
18 and Pierce -- either Preston and Pierce or Pierce
19 and Preston, 1996, *Radiation Research*. I think
20 if you look in the back of your documentation of
21 Charles' report that I think has been circulated
22 to all of you, the exact citation's in there.

23 Yes, Gen.

24 **DR. ROESSLER:** I thought it was interesting
25 you talked about the ability of IREP to deal with

1 additional sources of uncertainty. And I'm
2 wondering on the thyroid, now that the Hanford
3 Thyroid Disease Study -- do you feel like you're
4 getting in a corner? -- now that the results of
5 that study are final, will that make any impact
6 on the adjustment of the geometric mean in IREP?

7 **DR. HOFFMAN:** I'm going to try to divorce my
8 personal opinion on that subject with what I
9 would consider a more direct answer, and the
10 direct answer is that IREP is amenable to
11 upgrades in the state of knowledge as the state
12 of knowledge evolves. And I think the final
13 Hanford Thyroid Disease Study has only been out
14 for a matter of days. And I don't know about
15 you, but I have not even had a chance to read it
16 to know what effect that would have.

17 My personal opinion is I still don't think it
18 has the power to sort out signal from the noise.
19 And I think if one looks at the confidence
20 intervals that would take into account
21 uncertainty in dosimetry, especially shared
22 sources of uncertainty and uncertainty that would
23 be associated with what I call differential bias
24 -- in other words, the potential to underestimate
25 the high end of the distribution and overestimate

1 the low end of the distribution. You see those
2 confidence intervals that clearly overlap risk
3 coefficients in IREP. But I say that having seen
4 the previous Hanford Thyroid Disease Study. I
5 haven't look at this final version.

6 The bottom line is as the state of knowledge
7 changes, IREP is amenable to updating. And one
8 of the advantages in having it on the web is you
9 can update it in one place and that update is
10 available to the world, as opposed to putting it
11 on CDs and having to generate thousands of new
12 CDs every time there's an update.

13 **DR. DEHART:** Your comment just covered what I
14 was going to say, that is the dynamic process of
15 IREP over time. In that context, then, as
16 epidemiological studies come forward, how are you
17 validating and making adjustments?

18 **DR. HOFFMAN:** Well, our future role with IREP
19 is uncertain, and so I can't answer that
20 question. I can just say the design is that it's
21 amenable to frequent updates. And each new
22 epidemiological piece of information is a form of
23 validation. And if it becomes clear that the
24 upper bound of these uncertainty distributions
25 are simply rewarding for the presence of lack of

1 knowledge, well, new information should justify a
2 change.

3 Now of course the political difficulty is
4 this, is what happens in the presence of lack of
5 knowledge that a person today qualifies for
6 compensation, and then as new knowledge comes
7 forward the person is suddenly ineligible?
8 That's outside the realm of our influence.
9 That's your job, to deal with these really
10 difficult situations whereby simply by rewarding
11 for uncertainty that a person could be eligible
12 for compensation today and not be eligible for
13 compensation as the state of knowledge improves.

14 **DR. ZIEMER:** Tony.

15 **DR. ANDRADE:** I gather that if I were to ask
16 you what was the real baseline baseline start for
17 IREP, you would probably say the ICRP-60 risk
18 coefficients insofar as calculating excess ERR,
19 the excess risk -- no?

20 **DR. HOFFMAN:** I'm glad you said that. No.
21 No, ICRP-60 is 1990. The real baseline baseline
22 is the 1994 Thompson, et al. report and its
23 associated datasets in radiation research.

24 But the National Cancer Institute made new
25 analyses on that data, so you can't just get into

1 Thompson 1994 and map directly from that study
2 onto what's in IREP. There have been -- and it's
3 described in the write-up -- numerous re-analyses
4 of age at time of exposure, time since exposure,
5 attained age effects, gender effects in order to
6 build in as much defensible specificity as is
7 possible. And it probably could go on and on,
8 but at some point you have to draw things to a
9 close. And what you're seeing is the outcome of
10 three years' worth of work.

11 **DR. ANDRADE:** Okay. Well, my point was going
12 to be simply this, is that you've used
13 information that has evolved tremendously since
14 ICRP was put out, and even ICRP-60 attempted to
15 use factors including gender, time at -- during
16 the lifetime at which the person was exposed,
17 age, that sort of thing.

18 And so what I wanted to do is just clarify or
19 address a comment that was made yesterday, that
20 apparently we in the health physics community
21 have been trying to use only Japanese survival
22 data to calculate these probabilities -- or risk
23 coefficients, let's put it that way, let's be
24 more precise -- risk coefficients. And the
25 answer to that is that that is not true. We have

1 used all sorts of studies, one of which, only one
2 of which has been the Japanese survivor data.
3 And I just wanted to emphasize that point for the
4 audience here in general.

5 **DR. HOFFMAN:** I wish I could adopt your
6 enthusiasm. The truth is that the bulk of this
7 really is the Japanese survivors data. But the
8 radon, the radon cohorts and the thyroid are
9 exceptions to that. I think if there is a major
10 -- a major upgrade to all of this would be to
11 include within the uncertainty analysis other
12 options from other studies, such as worker
13 studies and looking at outcomes from those. But
14 that will be the job of a committee with more
15 resources than what was available to the
16 committee that put this together.

17 **DR. ANDRADE:** Exactly. But for example, in
18 the case of lung cancer, the radon data and the
19 radon studies would heavily weigh into those risk
20 coefficients.

21 **DR. HOFFMAN:** In this case lung cancer itself
22 does come from Japanese survivors, as long as the
23 exposure is coming from low LET radiation. But
24 for radon exposure directly, the working level
25 month being the source of exposure, then it

1 changes over to use radon cohorts. And the bulk
2 of that is the uranium miners.

3 Well, if I might introduce the next speaker
4 --

5 **DR. ZIEMER:** Yes, please.

6 **DR. HOFFMAN:** When we were invited to come, a
7 person that I felt was absolutely essential to be
8 here is the person responsible for, I think, one
9 of the major contributions to IREP. And this
10 contribution has been done under the sponsorship
11 of NIOSH, and that is to address the risk of
12 other radiation types other than high energy
13 gammas. That was an assignment given to us,
14 assignment that I charged Dr. David Kocher with.

15 Dr. David Kocher is a health physicist that's
16 had 30 years experience at Oak Ridge National
17 Laboratory. Some of you from the health physics
18 community are well aware of his publications.
19 We've had the privilege of having Dave work with
20 us for over a year now at SENES Oak Ridge. And
21 Dave does things the old-fashioned way -- that
22 is, with overheads.

23 **DR. KOCHER:** Anybody remember lantern slides?
24 That's sort of where I come from.

25 Owen gave a good introduction to my remarks

1 when he commented that we've been looking at
2 issues of how different types of radiation differ
3 in their effectiveness in causing cancers in
4 humans. And we have looked at neutrons, alpha
5 particles, photons of different energies, and
6 electrons of different energies. We haven't yet
7 gotten into some real exotic stuff like nuons and
8 very high energy neutrons, things that probably
9 aren't encountered everyday in the Department of
10 Energy system, but who knows?

11 What is new and exciting about all of this,
12 as far as I'm concerned? Well, these different
13 effectivenesses have been taken into account in
14 radiation protection for 40 years now. ICRP-2
15 had some assumptions about the effectiveness of
16 alpha particles relative to gamma rays, and
17 neutrons have been well known and studied, going
18 back to the beginning of radiation biology. But
19 what has never really been done in a broad scope
20 before is to express these factors in terms of
21 uncertainty.

22 In radiation protection you choose point
23 values -- 20 for alpha particles, you're all
24 familiar with this. But for purposes here of
25 calculating the probability of causation of a

1 cancer in a real person who got a real dose, and
2 if you want to express your state of knowledge,
3 you must do this using uncertainty.

4 And there have been some limited efforts in
5 other areas in the recent past -- for example,
6 the Rocky Flats dose reconstruction did
7 incorporate uncertainties in biological
8 effectiveness of alpha particles from plutonium
9 in that analysis. It has not yet been applied to
10 real people. Tritium has been looked at from an
11 uncertainty point of view in a limited context
12 that Owen and Brian worked on for Berkeley Labs.
13 But this is really the first time that I'm aware
14 of that a broad approach to trying to capture
15 uncertainty in a human health risk assessment has
16 been done. So therefore we will be subject to
17 lots of potshots, and deservedly so.

18 I know you all have read, from cover to
19 cover, the 77-page report which was posted on the
20 Internet not too long ago. That's an awful lot
21 of stuff. And let me really tell you in 30
22 seconds what I tried to do there. I tried to
23 disclose, as fully and completely as I could, the
24 thought process we went through to try to develop
25 uncertainty distributions for these different

1 factors. If you go into ICRP and try to discover
2 how do they come up with 20 for alpha particles
3 or whatever, complete silence -- absolute,
4 complete silence.

5 So really the bulk of this 77-page current
6 version of this report is I tried to explain what
7 we did. What we did has a lot of weaknesses. It
8 has some strengths. What I'm going to try to do
9 today -- I don't want to go too much into a lot
10 of technical detail here, because I know most of
11 you aren't necessarily that interested in really
12 the fine details. But your mother said you've
13 got to eat your spinach every once in a while, so
14 there will be a little bit of that. But what I
15 really want to try to do is to give you a feeling
16 of what we did. What were the sources of
17 information that we had to develop uncertainty
18 distributions for different radiation types?
19 What were the judgments that we made to come up
20 with our final answer? And what are the
21 weaknesses, what are areas where I am absolutely
22 sure that better work could be done?

23 And I'll try to point in those directions,
24 because there are a couple of areas here where we
25 really are looking -- we eagerly would like to

1 have positive feedback or helpful comments and
2 suggestions from anyone. We are open to changes
3 in any of this. But I will try to point out to
4 you a few areas that I feel like particular
5 attention could be paid to doing things better.

6 Well, there's an awful lot of information in
7 the radiobiological literature on the biological
8 effectiveness of different radiation types. RBE,
9 that's the acronym in radiation biology that
10 stands for relative biological effectiveness.
11 But we have a new term, REF, radiation
12 effectiveness factor, and it's explained in the
13 report. But the short answer is that what we are
14 coming up with is not RBEs, because RBE is what
15 you get when you do a specific radiobiological
16 experiment. And I can say, mercifully, that we
17 don't have a lot of human data on what we're
18 looking for. So we need a new word, and I'm glad
19 that you all are using radiation effectiveness
20 factor in your everyday lingo, because I
21 certainly hope this term catches on.

22 But there's enough literature data out there
23 that could fill this room, and we just -- there
24 was no way to go back and review all this from
25 scratch. So we depended very heavily on past

1 reviews and analysis of this wealth of data by
2 various expert groups in this alphabet soup of
3 organizations. Some of these you may not know.
4 ICRU is the International Commission on Radiation
5 Units. They're kind of like the ICRP. The NRPB
6 is the national authority in Great Britain.

7 Our work has been through two rounds of
8 external peer reviews, and we've incorporated a
9 lot of comments that we got from experts in the
10 field. And we have used the recent primary
11 literature to some extent to fill out because a
12 lot of these things are getting a little bit old.
13 The NCRP report, for example, is from 1990, and
14 there has been some work since then. But by and
15 large, we relied on expert groups who know far
16 more about radiation biology than I do to look
17 through all this data and assess the experiments
18 that are good from those that are not so good,
19 and what did they think this meant in terms of
20 RBEs, et cetera.

21 I'm not going to go through the equations in
22 any detail, but I did want to show you how these
23 things -- these quantities are used in actually
24 calculating cancer risks. And I've got two pages
25 of equations, and I'll really just show you one

1 equation to give you a sense of how this works.

2 The quantity we're trying to calculate over
3 here is risk, and we express it in terms of
4 excess -- well, it's just the excess relative
5 risk, is what you want at the end. That's what
6 goes into a calculation of PC, as Owen showed.
7 You start with some estimate of absorbed dose,
8 and here's the risk coefficient that you get from
9 the atomic bomb survivor data. This is some kind
10 of -- I call it an ERR per gray, some people call
11 it an ERR per sievert. They're basically the
12 same. This is high energy gamma rays that have a
13 defined biological effectiveness of one.

14 And if you're going to -- in some of the
15 equations, not always, this is adjusted by the
16 DDREF that Owen talked about. This is a thing
17 that has an uncertainty distribution with a
18 central value somewhere between one and two. And
19 I never remember what the central value is --
20 1.6, something like that.

21 And then this REF is just a multiplier. It
22 just adjusts for the effectiveness of the
23 different radiation type. And basically all this
24 means -- it's really a simple concept -- if you
25 give a certain absorbed dose of gamma rays to a

1 mouse, and you give the same absorbed dose of
2 neutrons to the same mice, you're going to see
3 more cancers in the mice than you do -- from
4 neutrons than you do from the gamma ray
5 exposures. They have a different effectiveness
6 in causing the response that you're looking for,
7 and that effectiveness is captured in this REF.
8 It's a very simple concept. So this just kind of
9 shows you how they're used.

10 And I'm not going to go into the difference
11 between high and low doses and dose rates.
12 That's for the health physics aficionados on the
13 committee to look at and see what you think about
14 it. I realize that certain things are just too
15 painful.

16 I'm going to skip -- well, Owen did mention
17 this, and I'll show you again. For all solid
18 tumors there's a linear dose response in the
19 atomic bomb survivor data. But -- Gen, this is
20 the answer to your question -- it's linear
21 quadratic for leukemias, and this is what the
22 data show. They show linear quadratic for
23 leukemias, but they look linear for everything
24 else. So that's the assumption that Charles Land
25 made. And enough of that.

1 Now here's something -- half of this should
2 be familiar to many of you. The column for ICRU
3 may not be quite so familiar. But this is how
4 biological effectiveness is taken into account in
5 radiation protection today. And again, radiation
6 protection is not about estimating real risks to
7 real people from an actual exposure. That's not
8 what radiation protection is about. Radiation
9 protection is about controlling doses, period.
10 So they have standard assumptions. A point
11 estimate of 20 for alpha particles, 20 for
12 neutrons of unknown energy -- and the ICRP has a
13 function I'll show you later that accounts for
14 the energy dependence of the neutron weighting
15 factor -- one for all electrons, and one for all
16 gamma rays.

17 Now as we go ahead, you'll probably be
18 keeping score on how I'm doing relative to this
19 curve, to this set of numbers. Well, our
20 distributions for alpha particles will encompass
21 this, and our distribution for fission neutrons
22 will encompass this, but we will depart from
23 these numbers here at the lower energies.

24 A question came up over here when Owen was
25 talking about what have we done about the ICRP

1 assumptions as we got into this. We did not
2 start with an assumption that these values were
3 the correct -- were the best central estimates of
4 anything. We looked at what the data told us.
5 And if the ICRP numbers fell within our
6 distributions, fine. If they didn't, well,
7 that's the way the mop flops. That's all I can
8 say. We did not assume that they had the right
9 answer, mainly because they didn't really
10 disclose where these numbers came from.

11 So a key point to remember here is we're
12 applying subjective judgment to a lot of data,
13 and we absolutely acknowledge that knowledgeable
14 individuals could look at the same information we
15 looked at and come to somewhat different
16 conclusions. I don't think the conclusions could
17 be radically different, but you could certainly
18 -- there's a lot of judgment in here. And again,
19 the whole purpose of my paper was to try to
20 disclose our judgments as best we could, and to
21 express where the weaknesses are. But we did not
22 assume that ICRP had the right answer.

23 So I just want to go through the different
24 radiation types that we looked at and give you a
25 flavor for the kinds of data that we used and the

1 kind of judgments that we made. And I'm going to
2 start with neutrons.

3 Historically, neutrons have been the
4 radiations that have been the most studied of
5 all. Back in the sixties and seventies and
6 eighties there were a lot of data on RBEs and
7 neutrons in all kinds of biological systems
8 ranging from simple cells up to whole organisms,
9 plants and animals, the whole nine yards. But
10 there are data in mice that actually where tumors
11 themselves were the end point. They actually
12 measured tumor induction in mice exposed to
13 neutrons compared with some reference radiation,
14 either X-rays or high energy gamma rays. And as
15 Owen mentioned, we use high energy gamma rays as
16 our radiation that has a defined REF of one,
17 because that's the conditions under which the A-
18 bomb survivors were exposed.

19 And again, going to reviews of the
20 literature, there was a lot of data on RBE for
21 life-shortening and induction of specific
22 cancers, and life-shortening in these mice is due
23 almost entirely to cancer induction. There's
24 very little else that's killing them. And you
25 find a range of RBEs -- and I just give you these

1 numbers, you don't have to pay any particular
2 attention to this -- and from this you can just
3 derive some kind of distribution. And we're
4 trying to make life simple, and we're trying to
5 choose familiar distributions when they can be
6 justified. And lognormal is one of the most
7 familiar distributions in natural systems,
8 especially when the data are highly variable.
9 Where the range from the low end to the high end
10 is fairly large, lognormal often describes what's
11 going on.

12 And from this range of data, we just said
13 there's a 95 percent chance that the REF in
14 humans lies between 2 and 30. That's a fairly
15 wide range. That's a range of 15. The central
16 estimate here is at 7.7.

17 Now some of you are already maybe keeping
18 score, and here we're saying a central estimate
19 at 7.7, where the big boys say it should be up
20 around 20. Well, something I didn't talk about
21 is that this is an REF at high acute doses. It
22 doesn't have a DDREF in it. So more or less you
23 need to multiply this value by a factor of about
24 two if you want to compare it with the number 20.
25 And this is explained in excruciating detail in

1 the paper, but I don't want to talk about it
2 here. So this number has to be multiplied
3 roughly by a factor of two, and this for acute
4 exposure only, so that's around 15 to 16, which
5 is pretty close to 20. But there's a substantial
6 range of 15 here between the lower and upper end
7 of that confidence interval.

8 I felt like the situation for fission
9 neutrons in solid tumors and leukemias is in
10 pretty good shape, because there are animal data,
11 data on whole animals with the cancers that we're
12 interested in as the biological response that was
13 being measured. But still there are problems
14 that we talk about in the paper, about are the
15 mice data relevant for humans? A human doesn't
16 look like a mouse. And those of you who know
17 anything about neutrons, this is a very
18 complicated type of radiation in terms of how it
19 interacts with tissue. You get all kinds of
20 secondary radiations. And if you had a
21 monoenergetic neutron incident on the skin of a
22 mouse, the spectrum of radiations inside that
23 mouse is going to be very different from the
24 spectrum of radiations in a deep-lying organ of a
25 human being.

1 And we really haven't done much with that,
2 and that's an area where perhaps something could
3 be done. We basically just said that the mice
4 data apply to humans. But that's an area where I
5 think, as this method gets fine-tuned as we go
6 along, where something more could be done. It's
7 quite possible, I think, that the mouse data tend
8 to overestimate the biological effectiveness in
9 humans rather than underestimate. So in a sense,
10 if you want to claim do we have a bias, it's a
11 little bit on the claimant-friendly side, I
12 think. But this is a matter of science that
13 could be worked out, and we could do more here.

14 This next slide is not in your package, but
15 in case some of you have never seen what a
16 lognormal distribution looks like before, this is
17 the distribution that I described on the previous
18 slide. When plotted on a linear scale -- this is
19 REF on this scale, and here's probability on the
20 vertical scale -- a lognormal distribution tends
21 to be skewed to the left, and the 50th percentile
22 is somewhere about here and the 95th is from 2 to
23 30. That's basically what a lognormal
24 distribution looks like. And as this range gets
25 bigger it gets more and more skewed to the left,

1 with a very long tail going out to the right.
2 And of course, only 95 percent of the values are
3 shown here. There are two and a half percent
4 that lie out here, and there's another two and a
5 half percent -- down to zero is show -- but
6 there's two and a half percent of the values lie
7 beyond the right-hand side of that curve. The
8 beauty of lognormal distributions, they never go
9 negative.

10 We did the same thing for leukemias, for both
11 alpha particles and leukemias -- sorry, for both
12 alpha particles and neutrons. There was
13 convincing evidence from the literature that the
14 biological effectiveness was different for
15 leukemias and solid tumors. These are two
16 entirely different types of cancers, so there's
17 no reason that they have to be the same. And in
18 general, RBEs for leukemias are less than RBEs
19 for solid tumors, and we've incorporated that in
20 what we did. We have separate distributions for
21 leukemias and solid tumors for the high LET
22 radiations. And again there are data on
23 mice, and we went through, and
24 it ranges from this to that,
25 and we had another lognormal

1 distribution.

2 Now here, this is a number which you could
3 directly compare with the ICRP, because this is
4 at low doses and low dose rates. That's what
5 this L stands for. In fact, almost all our
6 distributions are at low doses and dose rates.
7 The only one that isn't is the solid tumors and
8 neutrons. And here the confidence interval we
9 just said dose from 2 to 60. That's a range of
10 30, and the median is about 11. Well, 11
11 compared with 20, that's a factor of two. But
12 remember, the ICRP is coming up with a single
13 number that's supposed to cover everything, and
14 if they had to pick a single number they would
15 probably bias it toward the solid tumor numbers
16 rather than leukemias to be safe. But who knows
17 what the process is they went through, because
18 they haven't told anybody.

19 Now one of the complications about neutrons
20 -- and Owen mentioned this -- is that there's
21 some data in the radiobiological literature, and
22 there's a lot of calculations which show that the
23 -- suggest that the biological effectiveness of
24 neutrons is energy dependent. Now most of the
25 experiments are done for fission neutrons, and

1 that's a spectrum of neutrons over a wide energy
2 range. But by and large, most of those neutrons
3 are in the energy from -- this is .1 MeV here up
4 to about 2, is this break point. And the fission
5 neutron experiments lay up here in the region of
6 maximum biological effectiveness.

7 But there's calculations going back 30 years
8 now, and a lot -- and some radiobiological
9 studies which show that as you get away from this
10 range from .1 to 2 MeV the biological
11 effectiveness drops off in this direction, and as
12 you go toward higher energies. And this is just
13 a reflection of as the energy changes, you get a
14 different mix of secondary radiations that are
15 actually delivering the dose. That's what this
16 is all about. Neutrons don't do anything by
17 themselves. They cause dose only because of the
18 secondary radiations they produce.

19 And this solid curve is the standard ICRP
20 assumption that many of you are familiar with,
21 that the value -- here's 20 for .1 to 2 MeV. It
22 drops by a factor of two out here down to 10 keV,
23 another factor of two down to 5 at the lowest
24 energies, and similar as you go up. But what
25 really impressed me is kind of the database for

1 that step function curve. I don't know whether
2 impressed is quite the right word. The data are
3 sparse. Everybody used fission neutrons, and not
4 too many people have studied neutrons of other
5 energies in experiments. And I have two slides
6 here that show, at least according to an NRPB
7 review, really almost the entire data in this
8 area.

9 Now here's one dataset. Here's the fission
10 neutrons kind of up in here. Here's one dataset
11 that maybe sort of shows what's going on that
12 matches that other curve. But here's another one
13 that it's okay up here, but there's a point way
14 out here. And you can find other studies in the
15 literature that don't really show much of a step
16 function, like the ICRP said. Here's just one
17 more example of the same thing. The open
18 symbols, they kind of fall off as you go up here.
19 But this, here's a dataset, who knows what that
20 one's doing in terms of energy dependence.

21 So the point I want to make is that that nice
22 little step function curve that the ICRP assumes
23 today has a fairly shaky database in terms of the
24 actual radiobiological information that goes into
25 that. A lot of what goes into that is

1 theoretical calculation of how neutrons interact
2 with tissue at different energies, and what are
3 the secondary radiations they produce. But it's
4 not really been verified experimentally. I wish
5 -- I'm a humble physicist. I don't know much
6 about this biology stuff. But really, no data on
7 thermal neutrons. I guess that's a hard
8 experiment. But we didn't find any data on
9 thermal neutrons, which is often something of
10 interest.

11 So what did we do about this in terms of the
12 REF for different energy ranges? Here's where we
13 get really into the idea of subjective states of
14 knowledge distributions that Owen emphasized.
15 What I'm going to show you next doesn't resemble
16 anything that you would actually measure if you
17 did the experiment. It's just to try to
18 represent what do we know about the REF for
19 neutrons of energies other than fission neutrons.
20 And we assumed that these distributions should
21 have three properties.

22 The first was that the REF should not be less
23 than one, and this is a simple assumption that
24 neutrons of any energy should not be biologically
25 less effective than high energy gamma rays. High

1 energy gamma rays is our defined REF of one, so
2 neutrons should not be less biologically
3 effective than high energy gammas. That's
4 assumption number one.

5 Assumption number two is we assumed the ICRP
6 step function reduces the weighting factor for
7 fission neutrons by either a factor of two or
8 four as you step up or down in energy, and we
9 assumed that the median of our distributions for
10 fission neutrons should be reduced by about that
11 amount. In other words, we assumed that the ICRP
12 step function that I showed you more or less
13 represents the energy distribution -- the energy
14 dependence of REF.

15 But there's certainly uncertainty in that
16 adjustment, as I showed you on those two plots of
17 the data. The data are pretty shaky. So we
18 reduced the upper confidence limit by an amount
19 less than that to represent uncertainty in that
20 adjustment. In other words, the uncertainty
21 distribution is going to be broader at these
22 other energies than it was for fission neutrons.

23 Now we started with a lognormal distribution
24 for fission neutrons, which was already highly
25 skewed to the left. And if you fix the lower

1 bound and lower the median by a certain amount,
2 and lower the upper confidence limit by less than
3 that, you're going to get a distribution that's
4 more highly skewed to the left, and it's going to
5 have a long tail. Here we tried to make life
6 simple. We just fabricated a distribution that
7 would have these properties but would look
8 simple. Now this is obviously not a distribution
9 that you would ever measure in an experiment, but
10 it has the three properties that I showed on the
11 previous slide.

12 This is just one example. This is a case
13 where the median value is reduced by a factor of
14 two compared with the distribution for fission
15 neutrons, but the upper confidence limit was
16 reduced by something less than a factor of two,
17 around a factor of 1.7, 1.8, something like that.
18 It's explained in detail in the report. And we
19 just arbitrarily assumed that we would describe
20 these distributions by what I call a piece-wise
21 uniform distribution that had three pieces. It's
22 uniform between one and some number, uniform
23 between that number and another number, and then
24 a third tail that goes way out here. And we just
25 fixed the number of steps at three. And

1 furthermore, in every case we said there's going
2 to be a 30 percent weight to this part, a 50
3 percent weight to this part, and a 20 percent
4 weight to this part.

5 Now these judgments are obviously arbitrary.
6 There's an infinite number of probability
7 distributions that would meet the three
8 conditions that I showed on the previous slide.
9 And we just wanted to have something that was
10 visually and conceptually fairly simple.

11 So all we have to do once we have these
12 definitions is we just adjust these three numbers
13 until we get the conditions that we wanted on the
14 previous slide. And it's just -- it looks
15 simple, but you would never measure anything like
16 this. But this captures the state of knowledge
17 about REF at these other energies, and the state
18 of knowledge is not real good.

19 Okay, I'm going to move on to alpha
20 particles. I think in general alpha particles is
21 a radiation type for which what we have come up
22 with would be most subject to adjustment by
23 further input. There's a lot of uncertainty in
24 what to do. A lot of uncertainty in what to do,
25 and our judgments could be wrong, or they could

1 be not as good as they should be. And I want to
2 try to indicate where the weak parts are.

3 Let's look first at solid tumors. Here again
4 we're fairly fortunate in that there's a lot of
5 data in various small mammals -- dogs, rats, mice
6 -- looking at induction of bone and lung tumors
7 by alpha-emitting radionuclides like plutonium
8 and americium, a lot of data on RB and E systems,
9 a lot of data on different kinds of responses in
10 cell systems. And you find a wide range of RBEs,
11 down from about 5 at the low end -- these are
12 central estimates -- range from about 5 at the
13 low end to somewhere in the range of 60 to 100 at
14 the high end. And here again, just to keep life
15 simple, we describe this range of values by a
16 lognormal distribution where 95 percent of the
17 values were in the interval from about 3 to 80,
18 and the median here is 15.

19 Now here's an area -- and this is not in our
20 report, but I'm going to put it out to you. It's
21 possible that the median of this distribution is
22 a bit too low, that we might actually be better
23 off in this case coming up with some kind of a
24 hybrid distribution that has this confidence
25 interval, but has the median shifted up somewhat.

1 And if you just kind of look at -- if you just
2 plot all the data, you get the impression that
3 it possibly could be a little higher, but not by
4 a great deal. But this is an area where I think
5 as this work evolves we might want to look at
6 this again. Of course, this is not the final
7 answer. We have this inverse dose rate effect
8 that hasn't been applied yet that I haven't
9 talked about. So that's one area where we might
10 do a little bit more. I think I'm pretty
11 comfortable with the range here. There's just
12 not very much beyond 80, and there's hardly
13 anything, virtually nothing below 3.

14 Where we're really skating on thin ice -- and
15 I think no one really knows what to do about this
16 -- is the question of alpha particles and
17 leukemia. What's the problem here? The good
18 news, in a way, is that there are data in humans,
19 possible data in humans for the effectiveness of
20 alpha particles in causing leukemias. The
21 problem with the available data is that they're
22 contradictory, and that there's a lot of problems
23 in the data themselves. And it's very, very hard
24 to sort this out.

25 The essential problem with alpha particles

1 and leukemia is this: The question of how to
2 estimate the dose to radiosensitive cells in bone
3 marrow. The whole problem of dosimetry is highly
4 uncertain, so it's very, very hard -- when you
5 try to look at the various human studies it's
6 very, very hard to sort out issues of dosimetry
7 versus issues of biological effectiveness of
8 alpha particles. And what we have attempted to
9 do -- what I have attempted to do, I can't blame
10 this on Owen or Iulian -- what I attempted to do
11 was say, look, if the dosimetrists have a
12 problem, go fix it. I'm not going to bury
13 uncertainty in -- I'm not going to bury a problem
14 with dosimetry in the REF. I want to try to
15 assess what is the REF, assuming that the
16 dosimetry is right. And if you have a dosimetry
17 problem, go take care of it, but I'm not going to
18 blame -- I'm not going to incorporate your
19 dosimetry problem in an estimate of REF. But I'm
20 sure we have done some of that, just because the
21 data are all we have.

22 Now let me just briefly try to describe what
23 the problem is and what we tried to do about it.
24 There's a group of medical patients out there
25 called the Thorotrast patients. These are people

1 that were given a special substance that
2 contained thorium for medical treatment. And
3 these people received fairly high doses of alpha
4 particles to bone marrow. And these people,
5 these patients were followed over time, and lo
6 and behold, there were excess leukemias seen in
7 these populations. And you could derive an
8 estimate of leukemia risk in those patients. And
9 by comparing the leukemia risk in those patients
10 with leukemia risks in the A-bomb survivors, you
11 could estimate an RBE for alpha particles in
12 leukemias, and you get something that ranges from
13 about 1 to 15.

14 Well, this is a good dataset in the sense
15 that it's data on humans. It shows an effect.
16 You could use it. But the problem here is that
17 Thorotrast is a special chemical form. It's
18 called a colloid. Colloids are kind of large
19 globs of stuff that kind of remain suspended in a
20 liquid medium. Milk is a colloid. Milk is a
21 colloid. So what happens in the Thorotrast
22 patients is that the thorium in this stuff
23 remains suspended in bone marrow, and perhaps
24 more or less irradiates the marrow uniformly.
25 But radionuclides that DOE workers get exposed

1 to, they're not colloids. And they probably get
2 deposited very quickly on the surfaces of bone,
3 and in some cases then translocate into the bone
4 volume. And of course alpha particles have a
5 very short range in tissue or in matter. That's
6 a fundamental problem here.

7 So the way that marrow is irradiated by the
8 Thorotrast patients is very different from what
9 you get from a DOE worker who is exposed to
10 plutonium. So this dataset may have nothing to
11 do with exposures of DOE workers. It doesn't
12 describe the exposure pattern at all. So it's
13 questionable whether you could really use this.

14 There are other groups of populations that
15 were exposed to alpha particles, the radium dial
16 painters being the example that people are most
17 familiar with. These are a group of young women
18 who received high doses of radium, and the data
19 seemed to suggest -- well, there's been no
20 observed excess of leukemias in the dial
21 painters.

22 Now here again, there's a lot of problems
23 with this study. What do you mean by no excess
24 leukemias? I haven't yet seen a really good
25 statistical distribution that showed a confidence

1 interval in a risk coefficient. People just tend
2 to focus on a central estimate, and say I don't
3 see anything. But there needs to be more work
4 done in uncertainties in this population.

5 There's a group of medical patients exposed
6 to radium 224. No excess leukemias,
7 statistically significant excess leukemias seen
8 in those populations.

9 Another problem with the dial painters is
10 that leukemia is a disease that, if you're going
11 to get it, it tends to come fairly early after a
12 radiation exposure. And there are some serious
13 questions about whether the early follow-up of
14 the dial painters was sufficient to have actually
15 caught the leukemias that they might have gotten.
16 So there's a lot of problems in this dataset.

17 But if you take the standard ICRP dosimetry
18 model for radium in bone, you would predict a
19 substantial increase in leukemias in these
20 populations where none is seen. Well, there are
21 two ways you could interpret this. Either the
22 RBE is very low, or there's a problem in
23 dosimetry -- and I personally think that there's
24 a problem in dosimetry, that we don't want to
25 muck up our REF with that. But here's a dataset

1 that shows no effect.

2 A third source of information is data on
3 neutrons. It's been widely understood for many
4 years that neutrons and alpha particles are quite
5 -- should be quite a bit alike in terms of their
6 biological effectiveness. They're both high LET
7 radiations. The calculations all show that the
8 effectiveness should be more or less the same.
9 So there are the data on the mouse studies that I
10 showed you previously that could provide a marker
11 for what the leukemia risk for alpha particles
12 is.

13 So we have these different datasets, and
14 here's an example, a clear example of applying
15 just purely subjective judgment. We constructed
16 a hybrid distribution where we gave different
17 weights to these different pieces of evidence.
18 The weights that we assigned are obviously
19 somewhat arbitrary. And we've gotten feedback
20 already -- you know, I wouldn't do it that way.
21 And that kind of feedback is welcome, and we want
22 more of it.

23 We gave, as indicated here, 50 percent weight
24 to the data in the Thorotrast patients. Here
25 again, we clearly are irradiating the right cells

1 in this group. So if the dosimetry model for the
2 other alpha emitters was correct, this probably
3 gives you some idea of what it ought to be.

4 We gave 25 percent weight to the fact that
5 there's no excess leukemias in these other human
6 populations. Here again, we did not allow the
7 value to go below one, and we feel pretty
8 confident that if the cells are being irradiated
9 that alpha particles are at least as effective as
10 high energy gammas in causing leukemia. If you
11 take the data straight away, what EPA did here is
12 they assigned a uniform distribution from zero to
13 one, what they called the effective RBE. We said
14 it really shouldn't be less than one, if the
15 dosimetry's right.

16 And we gave a 25 percent weight to the
17 distribution for fission neutrons. But I would
18 say this is the weakest. This is the weakest
19 distribution we came up with, just because the
20 data are so contradictory and there are serious
21 problems with dosimetry here.

22 Something else that I think I would do, if I
23 revisit this again, is see what we might learn
24 from animal studies about alpha particles and
25 leukemia. And most of the animal studies have

1 focused on bone cancer and not leukemia, but what
2 can we learn from the animal studies in regard to
3 alpha particles and leukemia? I think there's a
4 lot of work to be done here.

5 What does a distribution like this look like?
6 I just gave you a couple of plots here. Here's
7 our 25 percent weight at the value one gives you
8 a spike, and the other two, which were lognormal
9 distributions, give you something here with a
10 very long tail going out. Distributions like
11 this are sometimes a little easier to understand
12 if you plot them in terms of a cumulative
13 distribution. In other words, sort of integrate
14 under that curve as you go from left to right.
15 What this number is, this says here that 50
16 percent of the values are less than this number,
17 75 percent are less than this number, going on
18 up, you have this long tail. This is a
19 cumulative probability distribution rather than a
20 frequency distribution.

21 Owen mentioned this inverse dose-rate effect.
22 For both neutrons and alpha particles, there is
23 weak evidence in animal studies and some weak
24 evidence in the uranium miner data for radon of
25 something that's been called the inverse dose-

1 rate effect. And what this means is -- suppose
2 you did two experiments where you deliver the
3 same total dose to two groups at different rates.
4 One group gets the same -- a given dose at a
5 fairly high dose rate, and the second group gets
6 the same total dose but at a much lower dose
7 rate. There's weak evidence that at the lower
8 dose rate that the risk increases slightly. This
9 is what Owen referred to as a superlinear
10 response.

11 And the evidence is weak, and because the
12 evidence is weak the correction that we applied
13 for this is small. It's a small correction to
14 the REFs for chronic exposure to neutrons and
15 alpha particles. Well, all exposures to alpha
16 particles are chronic, because these alpha
17 emitters have fairly long half-lives. And I
18 don't think we have anybody that was standing in
19 an unshielded beam of a pulsed alpha source, and
20 I don't think you're going to find that one very
21 often. So alpha particles are always chronic.
22 Neutrons in some cases certainly are.

23 And we used a discrete distribution where we
24 gave most of the weight to the value one simply
25 because the evidence that this effect actually

1 exists is quite weak. But there's some evidence
2 that the inverse dose-rate effect could be as
3 high as three, and we gave successively smaller
4 weights going from one up to three. And on
5 average, the correction was 40 percent for
6 neutrons and 20 percent for alpha particles,
7 fairly small. But it's in there. It's in there,
8 and you can certainly change this. But you just
9 don't see this in all studies.

10 My personal opinion is that it's already
11 incorporated in the data for alpha particles
12 because they are delivered chronically to begin
13 with.

14 And if you apply the inverse dose-rate effect
15 to the data for alpha particles in solid tumors
16 you get something that's kind of lognormal, but
17 it's even more skewed to the left than before.
18 We started with a lognormal distribution from 3
19 to 60, I think it was -- 3 to 80, and adjusted by
20 the inverse dose-rate effect. It now goes from
21 3.4 up to 100, and there are a few values that
22 straggle out here beyond 100. And the median
23 here is 18, and this is the number that you would
24 compare with the standard ICRP assumption of 20,
25 because again all exposures to alpha particles

1 are chronic.

2 So we're pretty close. But I think some
3 justification could -- some thought could be
4 given to whether we could start with something
5 other than a lognormal distribution and maybe
6 have this median go up a bit. But that's -- it's
7 all judgment. It's all judgment. We just don't
8 have any data.

9 I'm going to skip the next one, I think. Oh,
10 here's our funky hybrid distribution for
11 leukemias with the inverse dose-rate effect.
12 This is the one where we had 25 percent weight
13 for one, and then kind of a lognormal-looking
14 distribution that tailed out here. Now when you
15 apply this inverse dose-rate effect where almost
16 all the weight gets at one, you have a spike here
17 and very skewed to the left, but still numbers
18 dribbling on out here to the high side. Here the
19 median is four. This shows a clear difference
20 between leukemias and solid tumors for alpha
21 particles. Here the median was four. On the
22 previous one it was 18.

23 And again, I think a lot of work needs to be
24 done here. I can't tell you -- I don't have any
25 confidence in my state of knowledge about what

1 alpha particles and leukemias are all about
2 because the dosimetry problems are so severe. My
3 gut feeling is that if you use the standard ICRP
4 dosimetry models and you put this REF in those
5 models, you're probably going to overestimate the
6 leukemia risk. But again, I think if the
7 dosimetrists have a problem they should go fix
8 it, and we shouldn't bury their problems in the
9 biological effectiveness factor. And if you have
10 ideas about that, we welcome them. But that's my
11 bias. I don't want to take their problems under
12 my tent.

13 And this just shows the same thing in a
14 cumulative distribution. It rises very steeply,
15 and then this long tail.

16 So for neutrons and alpha particles, our
17 distributions clearly encompass what the ICRP has
18 done. We have a broad range of uncertainty,
19 which is different.

20 Now when we get into photons, things change.
21 Here's a curve that the ICRU published 15 years
22 ago in a nice little report; it's only about 20
23 pages thick. This is a calculation of the
24 quality factor for photons as a function of
25 energy. Our reference radiation is cobalt-60

1 high energy gamma rays, which is out at this end
2 of the curve. And you can see that in the
3 calculation, the biological effectiveness goes
4 up. And here in the range of X-rays, it's about
5 twice as effective as high energy gamma rays.

6 And this report had an extensive discussion
7 of the data that supported this conclusion. And
8 the ICRU report said there is clear evidence that
9 X-rays, 280 to 250 kVp X-rays are twice as
10 effective as high energy gamma rays in causing
11 stochastic effects, said that right there in the
12 report. And this is a dataset and a conclusion
13 that the ICRP has never adopted in anything they
14 did. They have assumed that the biological
15 effectiveness of photons of any energy from 50
16 electron volts up to 100 MeV is the same. And if
17 we look in ICRP-60 for an explanation of this,
18 they say we don't think it would be helpful to do
19 anything different.

20 But here's a hint. The evidence is fairly
21 compelling. This is a calculation, but there's a
22 lot of data that say that X-rays are twice as
23 effective as gamma rays. And I'm going to kind
24 of go through the data and show you what we did
25 about it. So here's a place where we part

1 company from ICRP.

2 Somewhat surprising to me, historically there
3 were not that many experiments that were designed
4 to study the biological effectiveness of lower
5 energy X-rays. X-rays were one of the reference
6 radiations that people often used to study
7 neutrons. But there weren't a whole lot of
8 studies that just looked at X-rays themselves as
9 the radiation under study, but there was a lot of
10 data on a particular kind of end point in a cell
11 system. And you could say, well, what relevance
12 does this have for induction of cancers in
13 humans, and that's a fair comment.

14 **DR. ZIEMER:** Could I interrupt and ask you to
15 clarify? Are you or they using the kVp value
16 like -- is this --

17 **DR. KOCHER:** Okay --

18 **DR. ZIEMER:** In other words --

19 **DR. KOCHER:** This is a double dose of
20 spinach.

21 **DR. ZIEMER:** Yeah, because the --

22 **DR. KOCHER:** The energies --

23 **DR. ZIEMER:** A 250 kVp X-ray spectrum has
24 virtually no 250 kVp X-ray -- or kV X-rays in it.

25 **DR. KOCHER:** I will take the time to explain

1 why we assigned REF to this energy range. But
2 Dr. Ziemer's point is this: If you have an X-ray
3 tube that you apply this potential difference to,
4 the energies of X-rays tend to be a lot lower
5 than this --

6 **DR. ZIEMER:** About a third.

7 **DR. KOCHER:** -- by about a third. The peak
8 of this -- you get a spectrum of X-rays, and the
9 peak is in the 50 to 70 keV region. It depends
10 on how it's filtered, and everybody does it
11 different.

12 But yeah, what you're actually measuring here
13 is the biological effectiveness of X-rays in the
14 50 to 70, 50 to 80 keV region. And I'll have to
15 come back in a second as to why we assumed that
16 those data apply in the energy range of 30 to
17 250. That's a good point.

18 These are the studies that the ICRU pointed
19 to to say that there's a clear difference between
20 X-rays and high energy gamma rays. And all the
21 data ranges from a low of about 1.5 up to a high
22 of -- central estimate of about 4, with fairly
23 large uncertainty. And it was on the basis of
24 this that the ICRU said that there's a clear
25 difference of about a factor of two between these

1 low energy X-rays and high energy gamma rays.

2 Now here's another case -- initially we were
3 just going to use this dataset. But as a result
4 of one of the rounds of technical reviews and
5 some further thinking on our own part, there are
6 data in humans that can be used -- well, I'm
7 skipping ahead. Let me go to this line here.

8 These are studies where the biological
9 effectiveness of X-rays was studied directly.
10 But there are other studies where you can infer
11 the RBE for X-rays indirectly in the following
12 way: You do a study of neutrons, you're trying
13 to investigate the biological effectiveness of
14 neutrons. And you do one set of measurements
15 with high energy gamma rays as your reference
16 radiation, and you do another set of measurements
17 with X-rays as your reference radiation. The
18 difference in RBE for those two studies gives you
19 an indirect measure of RBE for the X-rays.
20 Because you're going to see a difference in the
21 two results for neutrons, and you can compare
22 those two to infer what the RBE for X-rays was.
23 And there's a lot of studies, and they're listed
24 in nauseating detail in the report. And these
25 again show a clear difference of about one and a

1 half to about three between X-rays and high
2 energy gamma rays.

3 Now the third piece of information, there are
4 data in humans that can be used to investigate
5 the question of are X-rays biologically more
6 effective in causing cancers in humans than high
7 energy gamma rays, because you have the A-bomb
8 survivors where children had their thyroids
9 irradiated by high energy gamma rays, but there
10 are all these studies of children who were given
11 X-rays for various medical treatments. These are
12 fairly large populations, and they've been
13 studied. And so you can compare the thyroid
14 cancer risks in the A-bomb survivors with the
15 thyroid cancer risks in these other medical
16 groups to infer an RBE. And unfortunately, the
17 statistics are so poor in these data that the RBE
18 that you infer ranges all over the map. You can
19 get -- the 95 percent confidence interval ranges
20 from an RBE of .2 up to 4, so you can get any
21 number you want.

22 But what I think is kind of striking -- and
23 they are even poorer datasets for other cancers,
24 like breast cancer and colon cancer and a few
25 others -- none of these datasets show a clear

1 difference between X-rays and gamma rays. By the
2 same token, none of them show that there's not a
3 difference. You can't infer anything from
4 something like this about the effectiveness of X-
5 rays relative to gamma rays. And what I think is
6 kind of striking is that the central estimates
7 tend to cluster near one to two. You don't ever
8 find an outlier out there, which is kind of what
9 you would expect on pure random grounds. So we
10 took this as a dataset that we could apply some
11 weight to.

12 So we have different sets of information, and
13 as I did for alpha particles and leukemias, we
14 just gave different weights to this information
15 to come up with some kind of a hybrid
16 distribution. And here we felt that the evidence
17 from the non-human studies was just fairly
18 compelling, so we gave a 75 percent weight to a
19 distribution between one and five. It was a
20 combination of the data on the dicentric
21 chromosomes and all the indirect inferences --
22 there were about 10 or 15 of them that I listed
23 in the report, all of which showed a clear effect
24 -- so we gave a 75 percent weight to that.

25 But we gave a small but still substantial

1 weight to the possibility that there's no
2 difference in humans. Again, the human data
3 neither support nor refute any assumption you
4 want to make. So we just said, well, maybe
5 there's no difference. So we just assigned a 25
6 percent weight to the fact that there would be no
7 difference. And the result is a 95 percent
8 probability that it's somewhere between one and
9 nearly five, and a median of about 1.9.

10 Now how did we take this data for a very
11 limited range of X-ray energies and assume that
12 it applies between 30 and 250 keV? Well, that
13 goes back to this curve right here. We said
14 we're going to trust the ICRU calculation where
15 the radiation quality is flat over this entire
16 energy range. And this mean here is at 30,
17 roughly. And your guess is as good as mine as to
18 where you want to draw the cut-off up here, but
19 we put it at 250, which is about here. So we
20 said everything in here is twice as effective,
21 roughly, as out here, which is our reference
22 radiation. So the 30 to 250 comes from assuming
23 that this curve is right. But in fact, as Dr.
24 Ziemer pointed out, all the data are in a fairly
25 narrow range of energies down here, so it's an

1 inference from the calculation.

2 Well, the other thing that you see from this
3 curve is as you go below 30 keV that the
4 biological effectiveness starts to rise, and so
5 below 30 keV we assumed that this curve would be
6 more or less correct. We were not aware of any
7 actual radiobiological data that investigated
8 this low energy range, but we assumed that this
9 curve was more or less correct in going below 30
10 keV. And because of that, we increased the
11 previous distribution by a triangular
12 distribution as we went below 30 keV. The mean
13 of that rising curve is about 1.3. We didn't
14 figure that it was worth actually having this be
15 energy-dependent. We just applied the same
16 distribution at any energy below 30 and gave it a
17 triangular distribution. So that increases the
18 biological effectiveness even more as you go
19 below 30 keV.

20 And what you get when you do that -- here's
21 our 25 percent weight at one, smeared out by a
22 triangular distribution, and then the rest of the
23 lognormal similarly smeared out. This is a
24 probability distribution for the lowest energy
25 photons, median of about 2.4. And there are lots

1 of calculations out there. This is an
2 interesting problem for breast cancer in women,
3 because they're starting to use really low energy
4 X-rays to do this. And people have done a lot of
5 calculations using different assumptions about
6 radiation quality. And they come up with numbers
7 that agree with the ICRU curve, but I don't know
8 of any real data to describe this problem. If
9 those of you in the medical community on this
10 Board know about it, let me know.

11 So for photons we are certainly departing
12 from the standard ICRP assumption that it's one
13 for everything. So we have an increased
14 effectiveness as we go below 250 keV, a further
15 increase as we go below 30, but some weight given
16 to values less than one. There is this little
17 tail down here.

18 The last category is electrons. The only
19 radiation that I know of that's been studied is
20 tritium beta particles, because tritium is a
21 radionuclide that's encountered often in the work
22 place. It's been studied six ways from Sunday,
23 as reviewed by Tore Straume and Carsten and
24 documented in our report. The history of this in
25 terms of radiation protection, I think, is quite

1 interesting.

2 What did ICRP do 40 years ago, Paul? Do you
3 remember this?

4 **DR. ZIEMER:** I can't remember back 40 years.

5 (Laughter)

6 **DR. KOCHER:** Well, I was in high school, so I
7 can't be expected -- anyhow, in ICRP Publication
8 2, the exposure limits for tritium incorporated
9 an RBE of 1.7. This is 1960, so this phenomenon
10 was known. But that increase -- this was the
11 famous N factor in the equation H equals DQN .
12 I'm really digging deep into ancient history
13 here. This N factor was -- the ICRP had was to
14 account for anything else that you wanted to put
15 in the equation. It went from absorbed dose to
16 dose equivalent. And they assumed N equals 1.7
17 for tritium beta particles back in 1960. Well,
18 that was dropped beginning in publication 26, and
19 it's still not there. So this has a history of
20 being used, but it's not used today. ICRP today
21 says the biological effectiveness of tritium beta
22 particles is one.

23 Well, you could argue this till the cows come
24 home. There's all kinds of data on various kinds
25 of biological systems that says it's not one, and

1 this has been written about by many different
2 people. No data on cancer induction in humans,
3 so who knows what the story really is. But we
4 said there's all this data in various biological
5 systems; we ought to use it. There's probably 20
6 or 30 good experiments out there that show a
7 clear increase in biological effectiveness for
8 these very low energy electrons.

9 The RBE's range from about one to two at the
10 low end up to about six at the high end, and
11 we've excluded these really unusual chemical
12 forms of things that get bound to DNA and don't
13 really mimic what tritiated water would do in the
14 human body. But still you get up to about six.
15 And here again, the standard ol' lognormal
16 distribution from a low of about 1.2 up to about
17 5, median of about 2.4; 2.3 is a number that
18 you'll find in ancient literature in some cases.
19 So this is a clear effect that the ICRP doesn't
20 have in their model.

21 One of the problems here, of course, is that
22 these energies of beta particles are very low;
23 4.7 keV, I think, is the average energy of that
24 spectrum, and the endpoint of that spectrum is
25 less than 15 keV. So these are very, very low

1 energy electrons, but they show a clear effect.
2 And you're going to have tritium exposures in
3 your claimants, that's for sure.

4 Well, at that point we kind of went off the
5 deep end, and here's where I don't really -- I
6 won't give you an extra dose of spinach on this
7 one. But we just wondered, these energies of
8 tritium beta particles are so low, is there some
9 intermediate energy electron, range of
10 intermediate energy electrons where the
11 biological effectiveness would be lower than for
12 tritium beta particles, but would still be
13 greater than one? And we went through a long
14 song and dance -- and it's in the report -- that
15 for energies from about 15 to 60 keV there ought
16 to be an increase, just based on physical
17 grounds, looking at what are the radiations that
18 electrons produce when they interact with matter,
19 and going back to the ICRU curve for photons.
20 But I won't take time to do that here.

21 But if the Board members who are interested
22 in this problem want to review what I have in the
23 report and comment on it I'd appreciate it, and I
24 think NIOSH would, too. I don't think you're
25 going to encounter a lot of cases where

1 intermediate energy electrons, say between 50 and
2 60 keV, are important. Carbon 14 is the only one
3 that I know of that falls in that group, and I
4 don't really know what kind of exposures to
5 carbon 14 you're going to have out there. But we
6 haven't done anything about that.

7 The other thing that we did not touch is this
8 whole question of these really low energy Auger-
9 emitting radionuclides, and these are electron
10 energies that are often a keV or thereabouts or
11 less. And sometimes those radionuclides get
12 incorporated directly into DNA, so the RBE can be
13 huge. But that's a special problem that we have
14 ducked, and I think rightly so. If you think
15 somebody was exposed to Auger-emitting
16 radionuclides in the work place and they were
17 incorporated into DNA, you really need to look at
18 that as a special case.

19 Okay, let me just try to sum up here what we
20 have done, just a kind of two-page summary of the
21 different radiation types and what we developed.

22 Photons is a case where we clearly have
23 departed from the standard ICRP assumption. We
24 have separate distributions of an REF that are
25 greater than one, and entered one distribution

1 for energies less than 30 keV and another for
2 energies between 30 and 250. This distribution
3 is based on data for X-rays, most of whose
4 energies are in the 50 to 80 keV region, combined
5 with the ICRU curve which says that radiation
6 quality should be flat between about 30 and 250.
7 Applies to all cancers equally.

8 Electrons, we have just a single distribution
9 for tritium beta particles, for reasons that are
10 explained in the document, we assume applies out
11 to energies of 15 keV, but nothing in the
12 intermediate energy range. That's something that
13 could come in the future, I think. Again,
14 applies to all cancers.

15 What's really nice, I think, that helps kind
16 of tie this all together, the distribution for
17 the tritium beta particles is essentially
18 identical to the distribution for the lowest
19 energy photons. Which if you know about the
20 physics of how photons interact with matter, this
21 is as it should be. Less than 30 keV photons,
22 the dose is delivered by electrons whose energy
23 is 15 keV or less. So this is really nice. The
24 radiobiological data and the calculations have a
25 nice story that ties together, so I'm pretty

1 confident about this.

2 For alpha particles we have separate
3 distributions for leukemias and solid tumors,
4 again based on the evidence which says that for
5 high LET radiations the difference in
6 effectiveness does depend on whether you have
7 this kind of cancer or this kind of cancer.
8 Again, I think that the shakiest part of our
9 entire analysis is alpha particles and leukemias.
10 And I really welcome comments about what we might
11 do about this.

12 These distributions are independent of
13 energy. The good news about radioactive decay is
14 that the range of alpha particle energies is very
15 limited. It's about four to eight MeV is all you
16 get.

17 And we apply an inverse dose-rate effect in
18 all cases. All exposures to alpha particles are
19 assumed to be chronic. And again, the central
20 estimate here at the end of the day was about 18,
21 which is more or less 20, but it's a broad range
22 of values. Again, you have to think about
23 uncertainty, not just where the central estimate
24 lies, and there's a lot of uncertainty in these
25 REFs.

1 And lastly, for neutrons, again we
2 distinguish between leukemias and solid tumors;
3 and furthermore, we have an energy-dependent REF.
4 We have these five energy bins as defined by
5 ICRP. So we have three sets of distributions,
6 each for the two different types of cancer. And
7 we have a correction for the inverse dose-rate
8 effect that would be applied only in cases of
9 chronic exposure to neutrons.

10 Well, after that spinach you can have some
11 chocolate ice cream for lunch, I guess. You've
12 got to balance the diet here. I'm sorry about
13 that, but I really didn't know how to talk about
14 this without making it painful.

15 **DR. ZIEMER:** Thank you very much. An
16 extremely interesting approach that's been used
17 to what clearly would be a difficult problem if
18 point values were used on all of these things.

19 **DR. KOCHER:** Yeah, I might comment. The
20 atomic veterans' dose reconstructions haven't
21 done any of this. Of course, the presumption was
22 that they don't have a lot of problems with alpha
23 particles and neutrons, but of course they do
24 have some. The veterans got some neutrons, and
25 some veterans got some plutonium. But they have

1 basically in that work assumed point estimates as
2 developed by the protection authorities, so this
3 is breaking new ground.

4 **DR. ZIEMER:** And it's taking into
5 consideration a wide variety of studies, some of
6 which appear to us now to conflict in terms of
7 what they tell us.

8 **DR. KOCHER:** Yes.

9 **DR. ZIEMER:** So you've given some weight to
10 --

11 **DR. KOCHER:** And there were always questions
12 about how to apply data in different biological
13 systems to humans. This is really in the realm
14 of what do you do. That's a problem for
15 neutrons, could be a problem for alpha particles.
16 The dicentric chromosome aberrations, is that
17 relevant for induction of cancer in humans or
18 not? I don't know. We've gotten feedback both
19 ways as to whether those datasets are useful.
20 But we tried -- again, we tried to be honest
21 about what we did, warts and all, warts and all.

22 **DR. ZIEMER:** And Owen, we appreciate the
23 comment, a sort of correction that we have
24 assumed that you built in biases. Actually those
25 biases come, in terms of application to

1 compensation, come in terms of where you draw the
2 cut-off, and that's more of a political/legal
3 issue. So I think we're seeing at least an
4 attempt here to be sort of neutral on how you do
5 this.

6 **DR. KOCHER:** Yes, sir, I --

7 **DR. ZIEMER:** And let the science try to speak
8 for itself.

9 **DR. KOCHER:** Exactly. That's exactly what I
10 did. And the science is imperfect, there's no
11 question about it. But we did not try to start
12 out -- I did not try to start out with a certain
13 bias as to what we should do, just let the data
14 speak to us and see what we get.

15 **DR. ZIEMER:** Well, let's take a couple of
16 minutes here for additional questions, then we
17 need to take a break. Yeah, Gen.

18 **DR. ROESSLER:** Well, David, that was
19 wonderful. I read your report on the airplane,
20 and I wasn't even tempted to look at my novel.
21 It was so interesting and so refreshing to see --

22 **DR. KOCHER:** Are you having trouble sleeping
23 at night?

24 (Laughter)

25 **DR. ROESSLER:** No, well, except thinking

1 about a few things here. But I think the
2 science, the degree to which you've applied
3 science, really should be applauded. And the
4 honesty with which you talk about things, because
5 I was going to really nag at you about the
6 leukemias and alpha particles.

7 **DR. KOCHER:** Please.

8 **DR. ROESSLER:** Well, you already -- there's
9 nothing left, because you already admitted the
10 weak points. And I guess the one thing that
11 maybe isn't quite reflected correctly in your
12 paper is when you put that 50 percent weight on
13 the Thorotrast patients, it seems as though it
14 all came from that one paper, the Hunacek and
15 Kathren. But in fact, it really -- there's more
16 --

17 **DR. KOCHER:** They reviewed -- they did a
18 review of the other studies as part of their
19 work, is where that comes from.

20 **DR. ROESSLER:** Yeah, so it's really not based
21 just on those two autopsies, but --

22 **DR. KOCHER:** No.

23 **DR. ROESSLER:** -- the rest -- yeah. And I
24 think maybe in the way the paper's written, it
25 implies that it was just that one.

1 **DR. KOCHER:** Yeah, I need to make it clear
2 that when we used that paper that I was using
3 information that they got from all the previous
4 studies.

5 **DR. ROESSLER:** Yeah, I think that would help.

6 **DR. KOCHER:** They were really the ones that
7 pointed out the uncertainties in dosimetry in the
8 other studies. And that's where the range in
9 values comes from, is the difficulty in
10 estimating dose. But yes, I will do that.

11 **DR. ROESSLER:** That's my only comment.

12 **DR. ZIEMER:** Other comments? It's the point
13 at which the desire for a break overcomes the
14 desire to --

15 **DR. HOFFMAN:** Just a suggestion, that is
16 definitely have a break now, after which there's
17 a discussion period?

18 **DR. ZIEMER:** Yes, we're coming back.

19 **DR. HOFFMAN:** Much of what Brian Thomas is
20 scheduled to present leads right into discussion,
21 because this next rather brief presentation is an
22 attempt to sum it up. And the bottom line is two
23 individuals with the same disease and the same
24 dose don't necessarily get the same probability
25 of causation.

1 So in the event that we don't get to access
2 that web site today, next time you get on it look
3 down at the bottom of that main screen. There's
4 a button that says "View Model Details." You can
5 access lots more details now than you could just
6 two or three weeks ago. And there's even some
7 additional calculation buttons under the view
8 model details now that will show you the exact
9 original ERR per sievert value that was used for
10 the case you're running. And then you can see
11 the ERR per sievert after it's been adjusted for
12 the errors in dosimetry, after the values have
13 been transferred to the U.S. population, and then
14 after they've been adjusted by the DDREF, and
15 then the final. So all of those are there as
16 buttons you can click and calculate.

17 Probably what we'll do today, once I run
18 through this real brief PowerPoint talk, is we'll
19 get right into the source code, and I'll show you
20 kind of how it's laid out. It's not as user-
21 friendly for it to give you a copy. It'd be a
22 little harder for you to browse through than it
23 would be to run it on the web. But we'll go
24 through some of that. If there's questions that
25 come up, we'll immediately be able to address

1 those within the model.

2 So I'm going to start out by just discussing
3 some of the required model inputs. You guys are
4 extremely familiar with this, but I at least have
5 a slide that will touch on them. I'm going to
6 show you some results from two or three case
7 studies that we've come up with. And the purpose
8 of this entire talk is just to show you that two
9 people that were exposed the same way might not
10 have the same probability of causation.

11 And just a note about the results that I'm
12 going to be showing today, the slides up here
13 were done with 1,000 iterations. And if you guys
14 have read the rules, the Department of Labor are
15 going to be using 2,000 iterations for all their
16 runs.

17 The inputs for the personal information
18 include the individual's gender, their year of
19 birth, the year that they were diagnosed with a
20 particular cancer. Then you'll need to select
21 from a pull-down menu a cancer model. There are
22 30 cancer models included in NIOSH-IREP, and
23 there's even a category called other and ill-
24 defined sites. So if someone has a cancer that's
25 not included with one of those models, that would

1 be the model that would be used. A couple of
2 other things that need to be entered, if the
3 individual has lung cancer, the smoking history
4 needs to be selected. If the individual has skin
5 cancer, they need to select the ethnic origin.

6 The exposure to be entered, this will be done
7 by the people who do the dose reconstruction from
8 NIOSH. All these things will be entered: The
9 number of exposures that an individual had --
10 this could be multiple exposures in one year,
11 some acute exposures that a person had; could
12 represent one exposure per year, which would be a
13 chronic exposure over an entire year, and so you
14 could have several of those; the year of each
15 exposure; the exposure rate -- whether they got
16 the dose acutely just in a short period of time,
17 or whether they got it over a long period of
18 time; the radiation type, which is what David
19 Kocher just went into; and of course the organ
20 dose.

21 Now some of the advanced features. Owen
22 touched a little bit on the user-defined
23 uncertainty distribution already. Also, the
24 simulation sample size can be edited. By
25 default, the Department of Labor will be doing

1 2,000, but anyone else looking at the model on
2 the web, you can pre-select that, any value you'd
3 like. Same thing with the random number seed,
4 and that simply is just a value which the Monte
5 Carlo simulations use as a starting point.

6 So the main question is will two individuals
7 who receive the same dose have the same
8 probability of developing cancer? Here's a case.
9 This is a female -- there's actually going to be
10 two cases. Age at exposure for the first female,
11 she's 20 years old. She gets cancer when she's
12 50. Liver cancer, one exposure to chronic
13 photons, energy range 30 to 250 keV, and I've
14 just entered a constant dose of ten
15 centisieverts.

16 And what you see in the first column here is
17 the first individual. This is the one that was
18 exposed at age 20, and this is the individual
19 exposed at age 40. And so what this shows you is
20 the dependence on age at exposure. You can see
21 that the person who was exposed at a younger age
22 would qualify under the current regulations, and
23 the person who was exposed at an older age would
24 not.

25 I have a case here, just to show you a little

1 bit how smoking history affects the probability
2 of causation. We have a male exposed at age 20,
3 diagnosed with lung cancer at age 50. Case 2A,
4 he never smoked, case 2B, he smoked one to two
5 packs per day. And I just put a dose in here of
6 50 centisieverts. I selected the dose in a way
7 that the 99th percentile would be at or around
8 the 50 percent. So you see the person who never
9 smoked has the higher probability of causation
10 than the person who did smoke.

11 And here's an example just to show the time
12 since exposure effect, the time between when
13 they're exposed and when they're diagnosed. And
14 so what we have here is an individual exposed at
15 age 20. One of them gets cancer at age 25, the
16 other at age 35. This is lung cancer, and
17 neither individual has smoked, 50 centisieverts.
18 And so what you see here is that the person who
19 got the cancer earlier has a lower probability of
20 causation. And so immediately you think, well,
21 that's kind of weird, but not really. With all
22 cancers, as you know, there's a latency effect.
23 And so if I get exposed today and get cancer
24 tomorrow, that's not really practical. It takes
25 time for those cancer cells to develop.

1 And there is an S-shaped curve then in NIOSH-
2 IREP to account for this. It doesn't just go
3 five years and then have a steep incline there.
4 It's an S-shaped curve. And so there is still
5 some probability that a person who gets the
6 cancer five years later is -- there's still some
7 probability that that exposure caused their
8 radiation, but not as likely as someone who got
9 the cancer 15 years later from the same exposure.

10 And so normally at this point what I was
11 planning on doing is click this button, and it
12 would take us right on line and we'd run a few
13 more examples. I don't know if you guys have
14 been on line recently, but one of the neat
15 advancements that we've added to this thing since
16 we traveled around to the Department of Labor
17 sites in April is that right on the front screen
18 there are two buttons now instead of just one.
19 The Department of Labor claims examiners had
20 expressed an interest in reducing the number of
21 mouse clicks that it took to process a claim.

22 And so what we've done is right on that front
23 page we've provided the option. They can --
24 well, an individual using the code can click on
25 the first button, and that will take you right

1 into the input screen. You can manually input
2 everything. Or you can click on the second
3 button, and what that will allow the claims
4 examiners to do is to use a pre-formatted input
5 file prepared by NIOSH. They'll just locate it
6 on their hard drive, upload it. All the fields
7 will be pre-selected for them, so it reduces the
8 possibility of errors in entering it more than
9 once.

10 So what we're going to do at this point -- so
11 you saw with the slides my conclusions that two
12 people can have a different probability of
13 causation for the same dose. So now we're going
14 to get right into the model, and I'm going to
15 show you just a little bit in here -- I might run
16 one example, and then we'll start with some
17 questions and run some specific examples.

18 Now when we first began working with the
19 National Cancer Institute -- do we already have a
20 question, before I get started?

21 **MR. GRIFFON:** Yeah, just one question.

22 **MR. THOMAS:** Sure, go ahead.

23 **MR. GRIFFON:** This model you're running right
24 now, it is Version 5.2, and it's running in
25 Analytica. Is this -- we've been told that this

1 new version of IREP isn't available on CD, and it
2 looks like this might be. This is something the
3 Board has asked for for review purposes.

4 **MR. THOMAS:** I stayed awake late last night
5 cleaning this thing up to be able to show you
6 just in case, and it still would require some
7 time to clean up a little more. And we can have
8 some discussions about how feasible that would
9 be. I think the primary concern with spreading a
10 lot of CD versions around would be that -- well,
11 let me start by saying the reason that we went to
12 the web was two-fold.

13 First of all, almost everyone is familiar
14 with a web browser, and they can navigate around
15 with the little finger and click back and forth.
16 Most people aren't that familiar with the
17 Analytica programming platform, and so it's a
18 little harder to navigate around in there. So
19 that's one reason we went to the web-based
20 approach.

21 The other reason is that as updates occur,
22 it's much easier to change it once on one server
23 computer, and then everyone accessing it from
24 that day on is getting the current version. So
25 the fear is that we would float a lot of CD

1 versions around, the model gets updated, and then
2 someone would run one of the CD versions and get
3 a different answer than what comes on line. So
4 perhaps there's a way to release a CD that's just
5 for review purposes, never to be intended to
6 process claims with or to compare to what's on
7 line.

8 **DR. ANDERSON:** Self-destruct.

9 **MR. THOMAS:** Self-destruct in five days,
10 okay.

11 **DR. ANDERSON:** Like all that test software
12 you can get off the --

13 **MR. THOMAS:** Exactly, yeah. Okay.

14 So what I'm going to do is -- yes, we are in
15 software called Analytica. When we began working
16 with the National Cancer Institute we chose
17 Analytica because when presenting to the public
18 it's really nice to be able to show diagrams and
19 things like that as opposed to trying to show
20 them some C code or Fortran code, or even Excel
21 is really hard to go through that with the
22 public. And this does the same calculations, and
23 deals a lot easier with arrays of data. And so
24 we chose Analytica for that reason. It includes
25 uncertainty analysis software right in it, so

1 it's really nice. And we did release a CD
2 version, Version 2.1, for the NAS review
3 committee to have. And overwhelming comments
4 were it's too hard for them to navigate through,
5 and so that just again pushed us to go towards
6 the web version.

7 And it's not going to look exactly like what
8 you're used to on the web, but still has the same
9 inputs. Just to let you know how this works is
10 this program is housed on a server computer.
11 Every time you log on to the web, enter all your
12 inputs, and click calculate it is submitting
13 those inputs into the server, opening a copy of
14 this software, running it, and then submitting
15 the answers back to your web page. So every
16 calculation is done live. A lot of times what
17 you see on the web is a calculator, but it's just
18 look-up tables. This thing is done live every
19 time -- 2,000 iterations, 10,000 iterations,
20 whatever you choose.

21 So this is our main input screen that we've
22 created in Analytica. Notice there are quite a
23 few more pieces of personal information to be
24 entered on the web version, or that you can enter
25 on the web version. Those are programmed in the

1 HTML. They don't even need to call out here,
2 because it's things like the claimant's Social
3 Security number and those sorts of things that
4 don't need to be passed across the web. They can
5 just stay right on your machine.

6 And so -- but you can see a pull-down menu
7 for gender; the birth year, you just type it in;
8 the year of diagnosis; you select from the type
9 of cancers. On this version the ethnic origin I
10 have right on the screen. On the web version
11 it's down one level; there's one more button to
12 press to get to that. The lung cancer entries
13 are here. This is where you would enter things
14 like the smoking history, the radon exposures.

15 Advanced features would include the user-
16 defined uncertainty distribution, and on the web
17 there's an advanced features button which --
18 that's also where you would change the number of
19 iterations or the simulation sample size and the
20 random number seed.

21 Here in this step three, enter exposure
22 information, this is where you would first of all
23 type the number of exposures, and then based on
24 the number of exposures you type there that's how
25 many doses will be used from this table. And so

1 what we have done is -- this is one of the things
2 that's sort of confusing about this version.
3 When we first created it, it would create -- it
4 was sort of an interactive table. Depending on
5 the number of exposures you typed right on the
6 front, it would create only one column for only
7 one exposure, you type it in and go on.

8 What we've done is we have allowed up to 200
9 exposures from the web. So the web version works
10 just like that. You type in two exposures,
11 you're going to get a place to type in two doses
12 and all the corresponding information. But in
13 this version, what you have to realize is that
14 only the first column is going to be used in the
15 calculation because I only had one on the
16 previous screen. So if I had had ten there,
17 it'll use the first ten columns of data.

18 Now another thing that's not as friendly in
19 this version is that you need to physically type
20 in the distribution to be used. It's not in a
21 pull-down menu like on the web, so you have to
22 know the spelling and you have to capitalize the
23 first letter. And we have three parameters to
24 define. This is just like on the web, so the
25 first number would be for a lognormal, the

1 geometric mean. And there's a lot of help right
2 on the web site if you click, and it'll tell you
3 what to type in for each distribution.

4 Now the exposure rate is either a lower case
5 C for chronic or a lower case A for acute. On
6 the web it's a pull-down menu between acute and
7 chronic. Radiation type, there are eleven
8 different radiation types that you can choose
9 from. Again, on the web it's a pull-down menu,
10 and here you have to know that E-1 stands for
11 electrons, energies less than 50 keV. So if
12 something like this ever did get distributed,
13 we'd need to put a little help file right beside
14 that to tell exactly what those energies are so
15 you can play with the different ones. I've made
16 myself a little list, so if we go in and play
17 with them today, we're all set.

18 So the one example I'm going to run first,
19 it's for a male born in 1900 -- and the reason I
20 picked 1900 is because it's easy to add 30 and 50
21 or whatever to -- so they're born in 1900.
22 They're exposed in 1930, so they're 30 years old.
23 We're going to define their dose as a lognormal
24 distribution with a geometric mean of 20
25 centisieverts and a geometric standard deviation

1 of 1.4, which is about a factor of two. This
2 person was exposed to a chronic dose of high
3 energy photons. This is energies greater than
4 250 keV. And they got cancer, they got liver
5 cancer, in 1950.

6 So let's run NIOSH-IREP. And you notice I
7 have two buttons here. One is this table of
8 results. All it is is just the percentiles, the
9 1st through the 99th percentiles. The other one
10 has a little bit more information in it, summary,
11 it'll list their cancer type and those sorts of
12 things, the birth year and year of diagnosis.
13 Okay, so we see that this individual clearly
14 qualified for what I entered. So that's how the
15 results look here.

16 If you remember, on the web you get a really
17 nice page that you can either save electronically
18 or print out that has every piece of information
19 that went into the run, including simulation
20 sample size, the random number seed, all the
21 exposure information, so that years from now you
22 could take that sheet of paper and rerun the
23 model and get exactly the same result.

24 Now it just turns out that this projector is
25 the same projector that we own at our office, so

1 I know that it has this feature where it will let
2 us enlarge, if I aim it right at it, and you can
3 see those results. I apologize for those of you
4 in the back. I didn't think to do that earlier.

5 So again, the 99th percentile is what we're
6 concerned about for compensation purposes.

7 Okay, so that's an example that kind of shows
8 you how this Analytica version works. Let me
9 show you one more piece of information that is
10 really cool, and this is also available on the
11 web just as tables. There's a button down at the
12 very bottom called intermediate results or -- I
13 can't remember the exact wording, but it's more
14 results that you can go in and see the excess
15 relative risk that was calculated, and you can
16 see the breakdown of the contribution to
17 variance.

18 So what I have here -- and I apologize,
19 because I know that at least one person has
20 complained that on the web we used to have these
21 pie charts like this, and I just created these in
22 a little picture editor program just to show that
23 it's broken into three pieces. This doesn't --
24 this is not intended to show which one is --
25 they're all equal size. But when you click this

1 little calculation button, you're going to get a
2 table that is live that has to do with this exact
3 case we just looked at.

4 Now what you see at the very top -- there's
5 really no need for us to look at this one because
6 we have sources other than radon. If we had had
7 radon sources and we had had some user-defined
8 additional uncertainty, then this would be broken
9 into three components. When we click it now,
10 it's only broken into one component; 100 percent
11 of it goes to the excess relative risk, sources
12 other than radon. So you can see the little
13 arrow that goes across here. If we had had radon
14 sources, we could go here and see the breakdown
15 of the ERR for radon. Since we don't, we're
16 going to go to the left, and we're going to look
17 at a breakdown of everything that it takes to
18 calculate the excess relative risk.

19 One component is dose, and you'll remember we
20 had some small uncertainty on the dose; the RBE,
21 which has now been updated to be REF; and then
22 the adjusted ERR per sievert. Now the word
23 "adjusted" just simply means that it's been
24 through all the adjustments now. This is not
25 looking at the original ERR per sievert. This is

1 including all the uncertainty for the DDREF, for
2 the transfer to the U.S. populations, for bias
3 and uncertainty with everything else.

4 Okay. So then let's go and look at that.
5 And what you see, that the organ dose plays a
6 little bit into it. So the organ dose plays a
7 little bit into the uncertainty because it had a
8 GSD of about 1.4.

9 But you can see that the ERR per sievert
10 dominates the uncertainty here. So let's zoom
11 out, and let's go find out -- let's look at a
12 breakdown now of the adjusted ERR per sievert.
13 So what you see in this list is the original ERR
14 per sievert. This is what came straight from the
15 -- this just includes the statistics on the
16 Japanese survivor data.

17 Errors in dosimetry accounts for a very small
18 amount of the uncertainty. Transfer to the U.S.
19 population in this case is the largest
20 uncertainty, and that has to do with the
21 backgrounds, it has to do with whether they use
22 an additive or a multiplicative approach when we
23 use the Japanese data for U.S. population. This
24 is your DDREF that Owen went into and showed you
25 the distribution for. You can see that it

1 affects about 23 percent. And again, this is not
2 23 percent of the total uncertainty. This is 23
3 percent of that 80-some percent that we looked at
4 before. So it's 23 percent of this piece, which
5 was 80 percent of the total.

6 Now of course this lung -- adjustment for
7 smoking doesn't play into this because we're
8 looking at liver cancer. On the web when you
9 click intermediate results, it'll bring up
10 separate tables for lung so you won't see that
11 blank line, because that might confuse someone if
12 it says lung cancer and they know they selected
13 liver.

14 So that's a really nice tool for analyzing
15 like what you guys want to do, to look through
16 the model.

17 Okay, what's next? Any questions? What do
18 we want to look at?

19 **DR. HOFFMAN:** Brian, last evening when we
20 just arrived, I think it was Rich Miller cornered
21 us and said he really doesn't like what we've
22 done through the DDREF. He says that it isn't
23 really conclusive that DDREF is absolutely
24 linear, and therefore we should use 1.0 and not
25 this 20 distribution that we've put in. Well,

1 now that Brian has pulled up the source code, go
2 in and change the DDREF to 1.0 and see what the
3 difference would be. Show them the original
4 calculations that we have here, and then replace
5 the distribution with just simply 1.0 and show
6 them what the difference would be.

7 **MR. THOMAS:** I'm jotting down some numbers.

8 **DR. HOFFMAN:** Yeah, here it's -- you'll have
9 to memorize it -- the 99th percentile, it falls
10 at 50.8, and 50th percentile is at 12.6.

11 **MR. THOMAS:** So what we've done on the web
12 version under view model details is just taken
13 screen shots of each of these screens that I'm
14 going to go through. This is the screen that I
15 was mentioning earlier. Now there's calculation
16 buttons -- there's actually a link which will
17 show up right here on the page. You click that,
18 and it'll bring you to another web page that will
19 have all the buttons on top of each other. You
20 can just click each one and see the adjustments,
21 see what effect the adjustments have.

22 So we are going to go right into this DDREF,
23 and instead of using a distribution we're going
24 to replace all this -- I'm going to cut it so I
25 can paste it back in a moment. Don't anyone

1 worry, this isn't the official one that's on --
2 this is just -- this is only my copy, don't
3 worry.

4 (Laughter)

5 **MR. THOMAS:** Okay. So we've changed the
6 DDREF, and I'm going to click run here to show
7 you that one, that's what it's going to use now
8 for the DDREF. So we'll go right back to the
9 front page, put calculate, see what difference it
10 makes.

11 Well, it's not exactly the same number, and
12 you can see -- remember we had about 13 or 14 for
13 the midpoint, now we have 19. And the 99th
14 percentile used to be 51, now we have 55. And
15 this is based on 2,000 iterations. So it makes
16 some small difference, which we saw previously
17 when we looked at those pie charts. We saw that
18 it did have some effect on the overall
19 uncertainty, but it's not a significant source of
20 uncertainty.

21 **DR. HOFFMAN:** The other thing to show there
22 is by changing the DDREF, the midpoint comes up
23 almost a factor of two, but at the 99th
24 percentile --

25 **MR. THOMAS:** Well, it was 13 and -- well,

1 okay, if you round down to ten or up to 20 --

2 **DR. HOFFMAN:** But the 99th percentile is just
3 a few percentage points.

4 **DR. ZIEMER:** Let's see if there are
5 additional questions or comments. Anything you'd
6 like demonstrated here, or varied or massaged?

7 Larry.

8 **MR. ELLIOTT:** Brian --

9 **MR. THOMAS:** Yes.

10 **MR. ELLIOTT:** -- on the web version from the
11 early Version 2.1 Analytica that was sent out as
12 a disk, in that 2.1 version there was the ability
13 to look at the risk coefficients in the models.
14 And we've had some concerns and comments that in
15 the web version that's been up lately we weren't
16 showing that. And there's good reason for that,
17 that that was based on NCI's release of their
18 documentation and what we had adapted from them.
19 But now, as of today, the risk coefficients are
20 viewable and available. Correct?

21 **MR. THOMAS:** Yes. Now that's a good point,
22 Larry.

23 **MR. ELLIOTT:** If we can get the server up.

24 **MR. THOMAS:** Yes, exactly. Larry, that's a
25 good point, and perhaps what I could do is take a

1 moment just to show you, or maybe those of you
2 who have not browsed through a CD version, where
3 those things are, and kind of how they're used in
4 NIOSH-IREP.

5 What you'll have access to on the web, those
6 five buttons that I discussed, one of -- actually
7 one of the buttons will be before any truncations
8 are made. So for cancers like uterus, where
9 there's a negative dose response in some cases,
10 the negative values are preserved. They're
11 there. You can see them. The very next step
12 truncates everything at zero, because we won't
13 use the negative values in the calculation. So
14 you'll see both of those buttons, and it'll be
15 for the case that you have selected on the front
16 screen. So if you wanted to look at a different
17 cancer type just select a different cancer on
18 your pull-down menu, and go right back and click
19 calculate and that'll let you see any of those
20 coefficients.

21 So we're going to go right into the original
22 ERR per sievert data, and these are actually the
23 nodes that get referenced from the web, so it
24 calls out and uses those. This ERR per sievert
25 database is actually a separate Analytica file.

1 And we had toyed with the idea of putting these
2 things into an Access database and hitting it.
3 It might even make it a little more efficient.

4 If you've played with the web version, let's
5 say two months ago versus last week, you see a
6 significant speed increase. It used to take
7 somewhere around -- just for a really simple case
8 it would take somewhere around 10 to 15 seconds
9 to get your answer back. For a very complex case
10 it would take minutes to an hour to get back.
11 This is someone who might have been exposed to
12 100 different exposures, three exposures per year
13 for 30 years. So it's probably not that
14 uncommon.

15 So for that reason we went into the model,
16 and we ran some diagnostics on it and found out
17 where the roadblocks were, and we tried to
18 alleviate as many of those as possible. And so
19 now, after you do the very first run on the web,
20 what that does is establishes the connection. So
21 that one's still going to take anywhere from five
22 to ten seconds. After that it's almost
23 instantaneous. As soon as you click the button
24 -- and I don't know how this all works -- but it
25 sends it across the line and right back to you

1 just really, really fast.

2 So anyway, I digressed from talking about
3 Access. These are in a separate file, and what
4 we've done is created some different groups.
5 There's a PDF file you can download right from
6 the web. If you click on this node on the web,
7 it'll give you the option to download a PDF file
8 that discusses these different answer groupings,
9 and it shows you all the elaborate equations that
10 went into those.

11 Now Charles Land did all the statistical
12 analysis on this data, and he sent us a list of
13 about 15 percentiles, ranging from the 1st to the
14 99th, that described the distribution that he
15 felt best represented the Japanese data for all
16 these cancer types. What we have done is taken
17 that list of 15 and done just one more step of
18 analysis, and instead of having only 15 values to
19 describe it we've done some cubic spline
20 interpolation, and what that has done for us is
21 created 100 values that we can sample from as
22 opposed to just the 15. And so what you will
23 see, if you look at any one of those cancers, is
24 a list of 101, actually, 101 values, because we
25 had to have a midpoint, and these are in

1 increasing order.

2 Yes.

3 **MR. GRIFFON:** I should say we won't see this
4 on the web, am I correct or incorrect?

5 **MR. THOMAS:** That's right, you won't see 101
6 values. Every time we've presented this we've
7 had the opportunity to explain what those 101
8 values are. For just someone that got a hold of
9 a CD, it might be a little harder -- or even if
10 we had that on the web -- it's a little harder
11 for someone to understand what those 100 values
12 are. So what we present is the step right after
13 this, where we create the distribution out of it.
14 So we show the distribution on the web, and it'll
15 allow you to see seven to ten percentiles from
16 the 1st to the 99th. So you'll see a range
17 similar to this, but it won't be 100 values. And
18 so at least on a CD version this is the place
19 where someone could look at those 100 values for
20 every cancer type.

21 And then what's done immediately is we pull
22 in that ERR per sievert from the database. We
23 use 101 probabilities. These probabilities go
24 from zero to one, and that just defines what each
25 of those values are. And then we create the

1 distribution in this step. And so this is,
2 again, for liver. So this is very similar to
3 what the web version will show you, and actually
4 it'll look more like this, so you'll get a table
5 that looks a lot like that now. And again, this
6 is the original ERR per sievert.

7 We have a step here where we correlate for
8 multiple exposures. This is the value before
9 it's truncated, so that's the one that gets
10 pulled out. This is after it's truncated to
11 zero.

12 Then we make the adjustments for errors in
13 dosimetry, and this is discussed very well on the
14 web. The exact numbers and distributions that we
15 used in the model are provided on the web. This
16 is where we adjust for the model mixture factor.
17 There's a good discussion of that in Charles
18 Land's report on IREP.

19 The last step is to adjust for the DDREF.
20 And as Owen showed you, that's in the
21 denominator, so you divide by that and it takes
22 you right to the final ERR per sievert. You
23 multiply that times the organ dose. Within this
24 organ dose is where Dave Kocher's work comes into
25 play, the RBE, which now is the REF. And so what

1 you see here is the programming behind the
2 photons, electrons, alpha, and neutrons. This
3 pulls all of them into one file, one database,
4 and then this one pulls out just the one that we
5 need for the model. This is what sends it out to
6 the Internet version.

7 So there's lots of nodes in here that won't
8 mean much to the average person looking at this
9 code. But we have tried to at least keep it
10 relatively easy to understand. Most people who
11 program in Analytica use it with influence
12 diagrams, and so in this case they would have
13 excess relative risk sitting here, relative risk
14 sitting here, and probability of causation down
15 here with arrows going in, just showing that that
16 node depends on those. What we did is we just
17 created a little equal sign, a line, a times, and
18 a 100 so that we could make it look like the
19 equation really looks. Now if you go into the
20 probability of causation you can see the syntax
21 that's used in Analytica, so the total ERR
22 divided by the total ERR plus one times 100.

23 Now one of the strengths that we found early
24 on of Analytica is it first of all it provides
25 you a place to type in a variable name. This is

1 -- anytime you use this variable anywhere else in
2 Analytica, you just reference or type in A-S, and
3 it'll use this node. You can type a title,
4 anything you want there. In this description,
5 you could put paragraphs of information there,
6 references of where it came from. And then of
7 course you type the equation in here, shows you
8 all the inputs to that. Of course, this one only
9 has one input, the total ERR, and it shows
10 everywhere that this node is used throughout the
11 model.

12 A lot of our uncertainty, Monte Carlo-type
13 calculations that we did five, six years ago, we
14 were doing in a software called Crystal Ball, and
15 add-in to Excel. It was a really great program.
16 The problem is Excel's two dimensional, and so
17 it's hard to program some of these things in
18 Excel. And if you guys have done things in
19 spreadsheets, you know that if you want to get a
20 calculation for different scenarios, you have to
21 have it in different cells. All your results
22 would be in different cells. The equation is
23 just duplicated. And it's easy enough to copy
24 down and that sort of thing. But someone
25 reviewing that spreadsheet, what we ran into in

1 the past, is they have to review every cell of
2 it, and they have to make sure that you've copied
3 properly, and that you've held constant the rows
4 and the columns and that sort of thing.

5 What's nice about Analytica is that the
6 equation is only entered one time. So what you
7 saw there, that simple equation for probability
8 of causation here, is entered one time. So it's
9 really easy for the people who have reviewed this
10 so far to just browse through and make sure that
11 everything is kosher.

12 All right. What else?

13 **DR. ZIEMER:** I think since we actually have
14 Dr. Land sort of standing by, I'd like us to see
15 if we have questions. We had the one that got
16 answered, but if we get Dr. Land on the line we
17 may re-ask that question, just to validate the
18 answer.

19 But are there any other questions that any of
20 you want to direct to Dr. Land? Remember now,
21 he generated the original NCI stuff upon which
22 this is all based. I think originally there was
23 some question in the Board as to how we got from
24 the NCI stuff to the NIOSH stuff and that kind of
25 thing. Maybe that's all clear now. Or are there

1 still questions? I don't want Dr. Land just to
2 be twiddling his thumbs for the next two hours
3 waiting to hear from the Pentagon or something.

4 **MR. THOMAS:** Yeah, we don't want him to think
5 we've stood him up. And we can leave this up on
6 the screen, too, and so if more questions come up
7 --

8 **DR. ZIEMER:** Yeah, we can come back. But I
9 want to see, identify --

10 **MR. THOMAS:** Certainly.

11 **DR. ZIEMER:** Do any of you have questions
12 that you would like Dr. Land to address, which in
13 a sense goes back to the original NCI stuff?
14 Would that be a fair way to state it?

15 **MR. THOMAS:** (Nods affirmatively)

16 **DR. ZIEMER:** Or are you comfortable now with
17 that as the starting point?

18 **MR. GRIFFON:** I think my answer's neither to
19 that. I'm not comfortable with it, but I don't
20 know if I have questions right now. I've e-
21 mailed back and forth, and I need to do more work
22 on Charles's report that we just got. Some
23 things are clearer now.

24 I think the reason I'm pushing for this CD
25 version again is that -- just in terms of being

1 able to review this. I know the ERR per
2 sieverts, as Larry points out, are now going to
3 be on the web version. But as I understand it,
4 it's still going to be on a case-specific basis.
5 In other words, you have to put in age at
6 exposure, attained age, and then you get a
7 generated profile, as you just showed, that
8 generates distribution of the ERR per sievert.
9 If we're looking -- if we're concerned about
10 factors like age at exposure and how that was
11 handled, then that puts the onus on me to sit at
12 home and generate -- plug in different ages and
13 make my own table, when in fact it already
14 exists. So that's the frustration on the
15 transparency in terms of being able to review it.

16 I should add, I'm not sure that needs to be
17 in the web-based version. I'm not even saying
18 that. I just think that it would be helpful for
19 us to understand.

20 **DR. ZIEMER:** Also I might, before you respond
21 there, in terms of Dr. Land, he did indicate that
22 he might even prefer, if we had detailed
23 questions, that we could just prepare them in
24 writing and he would answer them in detail,
25 rather than the top of the head on his phone.

1 So maybe what we want to do is call him and
2 indicate that the folks this morning did such a
3 great job that there are no --

4 **DR. ANDERSON:** That he could take the
5 afternoon off.

6 **DR. ZIEMER:** Owen.

7 **DR. HOFFMAN:** I took the trouble to read the
8 minutes of your last meeting, and what stood out
9 to me was this outstanding question: Why is
10 there such a big difference between what you get
11 out of IREP and what you got out of the CIRRPC
12 table in 1985? I think that's the underlying
13 question that needs to go to Dr. Land, and I
14 think he's prepared to answer it. And so just
15 the general question of can you elaborate why the
16 differences.

17 **DR. ZIEMER:** That deals with that table that
18 was pointed out yesterday, I think.

19 Mark, did you have anything?

20 **MR. GRIFFON:** Yeah, I've asked him that in e-
21 mail format, and it's still not -- I think he's
22 answered it qualitatively. I'm looking for more
23 of a quantitative, and I need to work through the
24 math and have -- he's shown the factors that were
25 modified that contribute to that difference, but

1 until you sit down and play with some hard
2 numbers then -- and part of it's just my
3 understanding of how they went from A to B. I'm
4 not even -- it's just the ability to review.

5 Part of the other thing about transparency
6 was, as Owen pointed out in his presentation,
7 this was based on the Thompson data in the 1994
8 report, find that's available. I've looked at
9 it. However, as Charles pointed out to me and
10 Owen said again, they re-analyzed that data. So
11 we can't -- so in terms of comparison, you can't
12 really turn to that. So again, we're left as --
13 we didn't have the data. Now we might have some
14 form of it on the web, but we haven't really had
15 the opportunity to look at that to make -- to go
16 from A to B.

17 **DR. ZIEMER:** And so the bottom line, though,
18 is that a brief telephone discussion now may not
19 be suitable to answer the question, because you
20 want to see some additional -- or have additional
21 time to study the material?

22 **MR. GRIFFON:** I don't want to speak for
23 everyone.

24 **DR. ZIEMER:** Yeah, for yourself.
25 Owen.

1 **DR. HOFFMAN:** The reason why I'd like to
2 encourage you to talk to him is this is what
3 we've just gotten via e-mail from Charles, which
4 is an attempt on a spreadsheet to explain the
5 differences between CIRRPC and IREP.

6 **DR. ZIEMER:** Okay, so --

7 **DR. HOFFMAN:** So I think you bring Charles
8 on, we get detailed insight to that question.

9 **DR. ZIEMER:** Okay.

10 Is Cori still here?

11 **MS. HOMER:** I'm right here.

12 **DR. ZIEMER:** Okay, so I guess we will at
13 least ask him to -- and he has a copy of this
14 before him, I presume --

15 **MR. THOMAS:** Yes, he just e-mailed this to us
16 just a few minutes ago.

17 (Whereupon, Dr. Charles Land was contacted
18 via telephone.)

19 **DR. ZIEMER:** Dr. Land, can you hear me?

20 **MS. HOMER:** Dr. Land?

21 **DR. LAND:** Yes, speaking.

22 **DR. ZIEMER:** Okay, can you hear me from
23 there? I'm on a mike here, Dr. Land.

24 **DR. LAND:** I can hear you.

25 **DR. ZIEMER:** Great. Okay. Well, we have the

1 full Advisory Board here. Sorry we're a little
2 later than we had planned on. Our original
3 papers went a little longer, and then we had
4 trouble getting through the phone line here, but
5 at least we're here now.

6 One of the items that we have before us now
7 is some material that I think you just e-mailed
8 to the group, because one of the issues that has
9 arisen is the differences in the CIRRPC and the
10 IREP values that are shown in the June paper.
11 We're looking at the material that you sent --
12 what is this table called?

13 **DR. LAND:** Is it the last table, or the last
14 --

15 **DR. ZIEMER:** Well, it's the last table in the
16 paper, and then -- yes, table E-4 --

17 **DR. LAND:** Uh-huh.

18 **DR. ZIEMER:** Is it E-4? Yes. And the
19 differences between the CIRRPC values and the
20 IREP values, that has been a bit of an ongoing
21 question. And then I guess you have sent,
22 relative to that, you have e-mailed some
23 information which includes transfer rate and
24 DDREF's and so on. So I'm not even sure what to
25 ask at this point, but maybe you can simply begin

1 by helping us understand the differences between
2 those two. And Mark Griffon has an additional
3 comment.

4 **MR. GRIFFON:** I may be able to give people a
5 -- Charles, this is Mark Griffon. And I think
6 your spreadsheet is what I was also trying to do
7 with the e-mail values you sent me, so this is
8 helpful. I think what you're trying to
9 demonstrate in this spreadsheet is to go from
10 table 4-D-2 or D-4-2 -- I forget which -- anyway,
11 from the ERR per sievert values to the -- how the
12 transfer from the Japanese population and the
13 other factors that would affect that to get back
14 to the final IREP ERR per sievert value, if I set
15 that up right.

16 **DR. ZIEMER:** Did you catch that?

17 **DR. LAND:** Yeah. It sounds as if you have
18 the spreadsheet that goes from the median values
19 for the uncertainty distributions, the
20 statistical uncertainty distributions, and then
21 there's a correction for -- immediate correction
22 for the uncertainty introduced by the dose
23 reconstruction, which is a .82. And then there's
24 a -- I'll divide by the DDREF, and then again is
25 the median value, and then multiply by a transfer

1 factor which depends on -- really on whether the
2 baseline risks are higher or lower in Japan. And
3 then the product is essentially the median of the
4 IREP, which is -- I think it's in table -- this
5 particular case it's table E-2, it's Appendix
6 Table E-2.

7 **DR. ZIEMER:** Okay. For the group here,
8 that's page 108 of the document, that Appendix E-
9 2, right.

10 **MR. GRIFFON:** So Charles, just looking at
11 your spreadsheet here because we don't have it,
12 we're looking at it on a projector, is it column
13 M? Is that the IREP value? And I think column
14 C, if I could look back, was the original ERR per
15 sievert -- yes, column C, or D and E. D and E
16 would have been the original values.

17 **DR. ZIEMER:** Mark is looking at the
18 spreadsheet that you e-mailed us.

19 **DR. LAND:** I e-mailed -- is that the -- could
20 I ask Owen, is that the same as the spreadsheet I
21 --

22 **DR. ZIEMER:** Yeah, the one -- oh, you e-
23 mailed to Owen? Was it, Owen?

24 **DR. HOFFMAN:** (Nods affirmatively)

25 **DR. LAND:** Okay, right. Okay, then we're on

1 the same page.

2 **DR. ZIEMER:** Okay.

3 **DR. LAND:** The IREP value is in column I.

4 **DR. ZIEMER:** Column I, where it says Japan?

5 **DR. LAND:** It's sheet two of the spreadsheet.

6 **DR. ZIEMER:** Oh, okay. Okay, here we are.

7 Okay, we have that.

8 **DR. LAND:** Okay. Then the column N is the
9 CIRRPC value, and column G is the multiplication,
10 because I don't figure this exercise involving
11 columns C, D, E and F is going to be exact, but
12 it's good enough. It gets there. And so you can
13 see that -- you're starting with C. C is the
14 median of the statistical uncertainty
15 distribution. Column D, then, is this correction
16 factor for the dose reconstruction for the A-bomb
17 survivors. That's a .82 except for --

18 **DR. ZIEMER:** Right, except for thyroid.

19 **DR. LAND:** -- thyroid. And then there's one
20 over the DDREF, right, because you divide by the
21 DDREF. It's simpler just to multiply across, and
22 that's .6 for most everything except for breast
23 and thyroid, which is .66, and for leukemia,
24 which is 1. And then there's the transfer, which
25 is the -- that's the least easy to explain, but

1 anyway, there you have a really big factor for
2 liver and smaller factors for many other things.
3 Transfer -- I'm not sure I believe the value for
4 stomach.

5 **UNIDENTIFIED:** Yeah, I was questioning --

6 **DR. LAND:** I don't think that's right.

7 **MR. GRIFFON:** I think it might have been 9.4
8 in the e-mail you sent me.

9 **DR. LAND:** Yeah, I think it's supposed to be
10 9.4, and so the value is much larger.

11 **DR. ZIEMER:** We had a different table that --
12 or Mark did, that showed that value as being 9.4
13 for males and 9.3 for females, or something like
14 that.

15 **DR. LAND:** Oh, yeah, 9.4. It should be --
16 somehow it got here as 2.4. Well, I'll just
17 change it. And you could change it, too, I
18 guess. It's --

19 **DR. ZIEMER:** Right. Right, and that -- and
20 then the new product, then, is .547 --

21 **DR. LAND:** Yeah.

22 **DR. ZIEMER:** Yeah.

23 **DR. LAND:** And then I have the IREP here as
24 .13, so I don't --

25 **MR. GRIFFON:** Charles, in looking at that one

1 you just changed there, I'm looking at column G
2 versus column I now, and that's quite a
3 disparity. Unfortunately, that was the one that
4 I picked out to try to replicate at home, and I
5 was wondering if I was doing something wrong.
6 But .54 versus .13 in IREP, seems to me that --
7 and maybe it's the simplistic form that we're
8 doing this analysis in, is that --

9 **DR. LAND:** I don't understand this particular
10 one, and I -- the first thing that's brought up
11 is one that I don't understand.

12 (Laughter)

13 **MR. GRIFFON:** It's the first one I reviewed,
14 too.

15 **DR. LAND:** Yeah, I really don't understand
16 that. I'm going to look at Iulian Apostoaei's
17 paper on that, in which he gives the factors.

18 **DR. ZIEMER:** Well, that's something you'll
19 need to follow up on, then, and --

20 **DR. LAND:** Yeah, I'll follow up on it, yeah.

21 **DR. ZIEMER:** But then can you speak more
22 generally to the original question about the
23 differences between the CIRRPC and the IREP
24 values?

25 **DR. LAND:** Okay. The differences are --

1 first place, the NIH -- the table, figure K --
2 sorry, column K, these are the medians or the
3 point estimates that were developed by the NIH,
4 the 1985 NIH committee.

5 **DR. ZIEMER:** Right.

6 **DR. LAND:** And they assumed, except for
7 breast cancer and thyroid, assumed a quadratic
8 dose response. And CIRRPC, which actually sort
9 of acts the same way as the DDREF correction in
10 the present, except it doesn't have the amount of
11 uncertainty in it. And CIRRPC, in the column L
12 that's labeled FDL, that's their way -- they're
13 moving -- they're making -- they're assuming
14 linear dose response, so they're correcting for
15 what it would be if the dose response were
16 linear. So in effect they're taking away the
17 DDREF. This is one of the conservative things
18 they did in order to get a screening rule that
19 would tend to let in things that -- well, the
20 idea was that if something got screened out that
21 it would definitely not be qualified for
22 compensation, all right?

23 And then the other one here is this factor
24 FB, which is in column M, and that's taking the
25 baseline -- it's a baseline factor, and it has to

1 do with substituting -- rather than the baseline
2 for the whole U.S. population, it's the baseline,
3 the ten percent baseline -- that is, in the
4 lowest ten percent of counties, what was the
5 baseline? So there you have this multiplying
6 factor here.

7 So these two things multiplied together,
8 that's a factor of about five. It varies, but
9 it's about five, on average. And that's why the
10 product in column N, which is the median for this
11 distribution or this uncertainty distribution, is
12 so much higher. But it's intended to be higher.
13 It's deliberately intended to let in as many
14 cases as possible that would then be evaluated
15 more stringently.

16 So there's two things going on here. One is
17 these factors here that are intended to boost
18 values; and the other thing is that the NIH, in
19 the NIH model the transfer between populations
20 was assumed to be additive. And that means that
21 the coefficients for something like stomach would
22 be higher than they would be if you used a
23 multiplicative transformation. But anyway, it's
24 expanding things, and then for something like
25 breast where the U.S. rates are higher, then it

1 would make the excess -- I'm sorry, that would
2 make -- yes, that would make the excess relative
3 risk lower.

4 **DR. ZIEMER:** Okay. Let me now ask the Board
5 if they have any follow-up questions on that at
6 this point.

7 Mark Griffon.

8 **MR. GRIFFON:** Just one follow-up, are these
9 values documented in your report? I don't know
10 if these transfer values are documented in your
11 report, the recent 2002, June 10th, I guess,
12 report.

13 **DR. ZIEMER:** June 11th, yeah.

14 **DR. LAND:** It's -- no. They're described,
15 and it tell you how we got them. But that's
16 something we just noticed, that we really should
17 have a table of them, and we will be putting that
18 in either as an errata sheet or as an addendum to
19 the report.

20 **MR. GRIFFON:** And just the -- I'm going to
21 run through the spreadsheet, too. I think it's
22 very useful. I should note there's a couple of
23 other differences on the e-mail that you sent me,
24 so -- it has liver cancer with a value of 8.3 for
25 transfer ratio, so --

1 **DR. LAND:** Oh, you know what? The stuff I
2 sent you was -- here's what it is. This was for
3 white males or white females, whatever,
4 whichever. Anyway, it was for whites, and for
5 the -- the ones we're using are for the whole
6 population in the country, and there are a number
7 of population subgroups that have higher
8 baselines. And liver cancer and stomach cancer
9 are sort of major examples of that.

10 **DR. ZIEMER:** Let me ask again now, any other
11 follow-up questions by the Board here for Dr.
12 Land?

13 (No responses)

14 **DR. ZIEMER:** Okay. Dr. Land, thank you very
15 much. What we'll do, if additional questions
16 arise I think what we'll do is ask that the Board
17 put them in writing --

18 **DR. LAND:** Sure.

19 **DR. ZIEMER:** -- and then we'll shoot them
20 back to you.

21 **DR. LAND:** Okay.

22 **DR. ZIEMER:** This has been very helpful. We
23 appreciate your taking the time out of your
24 schedule to sort of stand by and wait for us to
25 call, so we appreciate that.

1 **DR. LAND:** You're welcome.

2 **DR. ZIEMER:** Thank you very much. Good-bye.

3 (End of telephone conference.)

4 **DR. ZIEMER:** Okay. Now does that help some?

5 You --

6 **MR. GRIFFON:** Yes, yes.

7 **DR. ZIEMER:** Okay. Let's open it back up for
8 any questions on any of the material. We are
9 going to need to break for lunch, but I think we
10 have a few minutes we can continue.

11 And Owen, you and the others are going to be
12 here for a while after lunch as well, so --

13 **DR. HOFFMAN:** We're at your disposal all day.

14 **DR. ZIEMER:** Okay. Well, it is 12:00, and we
15 do need to grab a bite to eat. We are shooting
16 for a 4:00 adjournment because a number of folks
17 have to get to the airport by about 6:00, 6:30 --
18 that is, they have flights by 6:30, which means
19 they need to be at the airport shortly after 4:30
20 or roughly. So we're going to shoot for
21 adjourning by 4:00, which means the public
22 comment period will be moved up.

23 Is anyone signed up for public comment today?
24 Are any of you that are here know that you're
25 going want to --

1 **MS. HOMER:** No.

2 **DR. ZIEMER:** We'll certainly accommodate if
3 there are additional public comments, but we do
4 want to shoot for adjourning by then.

5 We have not only additional discussion on
6 this, but we have an updated report on the dose
7 reconstruction subgroup, and also a report from
8 the group that was looking at comments on the
9 rule-making. So we have all of that to do, and
10 then talk about when we meet again.

11 So it's now 12:00. Let's try to be back by
12 1:15 if we can.

13 (Whereupon, a lunch break was taken from
14 12:00 noon until 1:21 p.m.)

15 - - -

16 **DR. ZIEMER:** Folks, we need to jump ahead a
17 little bit on the schedule and do some
18 administrative housekeeping, partially because I
19 think the earliest flight out now is Tony's, and
20 --

21 Tony, what time do you have to leave us? You
22 have to leave here about 2:00?

23 **DR. ANDRADE:** Around.

24 **DR. ZIEMER:** Around 2:00.

25 **DR. ANDRADE:** Maybe 2:00, 2:30.

1 **DR. ZIEMER:** 2:00 to 2:30. In any event, we
2 want to talk about work schedule and meetings and
3 so on.

4 A couple of things to keep in mind. Number
5 one, it may be by the end of the day today that
6 we will still need to polish some comments for
7 the proposed rule-making. That would require
8 either a face-to-face or a telephone conference.

9 Also, the subcommittee workgroup, the
10 subgroup, working group -- I forget what the
11 proper term is -- the working group dealing with
12 our process for overseeing, as it were, the dose
13 reconstructions -- that is, the Mark Griffon
14 working group -- also wants to plan a meeting in
15 Cincinnati, which would include an opportunity to
16 see the facilities and look at some dose
17 reconstructions and so on.

18 One thought was that it might be possible
19 somewhere mid to late August to combine those two
20 things, so that we could all see the Cincinnati
21 facilities and have an opportunity to see what
22 the group is doing there, and also to take care
23 of both the subcommittee's activities and have
24 even some input on their final recommendations,
25 as well as do the final polishing on our

1 | comments.

2 | Now the negative side of all this is that
3 | between now and then the NIOSH staff is going to
4 | be extremely busy taking care of the road trips,
5 | public comments, and related things. I know that
6 | Larry's availability schedule is very limited.
7 | His wife is even insisting on some vacation time
8 | in there. I can't understand why, but in any
9 | event, those are some options we need to think
10 | about.

11 | If it were in August, it would have to be the
12 | third week, I think.

13 | **MR. ELLIOTT:** The week of the 12th.

14 | **DR. ZIEMER:** Is that the third week, or it's
15 | the second full week as far as -- that's the only
16 | week Larry's available in August, and it's
17 | available theoretically. You'd be barely back
18 | from the road shows.

19 | **MR. ELLIOTT:** Right.

20 | **MR. PRESLEY:** The 12th?

21 | **DR. ZIEMER:** The week of the 12th is --

22 | **MR. ELLIOTT:** The only week I have available
23 | in August.

24 | **DR. ZIEMER:** Then it could be toward the end
25 | of the week.

1 **MR. ELLIOTT:** Yeah.

2 **DR. ZIEMER:** But I guess we'd like a little
3 input both from staff and from the Board as to
4 what your druthers would be.

5 I don't know, Mark, on your working group how
6 soon you were thinking about meeting in
7 Cincinnati, or had you thought about that?

8 **MR. GRIFFON:** As soon as possible.

9 **DR. ZIEMER:** But the staff is not likely
10 they're going to want to have you showing up
11 before mid-August, because they're going to be
12 gone.

13 **UNIDENTIFIED:** Can you just leave a key?

14 **DR. ZIEMER:** Under the mat, okay.

15 **MR. PRESLEY:** Can we come up, the working
16 group, the first part of the week, say Monday and
17 Tuesday or Tuesday and Wednesday, and then have
18 the Board meeting on Thursday and Friday? Or --

19 **DR. ZIEMER:** Or 13th, 14th, or something?

20 **UNIDENTIFIED:** The working group would only
21 need two days?

22 **MR. PRESLEY:** Yeah. That's what Mark's
23 talking about.

24 **DR. ZIEMER:** Jim, how much of that would be
25 sort of seeing the sights, the facilities, that

1 the full Board might want to be involved with?

2 **DR. NETON:** Well, our facilities aren't very
3 extensive.

4 **DR. ZIEMER:** So allow a few minutes for that.

5 **DR. NETON:** I think a five-minute tour -- no,
6 a couple of hours to do that.

7 I was thinking in terms of the working group.
8 To actually sit down, maybe go over a few case
9 studies that we could set up with our health
10 physicists, and maybe back up a step and actually
11 go over our implementation guidelines; and then
12 to sit down in a room with some CD-ROMs that has
13 data on them would take a couple of days, I
14 think. Maybe not full two days, but it would be
15 hard-pressed to cram it into one day, I think.

16 **DR. ZIEMER:** That part of it, the working
17 group part, would mainly involve you, Jim, and --

18 **DR. NETON:** Yeah, that's --

19 **DR. ZIEMER:** -- some of your immediate staff,
20 so it might not require the rest of the staff?

21 **DR. NETON:** Right, right. I think it's --

22 **DR. ZIEMER:** I'm trying to think in terms of
23 impact on the ongoing work.

24 **DR. NETON:** Right. Primarily the health
25 physicist. We have three health physicists on

1 the staff, and we can move them in and out as
2 needed. Each has its own specialty. They have
3 an internal dosimetry person, an external, and
4 then sort of an overview person, so we could
5 rotate them through. We could set you up in a
6 conference room with computer terminals and
7 whatever we need to facilitate the reviews.

8 **DR. ZIEMER:** Let me ask this question at this
9 point. Is there anyone that could not -- we'll
10 start with the working group. Anyone on the
11 working group that could not do it that week if
12 that turned out to be a desirable week?

13 **MR. ESPINOSA:** On the 16th I've just got to
14 be back in Albuquerque by 1:30.

15 **DR. ZIEMER:** All right, on Friday. Yeah,
16 okay. But perhaps we could be talking about
17 13th, 14th, 15th or something. I'm not even sure
18 this group would have to meet the full two days.
19 We might overlap on the afternoon of the second
20 day or something, and then go into the next day.
21 I'm just -- just top of the head. I don't know.

22 **MR. PRESLEY:** Jim, you think -- you said two
23 days. Could we schedule Monday and Tuesday for
24 us?

25 **DR. NETON:** Yeah, maybe even a day and a

1 half. I think one day would be optimistic to be
2 done with everything we wanted to do to go over.
3 We spend hours on a telephone conference, and
4 we're barely scratching the surface on where
5 we're heading. So I'm just -- I think a day, day
6 and a half. A day and a half, if not two.

7 **MR. ELLIOTT:** Don't cut yourself short.

8 **DR. NETON:** Okay.

9 **MR. ELLIOTT:** We want to allow you ample
10 opportunity to go through all the information you
11 want to see.

12 **DR. NETON:** Yeah, I'd rather do it now than
13 have to come back for a second trip.

14 **DR. ZIEMER:** Would the 12th and 13th work?
15 Are you -- in other words --

16 **UNIDENTIFIED:** Is that a Monday and Tuesday?

17 **DR. ZIEMER:** When do you finish the road
18 show?

19 **MR. ELLIOTT:** Well, let me go over our plans
20 for the road show so everybody can factor that
21 into their schedules here. Right now we're
22 trying to -- folks back in Cincinnati on my staff
23 are trying to work out the logistics. That means
24 getting a room where we can have these meetings
25 in these locations.

1 But we have targeted, for the week of July --
2 it'll be starting the 23rd, 24th, and 25th, one
3 of those three nights. We would be up in
4 Amherst, New York, and then come back to
5 Cincinnati and hold a second meeting, a second
6 stakeholder meeting somewhere in the Cincinnati
7 area. So that's the first two.

8 Then the second two would be done the week of
9 -- it'd actually be August 7th we would hope to
10 be in Richland, and then August 8th we would be
11 in Espanola. So you can see what we have lying
12 ahead of us. That's if we can get the logistics
13 worked out.

14 We're going to make one *Federal Register*
15 announcement for all four meetings. We have a
16 press release that will be developed and will be
17 distributed to the local area media for each of
18 these four sites. We have talked with Department
19 of Labor about who their points of contact have
20 been at these sites to set up their traveling
21 resource center meetings or their town hall
22 meetings that they've had. And of course we'll
23 be working with DOE to try to get the word out
24 for those three sites, or three areas where we
25 have current active DOE sites that they could get

1 the news to the workers and former workers.

2 So today that's the plan. It's being worked
3 on and developed as we speak.

4 **DR. ANDRADE:** Larry, to give you a breather,
5 just in case you end up going late that week
6 before, would it be better to plan the working
7 group on the 13th and the 14th, and the regular
8 Advisory Board meeting on Thursday and Friday?

9 **MR. ELLIOTT:** Well, Monday --

10 **DR. ZIEMER:** Rich has a problem --

11 **MR. ELLIOTT:** Monday's always a good day for
12 us when we come back off a weekend and off a
13 series of travels, to get our heads back clear
14 and collective on a topic. And I appreciate that
15 offer. I think Monday -- if you could give us
16 Monday the 12th to do that, that would be
17 helpful.

18 **DR. ANDRADE:** I think for both meetings, for
19 both meetings in case you have to -- in case the
20 agenda is such that you don't have to go the full
21 second day. That still would be fine, wouldn't
22 it?

23 **MR. ESPINOSA:** If it make it easier, I can
24 cancel the meeting on the 16th, my meeting. I've
25 got plenty of time to cancel that.

1 **DR. ZIEMER:** Is Rich the only one with a
2 conflict that week?

3 **DR. MELIUS:** I've got a problem on the 16th
4 also.

5 **DR. ZIEMER:** The 16th also?

6 **DR. ANDERSON:** Yeah, I do, too.

7 **MR. ELLIOTT:** Well, I just wonder maybe if
8 you think about the --

9 **DR. ANDERSON:** Well, I could -- I was going
10 to cancel it.

11 **MR. ELLIOTT:** I think it would be helpful to
12 me if you'd talk a little bit about what your
13 agenda might be, and whether or not you need two
14 days. Maybe you only need a day and a half. But
15 I know that won't allow you to get back to where
16 you need to be on that Friday, perhaps.

17 **DR. ZIEMER:** He gains a couple of hours,
18 though.

19 **MR. ELLIOTT:** You might gain a couple of
20 hours, I don't know.

21 **DR. ZIEMER:** Right now it appears that the
22 main thing on the agenda would be --

23 **DR. ANDERSON:** Finalize our comments.

24 **DR. ZIEMER:** -- to finalize the comments on
25 the special cohort rule, and possibly have some

1 input on the oversight of the dose
2 reconstructions, because the workgroup will have
3 a better feel for how that should proceed. So
4 those would be the two main items. I don't know
5 that we would even need any speakers -- that is,
6 outside speakers -- to come in.

7 **DR. ANDERSON:** Yeah, unless we wanted to hear
8 from the VA.

9 **DR. ZIEMER:** Well --

10 **DR. ANDERSON:** That would be the only one I
11 would think --

12 **MR. ELLIOTT:** DTRA.

13 **DR. ANDERSON:** Yeah, I'm sorry. Yeah.

14 **DR. ZIEMER:** So it might well be possible to
15 call a day and a half meeting, and the last half-
16 day could be primarily workgroup output so that
17 those that had to leave before midday could slip
18 out.

19 **MR. ELLIOTT:** Let me suggest this. What if
20 the workgroup met all day Tuesday and the first
21 half of Wednesday, and you started your meeting
22 on the second half of Wednesday and continued it
23 through Thursday? And if the workgroup still
24 needed to -- absent Rich, maybe -- if you needed
25 to stick around, we could still work with you on

1 the Friday morning or Friday all day, if you
2 wish.

3 **DR. ZIEMER:** And perhaps that -- that's a
4 good suggestion. Perhaps that second half of the
5 second day might be the time in which you bring
6 the full Board into what your thinking is on the
7 dose reconstruction.

8 **MR. GRIFFON:** That sounds good.

9 **DR. ZIEMER:** It appears that we may have some
10 degree of unanimity on the 13th, 14th, and 15th.
11 Is that right? Or 13th, 14th, 15th, and half the
12 16th.

13 **MR. PRESLEY:** Let me throw something out.
14 Would we want DTRA to come in that first -- the
15 afternoon of the first day, and do their
16 presentation before we make any of our
17 presentations as a working group? Do we need to
18 listen to their presentation?

19 **MR. ELLIOTT:** I can see if they're available
20 for that.

21 **DR. ZIEMER:** You're looking at them to
22 present to the working group only, or to the full
23 Board?

24 **MR. PRESLEY:** No, to the full Board.

25 **DR. ANDERSON:** But on the afternoon of the

1 14th.

2 DR. ZIEMER: The afternoon of the 14th.

3 MR. PRESLEY: The 14th?

4 DR. ZIEMER: Yeah.

5 MR. PRESLEY: That way then we've got the
6 night of the 14th or the afternoon of the 14th
7 when they get through to get our presentation
8 ready to give to the full Board on the 15th.

9 DR. ZIEMER: As a tentative approach, does
10 that sound okay staff-wise, Larry?

11 MR. ELLIOTT: If I can get a nod from Jim and
12 Cori, because this is going to require Jim's
13 staff to support it and Cori to put it in place.
14 I think -- we can do it?

15 DR. NETON: (Nods affirmatively)

16 MS. HOMER: (Nods affirmatively)

17 MR. ELLIOTT: We'll make it happen. We'll
18 contact the DTRA and see if we can get their
19 commitment to present on the afternoon of the
20 14th, but that might be contingent on their
21 availability.

22 DR. ZIEMER: Again, for clarity, working
23 group 13th and 14th, full Board afternoon of the
24 14th and the 15th, and possibly the first half of
25 the 16th -- or did we say --

1 **UNIDENTIFIED:** The working group.

2 **DR. ZIEMER:** -- would stay over if needed,
3 okay. So the workgroup would hold -- okay.

4 Is that agreeable to everyone? So unless
5 some major issue arises that impinges
6 particularly on the staff between now and then
7 and with the arrangements, I will proceed on that
8 basis. And that gives us a little breathing
9 space on finalizing comments, so we won't feel
10 pressured to try to wrap that up necessarily
11 today, although we want to move along on it.

12 Cori has distributed a calendar, and I'm
13 going to suggest that even though we have already
14 set these dates up that you go ahead and block
15 off your known conflicts between now and December
16 so that they have those.

17 Is that good, Cori or is that --

18 **MS. HOMER:** We can go -- I'm guessing that
19 November will be enough.

20 **UNIDENTIFIED:** Go through November?

21 **MS. HOMER:** Yeah, because going as far as
22 December is probably --

23 **MR. ELLIOTT:** December is always a confused
24 month with the holidays.

25 **MS. HOMER:** Yeah.

1 **DR. ZIEMER:** Well, the other question to ask
2 was does the Board wish to tentatively schedule
3 ahead beyond August?

4 **MR. PRESLEY:** It'd be nice.

5 (Affirmative responses)

6 **DR. ZIEMER:** To block off dates, not
7 necessarily settling where it will be even, but
8 to say okay, when would we meet.

9 **DR. ANDERSON:** The week of the 18th.

10 **DR. ZIEMER:** Of what?

11 **DR. ANDERSON:** November.

12 **MS. MUNN:** We can't do that.

13 **DR. ANDERSON:** Well, we're meeting already in
14 August, so

15 **DR. ZIEMER:** If we meet in August, probably
16 would not need to meet in September. I'm not
17 sure about October. Again, it's perhaps a little
18 dependent on where we feel we are at that point,
19 but --

20 **MR. ESPINOSA:** Well, as I've said before, I'd
21 like to invite everybody to New Mexico. The
22 balloon fiesta's in October, at the first, so --

23 **DR. ZIEMER:** Is that a bad time to travel
24 there, with all the --

25 **MR. ESPINOSA:** Not necessarily a bad time to

1 travel. It's a bad time to make hotel
2 reservations and such. But if we do it now, it
3 might be a possibility to get in.

4 **MR. PRESLEY:** Possibility.

5 **MR. ESPINOSA:** Possibility.

6 **DR. ANDERSON:** Those \$400 a night rooms.

7 **MR. ESPINOSA:** Yeah, it's a big event.

8 **MS. HOMER:** That's in October?

9 **MR. ESPINOSA:** It's October, the first week
10 of October.

11 **DR. ANDERSON:** First week of October's okay
12 for me, so --

13 **DR. ZIEMER:** Well, as a practical matter, as
14 much as everyone may want to see the balloon
15 festival, that in fact is not a good time to go
16 to Albuquerque, because that's where we're going
17 to have to fly into.

18 **MR. ELLIOTT:** If I may, a practical matter
19 also would be to consider what you're going to do
20 at that meeting, and I would think it would --

21 **DR. ANDERSON:** Watch balloons.

22 **MR. ELLIOTT:** The heavy lifting at that
23 meeting probably will be looking at your first
24 reviews of completed dose reconstructions. And
25 if we are successful in awarding our contract, as

1 we hope we are, I think it's going to be November
2 before we're going to have a goodly number of
3 those for you to select from. Maybe November
4 might be a better time to look at a date. Just a
5 suggestion.

6 **MS. HOMER:** And if we need to get together
7 for a shorter amount of time, just to address a
8 specific issue or two, we can always have a
9 conference call.

10 **DR. ZIEMER:** Uh-huh.

11 **MS. MUNN:** Would it be worthwhile to look at
12 possibly setting aside a couple of days in late
13 September?

14 **DR. ZIEMER:** In what -- when?

15 **MS. MUNN:** In late September, just in case?
16 We can always -- it's very easy to cancel.
17 Nobody's ever going to cry if we take those dates
18 off our calendar.

19 **MS. HOMER:** I have to make all the
20 arrangements, and we have to pay late fees if we
21 cancel.

22 **MS. MUNN:** Yeah, I understand.

23 **MS. HOMER:** There's cancellation fees, and --

24 **DR. ROESSLER:** Then if we juggle other
25 meetings and we commit to them, then we move

1 other meetings, and it -- I think we should go
2 with what we think is pretty definite.

3 **DR. ZIEMER:** It's a little difficult for me
4 to see that we would need to meet as early as
5 September if we're meeting in mid-August, and
6 Larry suggested November might be a good time in
7 terms of having some reconstructions in place.

8 **DR. ROESSLER:** How's your weather in
9 November?

10 **MR. ESPINOSA:** Well, you can still get a
11 chartered balloon ride.

12 (Laughter)

13 **MR. ESPINOSA:** I just feel that it's --
14 because of the outreach that I've done with Los
15 Alamos POWs and other groups in New Mexico, I
16 just feel it's really important that this group
17 go to New Mexico. For the Board, I would like
18 them to see the balloons and everything else like
19 that, but it doesn't have to be in October.

20 **DR. ZIEMER:** Let's find out what availability
21 is in November. How about the week of November
22 4th, any conflicts?

23 **MS. HOMER:** I can't. I have a meeting that
24 week.

25 **DR. ZIEMER:** That week's out. Okay. The

1 week of November 11th?

2 **MR. ESPINOSA:** If I can speak on Andrade's
3 behalf, he said that every week -- any time in
4 October (sic) except for Thanksgiving weekend.

5 **DR. ZIEMER:** November.

6 **MR. ESPINOSA:** Did I say October?

7 **DR. ZIEMER:** Yeah.

8 **MR. ESPINOSA:** Oh, I meant November.

9 **DR. ZIEMER:** Actually the week of the 11th,
10 I'm out of the loop.

11 **DR. ANDERSON:** The 11th is Veteran's Day.

12 **DR. ZIEMER:** The week of the -- when is
13 Thanksgiving Day? How about the week of the
14 18th?

15 **MS. MUNN:** I'm gone all week.

16 **DR. ZIEMER:** All week?

17 **MS. MUNN:** Uh-huh (affirmative).

18 **DR. ZIEMER:** The week of the 25th getting too
19 close to the holidays?

20 **DR. ANDERSON:** Yeah.

21 **MR. PRESLEY:** That is the holiday week.

22 **DR. ZIEMER:** Bad time to travel.

23 **MR. PRESLEY:** Bad time to travel.

24 **DR. ANDERSON:** First week of December.

25 **MR. ESPINOSA:** What about the first -- the

1 11th?

2 **MR. PRESLEY:** Who had problems with the 11th,
3 anybody?

4 **DR. ZIEMER:** I'm out all week the 11th. Let
5 me ask about the last week of October.

6 **MS. MUNN:** I'm out.

7 **DR. ANDERSON:** I'm out.

8 **MS. MUNN:** But the first few days, the first
9 half of the first week in November I could make
10 it.

11 **DR. ZIEMER:** Well, somebody --

12 **MS. MUNN:** Through the 4th, 5th.

13 **DR. ZIEMER:** Somebody had a conflict in
14 November.

15 **DR. ANDERSON:** I do.

16 **MS. HOMER:** Yeah, early November I can't --

17 **DR. ZIEMER:** November isn't looking good, is
18 it?

19 **MS. MUNN:** No, it isn't.

20 **DR. ZIEMER:** How's the third week of October?
21 Week of the 21st of October?

22 **MS. MUNN:** Gone.

23 **DR. ZIEMER:** Bad?

24 **UNIDENTIFIED:** Bad.

25 **UNIDENTIFIED:** We're gone. Different places.

1 UNIDENTIFIED: I'm on vacation.

2 UNIDENTIFIED: So am I.

3 DR. ZIEMER: How's the week of the 14th of
4 October?

5 MS. MUNN: 14th? Can do.

6 DR. ZIEMER: Bad?

7 (Inaudible conversations)

8 MR. ESPINOSA: Yeah, keep on going, keep on
9 going.

10 (Laughter)

11 DR. ZIEMER: You can see the slow balloons
12 that week, right?

13 MR. ELLIOTT: Nobody said they couldn't do
14 the 14th, I don't believe.

15 MR. ESPINOSA: I don't know about Tony. He
16 just talked about November.

17 DR. ZIEMER: I think all we would want to do
18 is pencil in dates and not ask for hotel
19 reservations until next meeting, right? We just
20 want to get the Board to block off some dates.

21 Do you want to -- is early in the week better
22 or --

23 DR. ANDERSON: Early.

24 MS. MUNN: Early.

25 DR. ZIEMER: Do you want to travel on a

1 Sunday and meet Monday/Tuesday?

2 MS. MUNN: Sure.

3 DR. ANDERSON: Monday's a holiday.

4 DR. MELIUS: Monday's a holiday.

5 DR. ANDERSON: Which is fine.

6 DR. ZIEMER: What is it?

7 MR. ELLIOTT: Columbus Day.

8 DR. ZIEMER: Columbus Day.

9 DR. ANDERSON: It's not in Wisconsin. It's a
10 federal holiday. Too bad.

11 MR. ESPINOSA: Would anybody have objections
12 traveling that Monday?

13 MS. HOMER: Dr. Andrade might.

14 MR. PRESLEY: If we have it at Los Alamos, he
15 won't have to travel.

16 MS. HOMER: Yeah, so he won't have to worry
17 about it, will he?

18 DR. ZIEMER: We'll have it in Santa Fe or
19 Albuquerque. It's very hard to get to Los
20 Alamos. Rooms are much more expensive in Santa
21 Fe, too.

22 MS. HOMER: Yeah, they are. But there are
23 places that are covered by per diem.

24 DR. ZIEMER: It's not clear to me -- let's
25 not spend too much more time. Are we talking

1 about meeting on the 15th and 16th or 14th and
2 15th?

3 **UNIDENTIFIED:** 15th and 16th.

4 **UNIDENTIFIED:** I was hoping 14th and 15th.

5 **MR. ELLIOTT:** Can we just block those three
6 days out right now, and then make a decision in
7 August? In August we would need to make a
8 decision so that we can effect a contract with
9 the hotel.

10 **DR. ZIEMER:** We'll block off 14, 15, and 16.

11 **MS. HOMER:** Yeah, I'll have to have
12 information soon.

13 **DR. DEHART:** Could I suggest we get an
14 alternative week as well in November? I realize
15 there was a conflict or two, but if we don't meet
16 in October then we'll probably need to.

17 **DR. ZIEMER:** We haven't found any weeks in
18 November where everyone's clear.

19 **DR. DEHART:** I understand. That's a
20 secondary goal, recognizing that some --

21 **DR. ZIEMER:** Plan B.

22 **MS. MUNN:** Unless we want to have
23 Thanksgiving together.

24 **DR. ZIEMER:** The week of the 4th, Cori is not
25 available. The week of the 11th, I'm not

1 available. I think the Chairman has to be there,
2 and I think Cori's --

3 **MS. HOMER:** Yes, you have to be there.

4 **DR. ZIEMER:** The week of the 18th?

5 **MS. HOMER:** No Chairman, no meeting.

6 **DR. ZIEMER:** How many people had conflicts on
7 the 18th? One, two --

8 **DR. MELIUS:** Depends on what day it is.

9 **DR. ANDERSON:** Yeah, early is all right.

10 **DR. MELIUS:** Early is okay.

11 **DR. ANDERSON:** 18th and 19th is okay.

12 **DR. ZIEMER:** This is a back-up time. Okay,
13 November 18th, 19th.

14 **MS. HOMER:** And that's still in Santa Fe?

15 **DR. ZIEMER:** Possibly. Don't make any
16 reservations yet.

17 **MS. HOMER:** No, I won't.

18 **MR. ELLIOTT:** In August we'll need to make a
19 decision, which of these two dates you've held.

20 **MR. PRESLEY:** So what's the date?

21 **MS. HOMER:** First date was October 14th
22 through 16th. We're setting aside November 18th
23 and 19th.

24 **DR. ZIEMER:** Pencil those in, folks. Set
25 them aside. Thank you.

1 A couple more housekeeping items.

2 Larry.

3 **MR. ELLIOTT:** Okay. Under this agenda item
4 of housekeeping, if you would please make sure
5 before you leave today to give me your
6 preparation time so that -- we put a lot of
7 information in front of you for your reading
8 pleasure, 300-plus pages. The working group
9 worked hard and long, I know two different
10 sessions. So we need to get that accounted for.

11 Secondly, if you haven't noticed in the
12 roster, the Board membership roster, your names
13 are presented along with your address and
14 affiliations and also your appointment dates.
15 And you'll notice that your appointment dates, I
16 think across the board, expire August, almost all
17 of them. Which doesn't mean you're off the hook.
18 Under FACA you continue your boardmanship until
19 you either extract yourself fully or you're
20 relieved from your appointment, even if your
21 appointment expires.

22 So they do expire in August, but we are
23 working diligently toward extending those. And
24 so the White House will be -- I hope -- making an
25 appointment to extend your memberships to this

1 Board before we have our next meeting. If they
2 don't, then you're still on the hook as a Board
3 member to continue your involvement until your
4 appointment is extended.

5 Any questions on that?

6 (No responses)

7 **MR. ELLIOTT:** Okay. And I think everybody's
8 travel and pay has made your -- I hope. We have
9 not heard any complaints to the contrary that
10 you've not been -- your automatic deposits
11 haven't made it. So we'll leave it at that.

12 **MR. PRESLEY:** Is there any way that we can
13 find out when those are made?

14 **MS. HOMER:** That's a good question. Contact
15 your bank.

16 **DR. ZIEMER:** Check with your bank.

17 **MR. PRESLEY:** Yeah, that's what we have to
18 do, is just call the bank.

19 **MS. HOMER:** We do have -- there are some
20 folks that I can contact to get that information
21 to you, or just keep an eye on your statement. I
22 don't know how you manage your accounts, but we
23 check all the time what's coming and going. So
24 if you keep a copy of your voucher sheet, then
25 you should know exactly what that amount should

1 be. Your travel, nothing is deducted from that
2 like it is from your salary, so you'll know
3 exactly what the amount is going to be.

4 **DR. MELIUS:** I'm on some other CDC boards,
5 and they have some sort of system. They usually
6 e-mail me saying expect a travel or whatever
7 deposit within the next week, or something like
8 that. So there must be some sort of system down
9 there.

10 **MS. HOMER:** Well, I know that we have that --
11 as full-time employees they usually let us know
12 by e-mail when a travel payment's going to be
13 making it to your account. If you're not
14 receiving one, I'm not sure how to request that,
15 but I'll check into it. Now you know that you're
16 getting salary because I'll send you your
17 earnings and leave statement.

18 Now Dr. Melius, you're a little different.
19 We file a manual on you because you do belong to
20 more than one board, so it keeps the accounting
21 straight if we file a manual time card for you.

22 **DR. ZIEMER:** Thank you.

23 I'm going to ask at this time, since we
24 didn't actually call for public comment before
25 lunch even though it was on the agenda, were

1 there any public comments?

2 (No responses)

3 **DR. ZIEMER:** I think we heard yesterday from
4 several of those who were attending. I just want
5 to give the opportunity if there are any further
6 public comments.

7 **MR. MILLER:** Just to take two minutes very
8 briefly, I thought -- it's Richard Miller.

9 One of the issues that Owen Hoffman was very
10 helpful in bringing up was I guess sort of the
11 adaptability of the model. And with the
12 exclusion of the worker studies on radon, the
13 model does not -- particularly lung cancer models
14 -- doesn't particularly account for many of the
15 worker epidemiology studies that have been done.

16 And I just would encourage you all,
17 recognizing you have a full plate at least for
18 your next meeting, to think about on a going-
19 forward basis some kind of examination of worker
20 epidemiology and how it could, should, might,
21 ought not fit in. It's certainly in the statute
22 that you're to account for worker epidemiology.
23 I certainly think there's room for debate about
24 whether the model adequately accounts for the
25 uncertainties that exist around the age at

1 exposure question.

2 But leaving that for debate for another day,
3 I would just strongly encourage you all to think
4 about it. This is a worker compensation program,
5 and yet very little worker epidemiology has been
6 brought to the table in terms of the discussion.
7 And the model looks like it's equipped to kind of
8 compensate for or adjust for that.

9 And one of the issues that's come up is
10 should the healthy worker effect be a factor
11 that's considered when you look at the baseline
12 risks, or whether you want to use population
13 averaging. And again, these are the kinds of
14 questions which would be, I think, very valuable
15 to have examined perhaps at some later date.

16 The second question was just a technical one.
17 When I was in Los Alamos, we had gotten a number
18 of individuals who have already filed claims who
19 are survivors for people who worked at the
20 accelerator and the Meson facility there. And
21 the question was, is NIOSH going to be in a
22 position to adjudicate those claims if IREP
23 doesn't have that currently in its list of energy
24 levels or types of radiation to account for? And
25 if so, how are you planning on accounting for

1 those types of claims, or are those just
2 automatic candidates for a special cohort?

3 I think those are sort of the two key points,
4 worker epidemiology and what to do about the
5 accelerator population.

6 **DR. ZIEMER:** Thank you very much. On the
7 accelerators, I don't know that that would
8 necessarily be excluded. We're basically -- are
9 these unique particles that aren't covered, or do
10 you know? Because they usually are looking at
11 secondaries from these --

12 **DR. NETON:** Right. I don't know that it
13 necessarily follows that these people were
14 exposed to particles other than what we've
15 covered --

16 **DR. ZIEMER:** They are monitored.

17 **DR. NETON:** -- first of all. They are
18 monitored.

19 Secondly, if there are those instances -- and
20 we've thought about this when we were moving
21 forward with the rule -- that the population of
22 personnel or workers that would be exposed to
23 such particles would be so small that we would
24 address those on an individual basis within the
25 dose reconstruction themselves. It would

1 essentially require an effort to go and quantify.

2

3 And given the magnitude of the exposures,
4 there may be some -- using our efficiency
5 approach, there may be some extremely
6 conservative values one could apply, and evaluate
7 the case using an efficiency approach thing. And
8 as it gets closer and closer to where we had to
9 do a full-blown dose reconstruction, we of course
10 would commission some sort of a study into that.
11 But it doesn't follow that these unusual type
12 particles are going to be the predominant
13 exposure in those workers at those facilities.

14 **DR. ZIEMER:** Did you have an additional
15 comment?

16 **MR. MILLER:** To the extent that -- correct me
17 if I'm wrong -- it was my understanding that the
18 monitoring devices are relatively recent
19 developments, say, in the last 20 years,
20 particularly for those types of particles. And I
21 wasn't quite sure, is that something that is
22 going to pose an obstacle for adjudicating claims
23 for, say, prior to 1980 or so?

24 **DR. ZIEMER:** That may be something that has
25 to be looked into by the group, but I think the

1 accelerator people have been monitored -- and
2 maybe, Tony, you can answer this -- for as long
3 as others. And aren't we still looking basically
4 at a lot of secondary gammas and maybe some other
5 particulates?

6 **DR. ANDRADE:** You're going to have -- of
7 course, the potential exists in accelerator
8 situations to be -- the highest potential is to
9 be irradiated by the direct beam itself or a
10 scatter of the direct beam. But then afterwards,
11 it's the decay products from the target or target
12 areas or misaligned portions, or portions where
13 misaligned beams may have hit. And you run the
14 gamut of beta gamma emitters, anything that can
15 be produced by energetic particles, either
16 proton, electron, or heavier ion.

17 **DR. ZIEMER:** There are anecdotal stories
18 about early cyclotron workers who aligned beams
19 visually -- yes. So there I think -- and the
20 biological endpoint was cataracts, which wouldn't
21 be covered here. But very definitely an issue
22 with some early cyclotron workers.

23 Thank you for the comments, though.

24 Jim.

25 **DR. MELIUS:** Just to follow up on Richard's

1 comment, there's some epidemiological points that
2 have come up relative to the worker populations,
3 the healthy worker effect, there are differences
4 there. There's also regarding the Japanese
5 population in terms of a survivor effect or
6 something like that. And I think, to follow up
7 on Richard's comment, that it would be worth us
8 starting to develop some background and
9 discussion on those. And if we could start that
10 with the next meeting, it would be helpful.
11 Again --

12 **DR. ZIEMER:** That would be an item to add to
13 the laundry list that we've been accumulating.

14 **DR. MELIUS:** Yeah.

15 **DR. ZIEMER:** Thank you.

16 **MR. SCHOFIELD:** Can I just make one comment?
17 In relation to the healthy worker effect, one
18 thing that needs to be taken into consideration
19 when this is done is the fact that I can't speak
20 for other facilities, but at least at Los Alamos
21 you go through a physical exam and your
22 (inaudible) exam. So people who go into those
23 jobs have to be above average in health. And
24 those people who start falling down in health
25 that normally would be able to keep their

1 positions are weeded out. So that introduces a
2 definite bias.

3 **DR. ZIEMER:** Okay. Thank you.

4 Now we want to allow a little time for
5 additional discussion relating to the papers we
6 heard this morning. Owen is still here. I think
7 Dave is still here. They're all still here.

8 Is there an additional question or comment or
9 --

10 **MR. ELLIOTT:** Also at this point on the
11 agenda, which is really what we had targeted at
12 the 10:45 mark, if there were any questions or
13 issues or comments relevant to the NIOSH-IREP
14 documentation that was provided to you for
15 reading. You heard about the REF from David
16 Kocher.

17 You've also been provided the subject matter
18 expert comments and how those were addressed by
19 Mary Schubauer-Berigan through the NIOSH review
20 process. So we wanted to -- Mary could not be
21 here today. She's in Lyon, France, at IARC.
22 Somebody had to do the tough job there. But we
23 would like, if you have any issues or questions
24 you want to raise about our technical
25 documentation, that we can bring Mary back or

1 another NIOSH technical expert back, we'd like to
2 hear those and table those till we can get you an
3 answer.

4 **DR. ZIEMER:** Mark.

5 **MR. GRIFFON:** I did want to ask -- I think
6 I've mentioned this a couple of times -- but I
7 would want to request officially that all the
8 Board members get copies of this most current
9 IREP model on CD. I think we've seen it's
10 available. I really think it'd be useful for
11 review purposes.

12 Larry has a comeback. He doesn't want to
13 give it to me.

14 **MR. ELLIOTT:** Well, no, I don't. And here's
15 the reason why. We think it needs to be on the
16 web in the current version, and that's the
17 version that will be used to adjudicate claims.
18 If we have a version on a CD floating around,
19 we're legally concerned that that version might
20 be used to advise a potential claimant what their
21 PC might be, and that may be inadvertent and
22 cause frustration and disillusionment among the
23 claimants population.

24 So this is a policy decision that we're
25 examining right now. We have to take into

1 consultation general counsel's advice on that
2 before we can take a step forward. We've talked
3 about this at each meeting. It's present in each
4 of the transcripts. And each time I've said, no,
5 there's not one available. We are still
6 deliberating on whether we can provide it. But
7 that's basically the background on why we feel
8 strongly we can't provide it.

9 **MR. GRIFFON:** Then if -- I'm not sure that's
10 a hurdle that can't be overcome, but if that is
11 the case then I would argue that can the on-line
12 model include some of these tables.

13 I think we're close, and the Excel
14 spreadsheet e-mailed today was helpful in
15 explaining how you get from X to Y. But it just
16 doesn't make -- from a review capacity, from my
17 personal need to review this, I really am getting
18 kind of tired of entering one at a time cases
19 when I know that data's there, and I don't want
20 to have to recreate age at exposure distributions
21 when I know they already exist in 2.1. But
22 that's old, that's old ERR per sievert
23 distributions that I'm looking at. I can't turn
24 to the Thompson data because they're reanalyzed
25 it specifically for this report.

1 So just for the need of transparency, I think
2 somehow we have to be able to get to this. And I
3 think -- I don't care if it's on the web that way
4 or on a CD. I'd prefer a CD, as you know, but --

5 **DR. ZIEMER:** The concerns are so noted in the
6 --

7 **DR. MELIUS:** Can't we just get this resolved,
8 though? It's --

9 **DR. ZIEMER:** Well --

10 **DR. MELIUS:** If the counsel has objections
11 let's hear them next meeting, and --

12 **DR. ZIEMER:** Right.

13 **DR. MELIUS:** -- at least get it settled,
14 because --

15 **DR. ZIEMER:** Legal counsel does carry weight
16 in the agencies, I know. But it may be that some
17 of this can be on the on-line version that will
18 allow -- and that would probably be the better
19 solution.

20 **MR. GRIFFON:** Is there a technical hurdle for
21 having the tables? I don't know if that slows
22 down --

23 **UNIDENTIFIED:** (inaudible response)

24 **MR. GRIFFON:** It doesn't slow down any -- no.
25 So having all the tables there would not be a

1 problem on the web version? Okay.

2 **DR. ZIEMER:** Any further comments or
3 questions on that material from this morning?

4 (No responses)

5 **DR. ZIEMER:** Okay. Now I want to go back for
6 a moment to the Special Exposure Cohort, and Ted
7 has asked for some additional time to amplify
8 some things he talked about yesterday.

9 **MR. KATZ:** Yes. If you recall, I had that
10 little snag with the projector not being able to
11 go in reverse, and that managed to fluster me
12 enough to not say some things I meant to say.
13 And I didn't really realize I hadn't said them
14 until Tony made the comment that it was his
15 perception that -- and here I'm talking about the
16 use of a threshold for health endangerment, and
17 the use of averaging threshold that you would get
18 from using a solid tumor and leukemia as a basis.
19 That's creating a threshold in a case where you
20 have external exposures, external exposures,
21 external dose.

22 So when Tony said that seemed to him
23 arbitrary, it sort of shocked me into thinking
24 what is it I missed saying. And this morning I
25 realized that I had sort of skipped through that

1 slide because I couldn't reverse, and hadn't said
2 what I wanted to. And then as a result we also
3 didn't talk about the slide that we did have up
4 there, and I think you all have handouts. And
5 this should at least be explained, so you know
6 what you have there as well, so I'd like to do
7 both those things.

8 What I'd like to do is give you as full an
9 understanding as possible -- meaning everything
10 -- about how we came to the decision of what's in
11 there, arriving at that threshold, how that
12 evolved, and what the reasoning is. And I hope
13 this helps you understand why that's not an
14 arbitrary threshold. You may disagree with it,
15 and that's good, that's the whole point here is
16 to get your feedback.

17 **DR. ZIEMER:** Now which handout are you
18 referring to?

19 **MR. KATZ:** I'm sorry. I'm referring to --
20 it's the handout that was provided late. It was
21 a slide that was not in my prepared presentation,
22 because it was developed over the weekend at
23 night, (inaudible) hard work. So at the top of
24 the handout it says "PC Values, 99 Percent
25 Credibility Limit." Everybody on the same page?

1 Okay, so let me just talk about how we got
2 there. We started off with really a theoretical
3 or a conceptual basis for how we would establish
4 this threshold. And the conceptual basis was
5 this: We knew that we would have to be making
6 subjective judgments about what the actual dose
7 levels could have been, as high or higher than
8 what. We knew we'd have to do that because we
9 can't do a proper dose reconstruction in these
10 cases when we're talking about Special Exposure
11 Cohort groups.

12 As a result, we wanted to have a threshold
13 that was as bulletproof as possible in the sense
14 that no claimant would take issue with the
15 threshold itself. Since they're going to already
16 be addressing then the subjective judgment that's
17 applied using that threshold, we wanted that to
18 be sort of as plain and simple and unarguable as
19 possible.

20 So we started off as a -- again, it's
21 basically purely conceptual -- that we would
22 simply have the most radiogenic cancer that
23 applies to the exposures that occurred, that
24 would be the determinant of the threshold dose
25 level. Does everyone follow that? So what that

1 would mean is wherever there were external doses,
2 what we would be talking about is using leukemia.
3 Simple, simple and plain. Where it was a matter
4 of internal doses you'd be going to the relevant
5 cancers, right. That's where we started.

6 Then we had review of this position, and
7 people who didn't have their nose quite so close
8 to the paper saw the implications of just that
9 conceptual approach which we hadn't considered,
10 which is, well, okay, so you're using leukemia
11 with external radiation, and that means that you
12 could be as low as using a threshold of around
13 one rem. And that just seemed to them to be a
14 stubborn fact to want to question, then, what is
15 the basis for this? How do you end up having a
16 threshold which I think would be hard for many to
17 accept as a threshold for evaluating health
18 endangerment for a class, a threshold that low?

19 And explicating further, there was this
20 different view which is one we hadn't considered,
21 which was that you are -- the job here is to
22 characterize health endangerment for the class --
23 not for a conceptual member, single member of the
24 class, the most vulnerable potential conceptual
25 member. Does everyone follow that?

1 So that was what was posed to us. Well,
2 really this should be representative of the
3 class, and how do you do that? And the response
4 that we thought of on the cuff there was, well,
5 how would we do that if we wanted to do that,
6 most simply have a perfectly representative
7 threshold? Well, there we then would have to
8 have what is in effect a weighted average of the
9 doses for all the cancers that are potentially
10 related to the exposure, and you would weight
11 them by incidence rates. Right? So that the
12 more prevalent the cancer in terms of expected
13 occurrence among that population the more weight
14 that value would have, and you would average
15 that. And that would be representative, sort of
16 straight, no question about it, representative of
17 the class in that sense.

18 Now there's problems with doing that
19 approach. We didn't think it was feasible to do
20 that to start with, as a first issue, because we
21 would be working with then expected values for a
22 dose that we don't know that we're going to
23 assume it could be so high or higher. That's
24 what the subjective judgment's going to be made.
25 You'd be using that subjective judgment to then

1 come up with a threshold that you're applying
2 your subjective judgment against. It just
3 doesn't carry water. So we said, that can't be
4 done.

5 So the next step, then, was what is then a
6 practical approach to this if we need a
7 representative value? And we also, frankly, were
8 concerned because we thought we should be more
9 claimant-friendly than that as well. And so that
10 made us uncomfortable anyway, that approach, even
11 if it were feasible.

12 So what's a practical solution to this? And
13 the practical solution that occurred to us was
14 the one that you have before you, which is to
15 simply average, in this case, the two different
16 types of cancers, the classes of cancers -- the
17 solid tissue cancers and leukemia -- to average
18 those dose thresholds and to use that.

19 Now I guess it would be more proper if you
20 were still working with their incidence rates
21 still and weighting it. But again, I just
22 explained what the problem is with doing that.
23 And in this case we felt that this was a much
24 better solution in the sense of being claimant-
25 friendly. Because certainly given the difference

1 in the incidence you would expect for the solid
2 tissues and the leukemia, the leukemia is going
3 to have far disproportionate weight when you're
4 just averaging them. Is that clear? Is that
5 clear, what I've explained there?

6 So that's how we came about this approach
7 that we put before you. And I think that
8 explains that fully. I would like to give some
9 air time and for you to consider the table and
10 the approach we have proposed if we're going to
11 go down that route. I don't know, does everyone
12 have this table before you? I just want to sort
13 of run down these values.

14 Now this is just an example. This is just
15 one case example. And what we've done here is
16 simply taken these PC values you see in the box
17 above, the fixed inputs. What these are are
18 basically just median values for all the claims
19 we've seen so far. So this isn't really -- this
20 is just to show you how this would work, but
21 these values that you get in the table below
22 obviously would differ depending on the values
23 that you would actually input. The values we
24 used are just median values for all the claims
25 that we've received so far.

1 So we have proposed that you would use, in
2 the absence of other evidence about the class,
3 you would use in effect the lowest latency for
4 leukemia, because that would be giving the
5 benefit of doubt to the claimants, that would be
6 most claimant-friendly. And you can see -- and
7 you're also using the most radiosensitive of the
8 leukemias, CML in this case, and that ends up
9 with a 1.5 rem dose.

10 And we would use the highest latency for the
11 solid tissue, solid tumors. And in this case it
12 turns out to be thyroid, and the dose level is
13 nine.

14 You're averaging one and a half and nine, and
15 you're ending up at what, four and a half? So
16 that would be the threshold that we would
17 establish if this were a case here, if these were
18 the values we were using.

19 **MR. ELLIOTT:** If it were a Special Exposure
20 Cohort petition.

21 **MR. KATZ:** Right, exactly.

22 **MR. ELLIOTT:** Not a case.

23 **MR. KATZ:** No. Case, meaning a case of a
24 Special Exposure Cohort petition, I'm sorry.
25 We're not talking about individual dose -- this

1 isn't about dose reconstructions.

2 Then there's, I think, just one other thing
3 to say about this when we're talking about
4 extremely low levels of exposure, which is when
5 we're doing dose reconstructions, if there's a
6 component of the dose reconstruction where we
7 don't have good information, one approach is to
8 simply cap it and do that dose reconstruction
9 with that, in effect, maximum dose for that
10 element of the dose reconstruction. And that's
11 talked about in our rule and so on, how we do
12 that.

13 So some of these cases, even though you can't
14 properly estimate a very low dose, those cases
15 would go away. In effect you would still do the
16 dose reconstruction. You would give it a maximum
17 value. So extremely low dose levels, also you
18 have to consider that some of those are going to
19 get taken care of by individual dose
20 reconstructions, despite the problems there are
21 with doing the dose reconstruction about that
22 element of the exposure history.

23 So anyway, that fully explains what I omitted
24 and wanted to address, really to address Tony's
25 concern, which is a very important one.

1 **DR. ZIEMER:** Okay, thank you. Let's see if
2 there's any questions on what was just said here
3 now.

4 Roy.

5 **DR. DEHART:** If I'm understanding this
6 correctly, the petitioning group need not have
7 leukemic or thyroid cancers in them?

8 **MR. KATZ:** That's right.

9 **DR. DEHART:** And the threshold that you're
10 establishing at 5.5 or whatever becomes the
11 threshold used in what specific way?

12 **MR. KATZ:** It's the threshold for
13 establishing health endangerment. So it is --
14 right. There may not be any cases of either in
15 that class. It's simply the threshold that will
16 be used as the bar for making a judgment, then,
17 were radiation doses possibly as high as this or
18 higher.

19 Which raises another point that I have
20 omitted that I should point to, when we're
21 concerned about the possibly or known leukemia
22 case in a class, which is these values that I
23 just went through on this table are given the
24 most propitious circumstances, that's the value
25 you would come up with. But your actual leukemia

1 case may not have incurred the leukemia within a
2 five-year latency period, and all the other
3 factors may differ. And as you see in this one
4 example, the leukemia actually level rises above
5 the level of hard tissue in certain
6 circumstances.

7 So that's just an important, again,
8 complication, but to keep in mind.

9 **DR. ZIEMER:** Thank you.

10 Now while Tony is still here I'd like us to
11 move to the rule-making, which is the 42 CRF 83.
12 You recall that yesterday we raised a number of
13 issues to be considered. We had a small working
14 group last evening or late yesterday afternoon
15 that identified some potential -- I don't
16 necessarily want to call them fixes -- but
17 potential recommendations that were felt perhaps
18 would improve the document. And I've asked Tony
19 if he would lead us through some of those. I
20 think it's safe to say that perhaps the group
21 didn't identify everything or capture everything
22 that was brought out in the discussion, but this
23 is at least a start to what was felt might help
24 clarify some of the issues.

25 So Tony, if you would take the floor at this

1 time. I know you have to take off soon. Are you
2 still okay for a few minutes?

3 **DR. ANDRADE:** Yes. Before I get into detail
4 insofar as proposed, very draft proposed changes
5 to wording, let me tell you a little bit about
6 the philosophy with which we approached the issue
7 of trying to clarify some of the language in the
8 proposed rule.

9 Number one is we wanted to first and foremost
10 explain clearly and up front, at least in the
11 rule itself -- and perhaps if you all want to go
12 back into the preamble and change that, that's
13 fine -- that establishing or petitioning for a
14 special cohort status is not necessarily a next
15 step or a proposed next step seeking remedy in
16 case the Secretary has determined that a
17 particular -- a particular case now; we're not
18 talking about a group of people, but a particular
19 case -- just does not meet the threshold for
20 action. So that was one.

21 **DR. ZIEMER:** It's not an appeal process for
22 --

23 **DR. ANDRADE:** It's not an appeal process.

24 **DR. ZIEMER:** -- for a reconstructed dose that
25 did not meet the 50 percent POC.

1 **DR. ANDRADE:** Exactly.

2 Okay. And then when we got down into 83.1,
3 what is the purpose of the procedures in this
4 part, we wanted to be very clear about how a
5 Special Exposure Cohort might be constructed.
6 And it appeared to us that the language as
7 written leaves the onus on the petitioner, on the
8 individual, to go back and petition for such
9 status. Again, that conflicts with what I just
10 talked about with what I think the philosophy is,
11 and it would almost force the person into
12 believing that this is the final recourse.

13 But beyond that, what is new in our thinking,
14 in our collective thinking -- and this was Dr.
15 Anderson, Paul, Wanda, and myself -- is that we
16 felt that NIOSH and/or NIOSH's contractor should
17 bear some responsibility. Now we're not talking
18 about putting this in a statement of work, but at
19 least being aware of what is going on as dose
20 reconstruction efforts occur, such that if they
21 start to find commonality in a situation -- in
22 other words, somebody has petitioned, yet it
23 seems like the dose -- several people,
24 individuals, have petitioned. They come from the
25 same facility. They've done the same kind of

1 work at the same -- during the same relevant
2 period of time, and they start to see commonality
3 in activity, that there was a potential for
4 missed dose, for example, that they should be at
5 least aware of and report that back to NIOSH or
6 to HHS.

7 And so we wanted to take the onus off the
8 individual, who may not be aware of what he, her,
9 or their buddies were doing at the same time, and
10 put a little bit of responsibility, perhaps
11 personal responsibility, back on the contractor.

12 Thirdly is just as we were briefed on
13 yesterday by the good doctor from Rocky Flats,
14 new information can come to light during any part
15 of this process. They've just discovered that
16 there are body burdens out there for which we may
17 not ever find records. I think that in itself
18 should trigger or potentially trigger a petition
19 for special cohort status. So again, in addition
20 to the language that is already in 83.1, we
21 propose two more triggers for special cohort
22 status.

23 And finally -- and perhaps Dr. Ziemer can
24 talk a little bit more in detail to this -- we
25 felt that as a Board that a lot of the procedures

1 that are described in here, starting under 83.2
2 -- how would cancer claimants be affected by the
3 procedures in this part, and going on through the
4 rest of the proposed rule -- talk about a process
5 by which the Board would become involved in those
6 decisions, where we would review the decisions of
7 HHS in which it has already been determined that
8 they're going to go forth with a special cohort
9 decision, a positive decision.

10 We felt very strongly that it would be nice
11 to keep this Board involved, but that we
12 shouldn't second-guess the HHS. This is part of
13 being petitioner-friendly insofar as positive
14 outcomes with respect to going forth with a
15 special cohort. We would like to be informed,
16 but that's it.

17 On the other hand, I think it is more
18 important that we be informed of decisions not to
19 go forth without some of the details that are in
20 here. In other words, we would like to be
21 informed of the decisions as to why one would not
22 go forth with a petition. I don't think that we
23 would like to have people who are personally
24 involved come up and petition us. I think that
25 would turn us into an adjudicative body. And so

1 we really believe that language in that regard
2 should be struck from the record.

3 Now I don't have my notes with me. I just
4 sealed them in my Fed Ex box. But I know that
5 Paul is taking very good notes, and actually
6 completing sentences that might be used as
7 proposed language. But that's to give you an
8 introduction as to what we did yesterday, how we
9 feel about the situation, and I think points to
10 clarify what this rule is for, what trips this
11 rule, and what our role as a Board should be with
12 respect to this rule.

13 **DR. ZIEMER:** Thank you, Tony. And with that
14 sort of introduction to it, perhaps I can add
15 some specificity to specific items here that will
16 maybe help clarify some of those issues.

17 For example, in 83.1 -- and we may need help
18 in the interpretation here -- in 83.1 it appears,
19 as Tony has suggested, that the process of
20 becoming part of the cohort -- there's a cohort,
21 and there's new classes that can be added to it.
22 As you read this, that there are not new cohorts.
23 There is a special cohort; it exists now. There
24 are new classes that are to be added as the
25 definition gives here -- yes, class of employees

1 to be added.

2 The language in 83.1 says:

3 (Reading) HHS will consider adding new
4 classes only in response to petitions by or on
5 behalf of the employees.

6 So it's an employee or a group. I think it
7 could be a union group representing employees.
8 But nowhere does it speak to NIOSH taking the
9 initiative on its own to develop a new class
10 based on what its findings are. And as has been
11 suggested, perhaps somebody's dose has not been
12 reconstructed, and they say, well, I'm not going
13 to pursue this any further. But over a period of
14 time, perhaps NIOSH finds that there are 10, 15,
15 20, or other people from that facility doing a
16 similar job for whom doses have not been
17 reconstructed. And perhaps these folks don't
18 know about each other, don't know that they may
19 be a class.

20 Was the intent not to have NIOSH be proactive
21 in initiating a --

22 **MR. ELLIOTT:** Yes, Ted.

23 **MR. KATZ:** Yeah, thank you. Let me -- it's
24 Ted Katz -- just address that. When we can't
25 complete a dose reconstruction, part of the

1 report that goes to that individual, whether it
2 be employee or survivor, saying that we can't
3 complete a dose reconstruction, part of the
4 service we provide at that point is to tell them
5 about the Special Exposure Cohort, and to provide
6 them materials to be able to petition and
7 encourage them to petition. So --

8 **DR. ZIEMER:** Understood. But if they don't?

9 **MR. KATZ:** No, I understand. I understand, I
10 understand. But the interpretation of the law,
11 EEOICPA, that was given at least, was that the
12 starting process for considering a class was a
13 petition by a class of employees. So EEOICPA
14 didn't authorize HHS to establish petitions on
15 its own initiative, but that in response to
16 petitions, and that's why it's written the way it
17 is.

18 **DR. ZIEMER:** Does it prohibit it?

19 **MR. KATZ:** No, and there's no language in
20 EEOICPA that says HHS must not, cannot, should
21 not, whatever. And of course, EEOICPA addressed
22 the President, not HHS. But anyway -- do this on
23 its own initiative. It laid out that these
24 classes would be considered in response to
25 petitions.

1 **DR. ZIEMER:** Well, that was a concern,
2 though, that it gives the impression, even though
3 in reality this might not occur. You do advise
4 them to do this and so on. It gives the
5 impression that unless that individual does
6 something, even if we know that there appears to
7 be a class out here, unless those folks do
8 something nothing's going to happen.

9 **DR. MELIUS:** Can I just ask some
10 clarification? I guess if I understand you
11 right, Ted, you're saying that there has to be
12 some sort of active, affirmative process back by
13 the claimant to request --

14 **DR. ZIEMER:** To trigger --

15 **DR. MELIUS:** -- being part of the Special
16 Exposure Cohort. Does that necessarily, though,
17 have to require them to name the class and things
18 like that? I think --

19 **MR. KATZ:** Right. No --

20 **DR. MELIUS:** If it were like a check box --

21 **MR. KATZ:** And in effect, it is.

22 **DR. MELIUS:** -- yes, I want to be considered

23 -

24 **MR. KATZ:** Yes, and --

25 **DR. MELIUS:** Well, that's not clear.

1 **MR. KATZ:** Well, that's -- no, that may not
2 be clear. But in effect, all they are providing
3 is their personal information, their contact
4 information and so on, and the finding that
5 NIOSH, in their case, couldn't complete a dose
6 reconstruction.

7 **DR. MELIUS:** Right. You already have all
8 this. You've already sort of know their -- you
9 know all this about them. If all you need is
10 some sort of an affirmation back that they want
11 to be considered --

12 **MR. KATZ:** Well, and that's in effect what
13 we're getting, right.

14 **DR. MELIUS:** Well, it's not clear --

15 **MR. KATZ:** I don't know, a check box or
16 whether they're filling out their name and
17 address. But it's not a burden, what we're
18 asking, just for them to affirm that they want to
19 be part of the class, part of the cohort.

20 **DR. ANDRADE:** Well, once again, Ted, it's
21 just appearances, I think. You all may be
22 planning and actually doing this already, and
23 advising them about the possibility. However, I
24 think it would be wise to consider just an extra
25 line or two in the proposed rule, such that it is

1 clear that if evidence to that effect comes up,
2 if there is some possibility that they could be
3 part of the cohort, they might want to petition.

4 **MR. ELLIOTT:** I appreciate the fact that --
5 this has been very beneficial to hear your
6 thoughts on this. And it is not clear, I
7 believe, as I've read it, reread it myself. And
8 we can certainly take your comments into account
9 and reflect upon them.

10 I wanted to comment on the second point you
11 made about putting the burden on us. We believe
12 the burden is on us, and we need to make that
13 clear. It's not on our contractor, it's on us.
14 And it's on us to monitor the results of dose
15 reconstructions coming out of our contractor, and
16 observing where dose reconstructions seem to be
17 on shaky ground or they can't do a dose
18 reconstruction, and what that means for that
19 potential class and how we can get an affirmation
20 from an individual or individuals from that
21 class. And yes, we may get one that says no, but
22 hopefully we'll find somebody else who will stand
23 up and say yes, we need to have a review for us
24 as a class.

25 **DR. ZIEMER:** And our thought is that this

1 again is partially a perception thing, but you
2 certainly want to show that NIOSH is going to be
3 proactive in making some of these things happen,
4 even if you still require the petition.

5 In 83.5 there's a definition of the class of
6 employees that says they have similar experience,
7 they worked at a similar facility, and so on. We
8 felt that it was probably also important to
9 include -- and I think you intended to do this --
10 include the similarity of time periods. It's not
11 just that here's somebody in 1955 that worked at
12 Los Alamos as a, let's say, a glove box operator,
13 and someone in 1980 that did that. Generally
14 these are also time-related as well as -- and so
15 we're simply suggesting that that be included in
16 some way in the definition there.

17 In section 83.1 --

18 **DR. MELIUS:** Paul, before you --

19 **DR. ZIEMER:** Oh, yeah.

20 **DR. MELIUS:** On that same issue, it's the
21 issue I brought up when we were at lunch. And
22 part of it's a factual question. Are there
23 itinerant groups of workers that move from
24 facility to facility? Because you've got
25 classes, a person at a facility -- and again,

1 this may be a small portion of who's out there --
2 but it may be easier to identify the class as a
3 particular group that does a task, moving from
4 facility to facility. Certainly in the
5 commercial and nuclear power there's a more
6 highly --

7 **MR. KATZ:** This is another issue of
8 interpretation of the legislation, which defines
9 classes as being at a facility, though -- so the
10 legislation seemed pretty clear to HHS in
11 interpreting the legislation that the definition
12 is -- adheres to a facility, and hence that's why
13 we discussed before about needing different
14 petitions separately for different facilities.

15 **DR. ZIEMER:** But it wouldn't really exclude,
16 Jim, I think what you're talking about, because
17 one of these special classes may be part of their
18 time at some particular facility where such an
19 exposure did occur, or multiple facilities.

20 **DR. NETON:** On a practical basis --

21 **DR. ZIEMER:** You could even name multiple
22 facilities, but there --

23 **DR. NETON:** No, it would have to be one
24 facility. But on a practical basis -- I could
25 think of an example, health physics technicians,

1 rad techs that jump from -- to support certain
2 things. Their exposure profiles are going to be
3 very different, more than likely, at different
4 facilities. So it wouldn't be easy to group them
5 if they worked at Los Alamos and then moved to
6 Fernald. Fernald you'd have uranium exposures;
7 Los Alamos you have something else; Rocky Flats.
8 So I don't think it even makes a practical sense
9 to lump them into one category of workers who
10 jumped from facility to facility. They could be
11 considered at multiple facilities, I suspect, a
12 Special Exposure Cohort if there was evidence.

13 **DR. MELIUS:** Yeah, but -- again, I'm not sure
14 how practical this is or meaningful, and I don't
15 want to belabor it. But in essence it may be
16 their cumulative exposure over those facilities,
17 because that exposure differs so much, it makes
18 it hard to reconstruct their doses, so to speak.
19 And I'm just thinking --

20 **DR. NETON:** I'm having trouble envisioning a
21 class like that, but you are right. If there was
22 such a class, I think --

23 **DR. ZIEMER:** But all they really need is one
24 facility where you couldn't reconstruct.

25 **DR. NETON:** Well, and --

1 **DR. ZIEMER:** They were all -- that was common
2 to all the exposed --

3 **DR. NETON:** But the exposure would have to be
4 sufficiently large to --

5 **DR. ZIEMER:** Correct.

6 **DR. NETON:** -- pass the bar test.

7 **DR. MELIUS:** Yeah, but because it would be --
8 it's depends on obviously the fact pattern.

9 **DR. NETON:** Right.

10 **DR. ZIEMER:** Yes, a comment?

11 **MS. GADOLA:** From attending some of the
12 employees meetings in Oak Ridge, there have been
13 employees that claimed that they were
14 construction workers or maintenance workers that
15 moved from facility to facility, and they
16 envision that their dose reconstruction would be
17 very difficult to obtain, and that sometimes they
18 were working -- this is according to them --
19 sometimes they were working in areas which at
20 first they were told they did not have to be
21 badged, and then after they were there for a
22 while they were given dosimeter badges.

23 **MR. PRESLEY:** That's correct.

24 **MS. GADOLA:** So it would seem that
25 maintenance workers and construction workers

1 might possibly be their own cohort or fall into a
2 special cohort. But according to how you're
3 defining it, they wouldn't be able to. Is that
4 correct?

5 **DR. ZIEMER:** They still have to link it to
6 some facility, not just be a construction worker,
7 right? They would have to -- you would want to
8 be able to show that when they worked, say, at
9 Oak Ridge they didn't have -- they couldn't
10 reconstruct.

11 **MR. PRESLEY:** What Sally's talking about is
12 at Oak Ridge they had three plants -- I'm sorry,
13 Bob Presley -- at Oak Ridge you had three plants.
14 And so what we did is we had one prime
15 construction contractor for all three plants, and
16 those people would move around. One week they
17 may be working at Y-12, the next week they may be
18 working at ORNL, the next week at K-25. So that
19 did happen in Oak Ridge.

20 **MR. KATZ:** So that get at the question of how
21 you define a facility, too.

22 **DR. ZIEMER:** Right, right.

23 **MR. PRESLEY:** Yes, that's correct.

24 **DR. ZIEMER:** But all it would take would be
25 for one of those, let's say Y-12, where the dose

1 couldn't be reconstructed, even if the others
2 could, and it was sufficiently large, then they
3 meet the criteria.

4 **DR. MELIUS:** Yeah, I'm just worried about
5 them getting defined as a class. I don't have
6 the law here, and I'm not sure what your counsel
7 said. But if we could sort of look in and follow
8 up on this it would be helpful to make sure we're
9 not -- by some of these definitions we're not
10 excluding somebody, a group that moves from
11 facility to facility, or that we may change the
12 definitions here somehow to make it -- facilitate
13 that kind of a designation.

14 **MR. PRESLEY:** And the other thing is, since a
15 lot of these people, they're in their seventies,
16 late sixties, early seventies, even eighties,
17 we've changed prime construction contractors
18 about four or five times. Records, things like
19 that, are almost nil.

20 **DR. ANDERSON:** This is just partly a follow-
21 up on should NIOSH be proactive. Do you foresee
22 that NIOSH will publish on a regular basis the
23 characteristics of those people that don't -- you
24 can't do dose reconstructions?

25 I think our group concern was it's kind of --

1 it's all very individual-oriented, but the
2 individual is very isolated. And so to expect
3 that individual to either go out and find these,
4 unless your report back to them that says, well,
5 you ought to contact da-da-da, or we're aware of
6 X, Y, Z, you then -- you could either be
7 proactive and do it yourself, or if you put out a
8 report then unions or others who could file
9 petitions could analyze that data. But if the
10 individual data isn't available, the only people
11 who could do any kind of characterization to look
12 for commonality would be NIOSH.

13 So that was our concern, is that you will
14 know something but the individual won't, and so
15 they won't move forward, and therefore there's
16 some view that a class is being covered up
17 because you can't let people know about it.

18 **MR. KATZ:** But so -- I just want
19 clarification on part of what you're saying.
20 You're saying that when we let an individual know
21 that we can't do their dose reconstruction, we
22 tell them that they should file for a class.
23 You're saying that they would be more persuaded
24 to actually do that if they knew other
25 individuals were in their same bag, than they

1 would be -- is that what you're saying?

2 **DR. ANDERSON:** Well, if you get a letter back
3 saying you your dose can't be reconstructed, does
4 that mean de facto you're -- if you just say, oh,
5 maybe I'm a special class, I'm going to ask you,
6 NIOSH, to investigate whether I am in a special
7 class. And all I have to do is say, okay, am I
8 in a special class? Then you evaluate whether
9 you're going to evaluate it, and you turn around
10 and say, yes, we'll evaluate it. If that's the
11 intent, then it's very easy. But if --

12 **MR. KATZ:** Right, but that part is, I hope,
13 clear in the rule. In fact, in that case we are
14 telling them that they should petition to be part
15 of the Special Exposure Cohort, and there's no
16 further consideration about the petition being
17 evaluated. It will be evaluated.

18 **DR. ANDERSON:** See, I don't think that's
19 clear in there, that in fact everybody who you
20 can't reconstruct their dose is --

21 **MR. KATZ:** I see, so --

22 **DR. ANDERSON:** -- all you've got to do is
23 mail it back to you.

24 **MR. KATZ:** Let me explain. And maybe this is
25 addressed in the preamble, maybe it's not. But

1 the dose reconstruction rule states very clearly
2 that whenever we can't do a dose reconstruction,
3 we will provide them with the materials and
4 information about filing to be part of the
5 Special Exposure Cohort. That's part of the --

6 **DR. ANDERSON:** Yeah, but I mean to say --

7 **MR. KATZ:** -- dose reconstruction rule
8 already. It's separate from this rule, but
9 that's a guaranteed element of completing that
10 dose reconstruction, and in effect not being able
11 to.

12 **DR. ANDERSON:** Yeah. I mean I guess the how
13 to file is a different issue from --

14 **MR. KATZ:** That's what their --

15 **DR. ANDERSON:** -- you are eligible to be
16 evaluated.

17 **MR. KATZ:** And this Board actually gave us
18 advice on this, and we took the Board's advice
19 about giving them -- not just telling them that
20 they're eligible, but in fact telling them how to
21 do it and giving them the materials do to it. So
22 that is part of the dose reconstruction rule
23 already, to not just tell them they're eligible,
24 but to give them materials to file, encourage
25 them to file. And that part will happen.

1 So I guess an individual might decide, well,
2 I don't want to be bothered or whatever, but
3 we're certainly going to encourage them to file,
4 and we're giving them all the materials to file.
5 And there's nothing more to be done. That
6 petition will be evaluated by NIOSH, by the
7 Board, by HHS.

8 **DR. ANDERSON:** Okay. See, I'm confused by
9 when you say materials. To me, that's the form
10 you need to fill out, versus here is the
11 rationale we've provided for you why you could be
12 a class, and that you will then evaluate that, as
13 opposed to they send it back and you say, no, we
14 won't accept this --

15 **MR. KATZ:** No.

16 **DR. ANDERSON:** -- evaluate this.

17 **DR. MELIUS:** They do say they will accept it.

18 **DR. ANDERSON:** Okay.

19 **MR. KATZ:** It's a --

20 **DR. MELIUS:** I think what we were saying
21 before is that should be as claimant-friendly as
22 possible.

23 **MR. KATZ:** Yes, and I --

24 **DR. MELIUS:** You're going to have survivors
25 that have waited some length of time and so

1 forth.

2 The other part of that, though, I think would
3 be useful is if you could publish in a non-
4 identifiable form sort of a listing of those
5 people that you couldn't complete dose
6 reconstructions on. That's my point about
7 there's no really criteria out there for people
8 to understand who that -- so for people --

9 **MR. ELLIOTT:** It gets in a class.

10 **DR. MELIUS:** Yeah --

11 **MR. ELLIOTT:** How do we define the class?

12 **DR. MELIUS:** Right.

13 **MR. ELLIOTT:** We think there's a class here.

14 **DR. MELIUS:** Yeah.

15 **MR. ELLIOTT:** And we're going to have the
16 Board review it after we've done our research to
17 define the demographics of that class. And once
18 the Board says, yeah, we agree, and then we go
19 forward with announcement, publication --

20 **DR. MELIUS:** No, before that, though. I'm
21 saying --

22 **MR. ELLIOTT:** Jim --

23 **DR. MELIUS:** -- it's when you have
24 individuals of why you can't complete their dose
25 reconstructions, can you publish or make

1 available in some way that as a listing, not
2 identifiable?

3 **MR. KATZ:** Right, this is entirely separate.
4 Jim's just wanting some accounting of when we
5 can't do dose reconstructions, let the world know
6 that we can't.

7 **DR. MELIUS:** That way if I'm a potential -- a
8 union, say, or somebody that would be -- or
9 someone in a similar situation, maybe rather than
10 applying individually, I say look, that's -- you
11 ought to get together a petition and do that.
12 You've already got some information on this.
13 You've already made a preliminary finding. It
14 should be easier to go through with. It would
15 also, I think, help inform people about this on
16 this case-by-case --

17 **DR. ZIEMER:** Mark.

18 **MR. GRIFFON:** Just a question to follow up on
19 Larry's part of it, which is once you have a
20 class established and you release the criteria in
21 the *Federal Register*, I'm wondering, in
22 establishing that it seems to me that NIOSH may
23 actually identify coworkers from the original --
24 as you're going to do this research you're going
25 to identify potential people that would fall into

1 that SEC.

2 So I'm wondering about notification.
3 Obviously once that SEC is released, defined and
4 released to the *Federal Register*, people can
5 apply and say that they meet it or don't meet it.
6 But if you already know a group and found some --
7 maybe they didn't fail a dose reconstruction.
8 Maybe you've never heard from them before, but
9 you identify them in doing your coworker
10 analysis. Would there be a proactive sort of
11 notification process to reach out to those people
12 and say, hey, in our -- just asking.

13 **MR. ELLIOTT:** It's a point worth considering,
14 but we've not examined it in that way as to
15 whether or not we need a notification piece here.
16 We have talked with Labor, and have an
17 understanding of how they see their job in
18 dealing with claims that come forward and
19 identifying them -- oh, well, NIOSH has
20 established or HHS has established a new class
21 for the Special Exposure Cohort and this claimant
22 fits into that, so we don't send it to NIOSH for
23 dose reconstruction. It's got one of the 22
24 cancers, they're awarded their compensation. And
25 so we have that in place.

1 But we've not talked about or thought about
2 or considered -- this is something we should, I
3 think, take up and deliberate on. The risk you
4 run is you don't know where to find some of these
5 people. You may not know how to get at them.
6 You miss people. But it's probably better -- a
7 benefit rather than a detriment to do it.

8 **DR. ZIEMER:** You're saying if you know
9 already because you maybe interviewed them to try
10 to reconstruct somebody else's dose or something
11 like that.

12 **MR. GRIFFON:** Yeah, or in just doing your
13 analysis for, say, if one person fails, you can't
14 reconstruct a dose, and in doing that analysis
15 you find all these other coworkers. They may not
16 have even applied through the process.

17 **DR. ZIEMER:** They may not have cancer.

18 **MR. GRIFFON:** May not have cancer, but you
19 know that they fall into the Special Exposure
20 Cohort. So rather than put the burden on -- I
21 think it's just the proactive --

22 **DR. ZIEMER:** Okay, let me continue a moment.

23 In section 83.1, Tony made the remark about
24 making it clear to people that this is not an
25 appeal process for individuals for whom dose

1 reconstruction didn't lead to compensation. And
2 we're actually going to suggest possibly adding a
3 statement in 3.1 that says what are the purpose
4 of the procedures, and we're suggesting to add a
5 sentence or two that also says what the purpose
6 is not, and it's not an appeal process. If you
7 had a dose reconstruction that failed to lead to
8 compensation, this is not plan B. So that's just
9 a clarification for people to understand what
10 this is about.

11 Then in section 83.10, this is a section that
12 gets very specific about some roles for this
13 Board. And our small group felt like we were
14 much too involved in the sort of day-to-day
15 operation of the process, or in the loop too
16 early.

17 For example, in 83.10 subparagraph (b)(2) it
18 talks about petitioners who fail to meet the
19 requirements. If they have a petition that
20 doesn't meet the requirements, and so they're
21 going to be turned down, it basically says that
22 they're going to be turned down -- this
23 recommendation for turning them down is going to
24 be reviewed by the Board, as if the Board is
25 going to second-guess this in some way. It's

1 already stated they don't meet the requirements
2 of the petition. That's the basis for turning
3 them down. We felt like that's a staff function
4 at this point, and we were -- unless we
5 misunderstood this.

6 And then in the subparagraph (3) it says HHS
7 will report the recommended finding and its basis
8 to the Board. HHS will consider recommendations
9 of the Board before producing a final decision on
10 whether or not to select the petition. But we
11 felt like at that point, we're not creating a new
12 class. We're just saying somebody -- the
13 petition didn't meet the requirements. If it
14 doesn't meet the requirements, why do we need to
15 even review it?

16 **MR. KATZ:** Right. And the reason that's
17 there -- and this is a valid issue for comment,
18 particularly by the Board -- but that's there
19 because it was our view that claimants would
20 expect that they would get some sort of hearing
21 by the Board because the Board's named in
22 EEOICPA, and so on; that in their cases, then,
23 for those individuals, if the Board didn't look
24 at that decision they would feel like, well, I
25 was supposed to have a chance with the Board, to

1 petition the Board, and in fact I never even --
2 HHS never let me get to the Board. So that's --
3 that's why that's there.

4 **DR. ZIEMER:** Well, and perhaps this needs
5 further discussion, but is it really a petition
6 to the Board, or is it a petition to HHS?

7 **MR. KATZ:** Well, in the language of EEOICPA,
8 in effect it's a petition to the Board. It's a
9 petition to the Board to consider their class, in
10 effect. But HHS -- there's prerogative here.
11 HHS is given the role of considering these
12 petitions to the full Board before advancing them
13 to the Board, and you could read it to say that
14 HHS has the right to decide without involving the
15 Board where it doesn't believe a petition meets
16 sort of basic requirements for being a valid
17 petition.

18 **DR. ZIEMER:** I think that was our point, that
19 -- there's two prongs to this. One is the
20 petition doesn't meet the requirements, so it's
21 not going to go any further. The other is the
22 petition does meet the requirements, and it's
23 going to move up and has the potential of
24 becoming a new class, which definitely requires
25 some Board action.

1 But we just wanted to raise this issue with
2 the full Board. Our small group felt like the
3 Board's involvement was too early here. We're
4 getting more involved in the day-to-day
5 management of that activity. And we haven't
6 discussed this with the full Board, but we're
7 just raising this issue and wanted to get some
8 feedback.

9 And then in item (4), or item (c), 83.10(c),
10 NIOSH will present the petitions selected for
11 evaluation to the Board, with plans specific to
12 evaluating each petition. What we think is
13 intended here, and it's not clear, is that it's
14 petitions that NIOSH intends to evaluate, or
15 maybe we both are. But this has to do with
16 informing the Board that here's a petition we
17 plan to evaluate, and here is the evaluation plan
18 that we plan to use.

19 Is that correct, Ted?

20 **MR. KATZ:** That's completely correct. So the
21 next step, after you've decided which petitions
22 need to be evaluated, is to present those so
23 you're aware of these are new petitions that are
24 going to be coming up. You won't be having to
25 address them at that point --

1 **DR. ZIEMER:** But this evaluation is NIOSH's
2 evaluation?

3 **MR. KATZ:** NIOSH is the first step, right.
4 Exactly.

5 **DR. ZIEMER:** It sounds like NIOSH is
6 presenting this to the Board for evaluation.
7 It's just a wording --

8 **MR. KATZ:** Okay. It's NIOSH that takes the
9 first step at --

10 **DR. ZIEMER:** It's just informing us that you
11 plan to evaluate it, and here's the evaluation --

12 **MR. KATZ:** Right. The Board will be
13 evaluating it later, too, so it's --

14 **DR. ZIEMER:** Right.

15 **MR. KATZ:** The whole process of evaluation
16 will have to occur. That's what --

17 **DR. ZIEMER:** We're just asking for clarity
18 there, so at this step it's the NIOSH evaluation.

19 **DR. MELIUS:** If I read this, I think
20 literally it says it takes two Board meetings to
21 get something into an evaluation -- the first
22 Board meeting for the Board to say go ahead, the
23 second Board meeting for NIOSH to present its
24 evaluation plan for the approved petition.

25 **MR. KATZ:** No, because the Board doesn't have

1 to say go ahead. So we will go ahead as soon as
2 -- as soon as a petition meets, we will be going
3 ahead. And when the next Board meeting arises,
4 we will then go -- there'll be a generic plan for
5 how we evaluate these, but we'll present specific
6 plans when that Board occurs. But we'll have
7 gone ahead.

8 **DR. MELIUS:** Okay. I don't think it's
9 completely clear in here.

10 The other point, I think, going back to the
11 earlier issue also, is that I think -- maybe this
12 was my other meeting with you, the stakeholder's
13 meeting -- but the idea that there's this 30-day
14 period. If there's something missing in the
15 application, you'll get back to the -- NIOSH will
16 get back to the petitioner asking for whatever's
17 missing, further information and so forth, and
18 give them time to present that. So then it
19 should be -- hopefully a lot of this stuff gets
20 addressed -- either makes it or it doesn't at
21 that point.

22 **MR. KATZ:** That's right. That's right,
23 that's not a 30-day period. It's as long as it
24 takes between us and the petitioners. But we'll
25 do what we can to help the petitioner do all the

1 petitioner can.

2 **MR. ELLIOTT:** For 83.10(4)(c), we just
3 thought the Board would want to be -- would want
4 to have an opportunity to weigh in on the plan,
5 for a specific plan, the specific petition plan -
6 -

7 **DR. ZIEMER:** Yeah, I don't think we have
8 trouble with that. We had more trouble with
9 trying to figure out whether this was telling
10 people that the Board is going to do the
11 evaluation, NIOSH is presenting this to the Board
12 for evaluation. It's just getting the wording
13 clear that -- it needs to be will present its
14 evaluation, NIOSH's evaluation package to the
15 Board. It's a semantics thing there.

16 And then later there's a Board review
17 process. NIOSH comes back and says here's our
18 findings, then we weigh in. And then conceivably
19 NIOSH could say we turned it down, and the Board
20 could say, well, we think it should go forward.
21 Both could turn it down. Both could endorse it.
22 And then it's reported to the Secretary.

23 Now one question in 83.13, then, is the Board
24 will review the petition and NIOSH evaluation at
25 a meeting to which the petitioners are invited.

1 And we're just asking the question at this point,
2 is it necessary to invite the petitioners to this
3 meeting? Or does that -- would you only do that
4 in cases where you thought there was going to be
5 some really big issue that has -- we're
6 concerned, particularly if there's 90 cases, that
7 petitioners are going to want to come and not
8 just tell you in two minutes what their petition
9 is.

10 **MR. KATZ:** The petitioner is likely to want
11 to come if they see our report and the report is
12 not an affirmative report. They're likely to
13 want to be able to make a case to the Board. And
14 since it's the Board they're petitioning, we
15 thought they should have an opportunity to
16 actually come before the Board, as opposed to
17 being kept at, in effect, at arm's length with us
18 in between.

19 **DR. ANDERSON:** I think our discussion was
20 more if your recommendation is to accept, then I
21 think our sense on the Board is why would we
22 necessarily stand in the way of that? Why would
23 you ask somebody to come in to make an
24 impassioned plea when the decision is to move
25 forward?

1 **MR. KATZ:** Well, in an affirmative case,
2 they're not likely to -- they don't have a lot of
3 motivation to come in and make a plea. But I
4 suppose they could still want to address you.

5 **DR. ANDERSON:** But we could turn down your
6 proposed --

7 **MR. KATZ:** You could reject our --

8 **DR. MELIUS:** See, I don't think there's a way
9 of avoiding inviting them.

10 **MR. KATZ:** I just think that's a necessary
11 element.

12 **DR. MELIUS:** There's also issues of --
13 remember, it's not just the petition, but it's
14 also --

15 **DR. ZIEMER:** This is more than inviting.
16 This is inviting them to present views and
17 evidence. And suddenly you're going to have
18 attorneys present, and then the Board's going to
19 say, well, then do we need attorneys present? It
20 seems to me that this starts looking more and
21 more like a formal adjudication process of a
22 document.

23 What is the wording that is driving this in
24 the original -- do you have the original
25 legislation that says the -- that talks about

1 petitioning the Board versus --

2 **MR. KATZ:** It's actually in the rule.

3 **DR. ZIEMER:** But what are the words?

4 **MR. KATZ:** I have it here. Liz just handed
5 it to me, so let me just read it to you verbatim.

6 **DR. ZIEMER:** While you're looking at that,
7 because it's really the Secretary that makes the
8 decision; the Board does not make a decision.
9 It's one other piece of information that the
10 Secretary weighs together with the staff
11 recommendation. So I would sort of argue, is
12 that really a petition to the Board if the Board
13 doesn't make the decision? The Board makes a
14 recommendation. It looks more like a petition to
15 the Secretary. Otherwise, the only thing the
16 Secretary could do is accept that, unless they're
17 --

18 Sally's got a question, while they're --

19 **MS. GADOLA:** I'm good at complicating things.
20 I brought this up yesterday, because it also says
21 in the rule about the silica and about silicosis.
22 And the way that I read it is that it is also
23 possible for people that have silicosis to also
24 petition for a special cohort. I know that the
25 rest of this all talks about radiation, but when

1 you go right back to the very beginning it says
2 people that worked with silica and developed
3 silicosis with the Department of Energy. And so
4 if there is a special cohort out there, can they
5 come in? No?

6 **MR. ELLIOTT:** Somebody'd better help me out
7 here, but I don't believe the Act specifies the
8 Special Exposure Cohort to include silicosis,
9 silicosis or beryllium. It's only cancer. It's
10 radiation injury only. And whatever
11 Congressional rationale for all of that was, we'd
12 have to go back to Dave Michaels or Richard
13 Miller or somebody else. But the Special
14 Exposure Cohort that's been established is for
15 radiation injury -- i.e., cancer. Not a
16 deterministic effect, but stochastic effects.

17 **DR. ANDERSON:** Because it's tied into dose
18 reconstruction.

19 **MR. ELLIOTT:** That's right.

20 **DR. ANDERSON:** You don't have to do dose
21 reconstruction for silicosis.

22 **DR. ZIEMER:** Right. And endangered health
23 for this thing is defined as reasonable
24 likelihood that radiation dose may have caused a
25 specified cancer.

1 **MS. GADOLA:** I guess I was reading it when it
2 talks about the background and the statutory
3 authority right at the beginning. And when it
4 talks about that it was established benefits as
5 compensation to covered employees suffering from
6 designated illnesses occurred as a result of
7 their exposure to radiation, beryllium, or silica
8 while in the performance of duty for the
9 Department of Energy.

10 **MR. ELLIOTT:** But that is referring to the
11 Act itself, not to the Special Exposure Cohort.
12 That's the background on why the Act -- that's
13 the enabling legislation.

14 **MS. GADOLA:** And they did establish one
15 special cohort.

16 **MR. ELLIOTT:** There's only one Special
17 Exposure Cohort. That's it. One. And we're
18 talking about adding classes to that Special
19 Exposure Cohort, and those classes have to have
20 had their health endangered by radiation exposure
21 where we cannot do a dose reconstruction. Simply
22 put, that's where we're bound by the Act.

23 **MS. GADOLA:** Okay. I just wanted to have it
24 clarified again.

25 **MR. ELLIOTT:** If I can, I think Liz has

1 pointed out -- this may be what they're
2 discussing back there -- but of the Act, this is
3 the EEOICPA Act, Section 36.26, Designation of
4 Additional Members of the Special Exposure
5 Cohort, (a), subsection (a), Advice on Additional
6 Members:

7 (Reading) The Advisory Board on Radiation and
8 Worker Health under Section 36.24 shall advise
9 the President whether there is a class of
10 employees at any Department of Energy facility
11 who likely were exposed to radiation at that
12 facility, but for whom it is not feasible to
13 estimate with sufficient accuracy the radiation
14 dose they received.

15 So Ted, is that where you're --

16 **MR. KATZ:** Here it is. And it's the way it's
17 written, it's tucked under, so you have to refer
18 to another paragraph to know what they're talking
19 about. But in paragraph 3(1) it says:

20 (Reading) The President shall request advice
21 under paragraph 1 -- that's what I think you were
22 reading -- after consideration of petitions by
23 classes of employees described in that paragraph
24 for such advice.

25 So petitioners are petitioning for advice by

1 the Board. That's what their petition is for,
2 advice for their -- they want the Board to advise
3 the President about a class of employees. Does
4 that -- it is actually straightforward, except
5 it's not written neatly.

6 **MR. ELLIOTT:** And the President has delegated
7 that duty to the Secretary of HHS.

8 **DR. MELIUS:** Does that explain this
9 appearance and present evidence portion of it?
10 That's my -- I think that's our question. It's
11 not -- that actually sounds to me --

12 **DR. ZIEMER:** My question had to do with who
13 is the petition to.

14 **DR. MELIUS:** Right.

15 **DR. ZIEMER:** That's your point, too, then.
16 The President shall request advice under
17 paragraph 1 after consideration of petitions --
18 this is the President after consideration of
19 petitions, but now HHS Secretary becomes the
20 surrogate for the President, so he's considering
21 the petitions in that paragraph.

22 **DR. ANDERSON:** Asking for advice.

23 **MR. PRESLEY:** But would they not come before
24 the Board and present their case, and then we
25 would be the ones to go back to the Secretary of

1 Health and Human Services with advice on who?
2 That's the way I understand it.

3 **DR. ZIEMER:** Well, I don't know if we can --
4 I think the staff has interpreted this to mean
5 that the petitions come to the Board.

6 **MR. KATZ:** The petitions are addressed to the
7 Board, in effect. By this language --

8 **DR. ZIEMER:** By this language.

9 **MR. KATZ:** Yes.

10 **DR. ZIEMER:** In the law.

11 **MR. KATZ:** Right.

12 **DR. ZIEMER:** Yeah. I think I'm asking
13 whether -- I think it could easily be interpreted
14 differently than that.

15 The Advisory Board advises the President --
16 i.e., the Secretary of Health and Human Services
17 -- whether there's a class of employees for whom
18 it's not feasible to estimate dose. The advice
19 of the Advisory Board shall be based on exposure
20 assessment by health professionals, and so on.
21 And the President shall request advice after
22 consideration of petitions. It doesn't say
23 petitions to whom, but it does say petitions by
24 classes of employees in that paragraph.

25 **MR. KATZ:** It's petitions for such advice,

1 and the advice is coming from the Board, so it's
2 for Board advice. This is what these are
3 petitions for, for Board advice.

4 **DR. ZIEMER:** I don't see where you're linking
5 that.

6 **MR. KATZ:** It's the rest of that sentence.
7 After consideration of petitions by classes of
8 employees described in that paragraph for such
9 advice, the last three words of that sentence.

10 **DR. ZIEMER:** Shall request advice under
11 paragraph 1?

12 **DR. ANDERSON:** A mistake has been made.
13 (Laughter)

14 **MR. GRIFFON:** Yes, we're here.

15 **UNIDENTIFIED:** About those submissions for
16 extension of term.

17 (Laughter)

18 **UNIDENTIFIED:** You want to back down now?

19 **DR. ANDERSON:** August 4th is looking real
20 good.

21 **MR. ELLIOTT:** I sense the Board interest to
22 get out of a little work here. Welcome to my
23 world.

24 (Laughter)

25 **DR. MELIUS:** But don't worry, Larry, you'll

1 suffer under this one, too.

2 **DR. ZIEMER:** To me, this wording is not at
3 all clear cut, but I think --

4 **MR. PRESLEY:** Let Mary speak.

5 **MS. ARMSTRONG:** As I understand it, the
6 concern is having a Board meeting turn into a
7 hearing.

8 **DR. ZIEMER:** Is the petitioner really
9 petitioning the Board, or is the petitioner
10 petitioning the Health and Human Services
11 Secretary? Because that is the person who makes
12 the decision, based on advice from (inaudible).

13 **MS. ARMSTRONG:** The Secretary -- and I'm just
14 saying he at this point because the Secretary is
15 a he at this point -- makes the final
16 determination. That's clear from the statute.
17 It says that the Secretary determines upon advice
18 of the Board. At this point we have it set up
19 that, because of the wording in the statute, that
20 the petition is for a petition for that process
21 to begin, including the petition to the Board for
22 that advice.

23 Your concern is you don't want this Board
24 meeting turning into a hearing. These Board
25 meetings are public. There's always going to be

1 -- the petitioner, if they want to sit in the
2 audience and make their public comment, that's
3 what FACA is. These are public meetings. If
4 there's a concern that we're going to have a
5 trial type hearing at these particular meetings,
6 we can take a look at this and try to make sure
7 that this is a determination based on a written
8 record with an opportunity for a public comment
9 period, but not necessarily a representation and
10 hearings and witnesses, et cetera.

11 Is that what the concern is, basically?

12 (Affirmative nods)

13 **DR. ZIEMER:** Actually, what our subcommittee
14 -- and again, we're just raising this to the full
15 Board as to what our -- our concern was really
16 with the paragraph that says that petitioners are
17 going to be invited to present views and evidence
18 at a Board meeting.

19 **MS. ARMSTRONG:** And what you, I think, were
20 wanting is that all evidence be presented to the
21 Agency at the time the petition is made, and that
22 you all will be able to make your recommendations
23 based upon whatever has been presented to the
24 Agency. Is that basically --

25 **DR. ZIEMER:** Well, I'm not even sure we got

1 that far. We really were concerned about the
2 implications of this, because it starts to look
3 like an adjudicatory hearing.

4 **MS. ARMSTRONG:** A hearing, or a trial-type
5 hearing.

6 **DR. ZIEMER:** And maybe the intent there was
7 simply that this is going to be on the docket for
8 that meeting, and that you're invited to attend
9 and listen to the deliberations and whatever.
10 The wording in here looks very much like it's a
11 formal hearing because it talks about presenting
12 evidence and so forth.

13 **MS. ARMSTRONG:** Okay.

14 **DR. ZIEMER:** We're only raising it today as a
15 concern. We don't have a proposed solution, but
16 I think we would like to think about it and maybe
17 have the staff --

18 **MS. ARMSTRONG:** And have us think about it,
19 too.

20 **DR. ZIEMER:** I don't think the issue of who
21 to petition; that's sort of secondary.

22 **MS. ARMSTRONG:** As much as how the hearing or
23 how the Board's consideration --

24 **DR. ZIEMER:** (Inaudible) -- the issue remains
25 the same. Does our thing become a formal

1 hearing?

2 **MS. ARMSTRONG:** Right. Okay. And I think
3 that would --

4 **DR. ZIEMER:** If we can find words to take
5 care of that, at least for our subgroup that was
6 what our concern was.

7 **MS. ARMSTRONG:** And I guess I should identify
8 myself for the record. I'm Mary Armstrong. I'm
9 the senior attorney for NIOSH.

10 **DR. ZIEMER:** And our concern is not so much
11 getting out of work, as much as it is when -- for
12 example, it was suggested there might be 90 such
13 petitions. And we're going to have a hearing
14 that takes less than an hour, there's 90 hours.
15 Well, let's see, that's only about ten days a
16 year out of -- that's about how many days we'll
17 meet this year.

18 **MS. ARMSTRONG:** Right. Right. I can
19 understand the concern, and I think we need to
20 look at how this is structured.

21 **DR. ZIEMER:** And then -- let's see. Well, I
22 think that took care of sort of the major things
23 we were wrestling with. There are probably some
24 other details, but I'm going to suggest to the
25 Board that if it's agreeable we'll ask the four

1 individuals -- and I'll take the lead in this --
2 to put some of this stuff in more formal words
3 for our next meeting, and we'll work amongst
4 ourselves and then prepare a straw man, if that's
5 agreeable, with any other input that --

6 **DR. ANDERSON:** Yeah.

7 **DR. MELIUS:** Yeah. Who should we get that
8 input to, that's my question.

9 **DR. ZIEMER:** Me.

10 **DR. MELIUS:** Okay.

11 **MR. GRIFFON:** I was just going to ask --

12 **DR. ZIEMER:** I don't want to volunteer Tony.

13 **MR. GRIFFON:** I was going to ask if -- it was
14 a working group, so maybe minutes of your -- did
15 you take minutes?

16 **DR. ZIEMER:** It was really an ad hoc --

17 **MR. GRIFFON:** It was ad hoc, okay.

18 **DR. ZIEMER:** -- group. But we can formalize
19 it, I think, if that's necessary. I'll simply
20 exercise the prerogative to appoint this as a
21 working group. And it's Henry and Wanda and Tony
22 and me. We can probably add another person if
23 somebody wants to be involved -- okay, and Sally
24 -- and we'll work up some straw man words for the
25 next meeting.

1 **MR. GRIFFON:** Did you consider other issues,
2 particularly one of my favorite issues that I've
3 been talking to Jim Neton to some extent on, with
4 sufficient accuracy and how that was handled.
5 And also definitions of feasibility. I don't
6 know if you got around to discussing those.

7 **DR. ZIEMER:** We didn't.

8 **MR. GRIFFON:** I know we brought them up as
9 issues.

10 **DR. ZIEMER:** And if there are particular
11 places -- what we're trying to do is say where
12 would you put some of these things, and what
13 would you say. And if you have suggestions --
14 insert the following -- we can add that. Thank
15 you.

16 **MR. ELLIOTT:** I'd just remind, as a working
17 group, whatever your deliberations come to be and
18 you exchange those, we can do that on the web
19 site because we have to make that public.

20 **DR. ZIEMER:** Right.

21 **MR. ELLIOTT:** So keep that in mind.

22 **DR. ZIEMER:** So I'll copy you on anything
23 that we send out.

24 Now let's -- do we need a break yet?

25 **UNIDENTIFIED:** Yes, we're over.

1 version because it provides all the data at once
2 without having to select different cancer types
3 and ages at exposure. And in fact, the CD
4 version doesn't do that. We're kind of limited
5 by the same sorts of things that we have on the
6 web now. Let me bring it up.

7 We had thought at some point that we'd like
8 to have printed tables, printed tables had been
9 requested of us. And at that point we got to
10 thinking about how in the world could that
11 happen, because what we're talking about here is
12 three and four-dimensional tables. There's just
13 lots of data. That was one of the main reasons
14 we went away from the look-up tables that they
15 did back in 1985, because now this thing is so
16 much more complex. And let me show you what I
17 mean.

18 I had showed you this earlier, the way the
19 different cancers are grouped, but let's just
20 look at this again. Group one cancers, the data
21 here is a function of age at exposure, and
22 there's 70 of those; so just imagine now in Excel
23 you have 70 rows. Attained age, we now take
24 those up, I think, to 80, and so there's 80. So
25 you've got 70 by 80, that sounds simple.

1 But then you've got all the uncertainty. And
2 so if you put at the very minimum five of the
3 percentiles -- the 1st, 5th, 50th, 95th, and 99th
4 -- then that's five more tables just like that.
5 And on top of that, we have gender. And so just
6 immediately, with all the group one cancers and
7 most all of the group two cancers, you have four
8 dimensions to try to print out or to provide on
9 the web. Group three cancers, some of those are
10 a little simpler and could be on one page.

11 But that's the reason that we had gone with
12 the approach that we have on the web now, which
13 is doing a calculation for one age at exposure
14 and one time since exposure, and it provides all
15 your uncertainty with it. Now, what the web
16 version or what this version does, what we looked
17 at before is that it brings it in still just for
18 one age at exposure, time since exposure,
19 whatever's selected on that main screen is all we
20 see here in this column.

21 And so I'm sensing what Mark's question is
22 here -- and so I'm going to go right back to that
23 main data real quickly -- and he's thinking what
24 about this 101 values? It's really simple there.
25 And in effect, it is. But you notice there there

1 is no attained age effect, there's no age at
2 exposure there yet. That's a multiplicative
3 factor. It's another uncertain factor that we
4 apply after this point.

5 And so these values could easily be provided,
6 but then there would need to be this
7 multiplication of the additional factor in some
8 cases. And where to apply that and what that
9 factor is is discussed in that PDF file that
10 comes along with this.

11 **MR. GRIFFON:** Can I just -- is that the --
12 that would be the newly-analyzed Thompson data?

13 **MR. THOMAS:** Yes.

14 **MR. GRIFFON:** I think, for me, that's useful,
15 too. Also, I guess I'm thinking back to 2.1,
16 you're saying that in those cases the tables were
17 constructed differently, so therefore you had --
18 I think you had tables going across for attained
19 age, or for age at exposure versus your --

20 **MR. THOMAS:** That's right. For a number of
21 the cancer types in version 2.1, the way we
22 handled attained age and age at exposure was
23 different. And so these tables did include all
24 the information. And one of the nice things I
25 had mentioned about Analytica is the way that it

1 handles multi-dimensional arrays. But that's
2 hard to print that out. It's hard to visualize
3 four dimensions for someone.

4 So anyway, that was my only comment. We can
5 now --

6 **MR. GRIFFON:** That data right there would
7 satisfy my need. I think that data, along with
8 the PDF document describing the equations and the
9 age-dependency on those various equations for
10 cancer groups, you can get from the beginning
11 point to your endpoint. So that would suffice
12 what I was requesting.

13 **MR. THOMAS:** Okay. And so maybe what we
14 could do instead of the 101 values there --
15 because what we'd have to do with that as well is
16 provide you with the 101 probabilities that went
17 along with it -- but perhaps we could provide a
18 smaller number of those. And then with that
19 information, plus what you'd have with that PDF
20 file, you could essentially work through the
21 calculation yourself.

22 **DR. ZIEMER:** Well, let me suggest that
23 perhaps you folks can discuss that further, and
24 if others want copies they can work on that or
25 talk to you about it.

1 Thank you very much.

2 **MR. THOMAS:** Sure.

3 **DR. ZIEMER:** I'd like to ask Mark Griffon now
4 to present the status of your recommendations
5 from the working group.

6 **MR. GRIFFON:** I think we worked on this
7 yesterday afternoon in our working group. And we
8 tried to put -- this is again a straw man of some
9 recommendations of what I presented in the
10 morning yesterday, and basically broke up into
11 three groups: the independent panel, this notion
12 of forming the independent panel; the case
13 selection; and then the scope of work for the
14 panel.

15 First, the working group recommends having a
16 review panel with independent experts, along with
17 Board representation and Board oversight. That's
18 exactly as we stated yesterday in the
19 presentation. The working group proposes that
20 the panel be comprised of two groups, each
21 consisting of one expert -- parentheses,
22 contractor -- and two Board members. And in
23 addition to that, we're recommending four to six
24 experts in total be identified so that they're
25 available on an as-needed basis.

1 The reason for that is we're envisioning --
2 and if I get this wrong from the rest of the
3 group, please chime in -- but we envisioned we
4 might need to rotate subgroups. We might need
5 certain expertise at certain sites or certain --
6 for example, like accelerator exposures or
7 something like that. So you may have to rotate
8 these experts on these two groups.

9 And the reason for the two groups, at least
10 initially, we felt we've got to start at least
11 with two groups just to be able to scale up for
12 the number of cases we're going to be reviewing.
13 And we may need more, but we also recognize the
14 total pool that we may have to work from for
15 experts may be limited. So we have -- that's
16 where we came out on those numbers. And again,
17 this being a draft.

18 Why don't I go through it all, then people
19 can comment on it and give us --

20 The groups within the -- this is as mentioned
21 yesterday -- the groups within the panel would
22 work separately, but as a control we'd give the
23 same case to both groups and see how they came
24 out on it -- hopefully they came out the same --
25 for quality control purpose.

1 Case selection was the next topic we tried to
2 cover. The workgroup recommends that the Board
3 should select the cases for review. Again, that
4 was in the presentation yesterday. The workgroup
5 recommends a stratified sampling of cases based
6 on the following parameters:

7 The site -- and when we said by site, we do
8 say weighted based on number of claims per site.
9 And we also felt that we might -- we want to
10 revisit this a little bit, because we didn't know
11 the distribution by sites. We didn't have that
12 data with us yesterday to look at. But at least
13 some parameter based on site, we thought was
14 important. Some percentage of the awarded claims
15 -- that's awarded claims; some percentage of
16 denied claims; some percentage of the cases for
17 which the dose could not be reconstructed, as
18 well.

19 And I just wanted to mention one thing we did
20 consider initially was -- and I think Henry
21 brought it up yesterday -- was the idea of having
22 some sort of appeals process. And if people
23 appeal their dose reconstruction, then we might
24 sample a group, might sample from that group of
25 people that appealed.

1 Larry met with us yesterday about -- that
2 basically reviewing appeals was not a good idea
3 because it's getting into the adjudication
4 process, right. However -- and it's not in our
5 parameters here, but I'm just throwing out there;
6 it's something we discussed, and I still feel
7 like we might want to consider it -- is if we had
8 a group of the appeals pooled and we sampled them
9 on a deidentified basis, it might be a parameter
10 we might want to sample from. And I don't know
11 if that steps over that line, and I would ask for
12 advice on that. But it's something we discussed.
13 It didn't make our recommendation here, but it's
14 something that I was interested in and just
15 wanted to throw it out there for discussion
16 possibly.

17 The workgroup also recommended that the first
18 ten cases which are completed be assessed by the
19 panel. Part of this was we understand, or at
20 least we get the sense, that the first ten cases
21 that are completed are likely to be awarded, and
22 probably low-hanging fruit, if I can use that
23 term. But we thought it might be beneficial at
24 least to get the independent panel, their feet
25 wet on what these cases are going to look like,

1 how much time may be involved. Although these
2 may be simpler cases, it was a starting point to
3 get the panel engaged on these cases. So that
4 was a recommendation.

5 Finally, the scope and protocol. The
6 workgroup recommends that the Board establish the
7 scope of work and the protocols for the panel.
8 The workgroup recommends that the scope include
9 the following:

10 One -- and this was not in our presentation
11 yesterday, but it came from comments -- the panel
12 should assess the methods for dose
13 reconstructions. And that comes from the statute
14 where there were actually two items, two tasks.

15 Second, the panel should determine whether or
16 not the dose reconstruction -- or the
17 reconstruction of the dose provides a reasonable
18 estimate of the dose, at least as needed to
19 determine eligibility.

20 Three, the panel should determine whether or
21 not the assumptions, individual case assumptions
22 or assumptions applicable to multiple cases, made
23 for the dose reconstruction are credible.

24 And finally, the panel should determine
25 whether or not the data from DOE or other source

1 is of sufficient quality necessary to obtain a
2 reasonable estimate of dose. All right.

3 And I think that's it. That's what we boiled
4 things down to as a start of the recommendation
5 for this.

6 **DR. ZIEMER:** This recommendation, in essence,
7 comes to the full Board as a recommended
8 procedure for the Board to use in going forward.
9 Keep in mind that if it is adopted it can be
10 modified at any time. This is not set in stone
11 forever. It could be viewed as a starting
12 procedure, that we would expect as we gained
13 experience to modify, add to, change, and so on.

14 Further, this is not a recommendation to the
15 Secretary or anything like that. This is an
16 internal document.

17 **MR. GRIFFON:** We feel --

18 **DR. ZIEMER:** The existence of a procedure to
19 do this could, of course, be reported to the
20 Secretary as part of our ongoing work, and the
21 fact that this is being done.

22 But I guess what I would ask the Board today
23 is are you ready to adopt this now, or do you
24 feel like you need more time to look at it, again
25 keeping in mind you could adopt this today and

1 change it at the next meeting, or modify it?
2 This is not a once for all thing.

3 **DR. MELIUS:** I would suggest that we do adopt
4 it, recognizing that there will be some changes
5 along the way. At the next meeting the workgroup
6 is going to be going over some of the records,
7 and may deal with some of the procedural issues
8 in more detail and so forth. But at the same
9 time I think, since some outside consultants need
10 to be hired and we know that's going to take some
11 time, that we get started on this.

12 So I really think we should try to adopt
13 these recommendations at this meeting so that we
14 can at least get that part of the process going,
15 have a basic understanding of the parameters of
16 the review, and so through the August meeting
17 we'll be able to get underway a little bit more
18 with this process.

19 **DR. ZIEMER:** Thank you.
20 Wanda.

21 **MS. MUNN:** I guess I'm not really wild about
22 what we're seeing here. I think an objective
23 reader could probably, with appropriate selection
24 of a few numbers, work into two FTEs for the next
25 year, given this. And maybe that's a part of the

1 objective. I don't know.

2 I'm really concerned, first of all, that any,
3 for example, search for outside consultants has
4 to come from somewhere. Whether this Board is
5 expected to do this or whether this is going to
6 fall on staff again, while they're out there
7 trying to expedite all this other stuff that
8 we're asking them to do, go out and also do a
9 worldwide search for the appropriate experts to
10 fit on here.

11 And I had thought that our earlier
12 discussions had focused around the possibility of
13 a very small number of cases being overviewed,
14 with perhaps a couple of experts and possibly one
15 member of this Board. I was a little surprised
16 to see two Board members and a hired gun being
17 proposed.

18 I understand -- I think I understand -- what
19 the workgroup is trying to do here. But I really
20 have to express some reservations about the
21 extent of what I think I see here.

22 **DR. ZIEMER:** Larry, do you want to comment on
23 that?

24 And Mark, you may wish to respond.

25 **MR. ELLIOTT:** I appreciate your comments,

1 Wanda, but I am very pleased to see this. I
2 think that we need to have this, because it falls
3 upon us at NIOSH to put in place the support to
4 the Board and these contractors. And the sooner
5 we can get started on that, the sooner the Board
6 can start its review of dose reconstructions.
7 And I don't see that's an inordinate amount of
8 resources that's being requested here. I think
9 it's an appropriate amount at this time, and
10 certainly can be modified as we go forward, as
11 needed.

12 I would also like to make sure that you
13 understand that the first ten cases that are
14 going to be completed that we're working on now,
15 they are the low-hanging fruit, but they're both
16 extremes. So the first ten are going to
17 represent awards and denials -- we think. We
18 think --

19 **UNIDENTIFIED:** Parenthetically, it might be
20 the easier ones, then, right?

21 **MR. ELLIOTT:** We think. We don't know how
22 they're all going to shake out, and which of the
23 first ten is going to be really representative.
24 But we're working on those that we think are
25 going to be awards, or compensable and non-

1 compensable cases.

2 And the last thing I'd like to comment on is
3 your -- what didn't make this list. I would just
4 ask you -- I know the workgroup took to heart
5 what Mary had to say. And I would point to the
6 fact that you are looking at denied cases, and in
7 those denied cases you are going to see some that
8 represent those that go forward for appeals.

9 That, I think, should be sufficient to attend to
10 your interest about what an appealed denial looks
11 like versus a denial that somebody just said,
12 okay, I accept it. So I would ask you to make
13 sure you consider Mary's advice and counsel on --

14 **MR. GRIFFON:** Well, I actually think we, as a
15 group, I think the majority was in that opinion.
16 And that's why I presented it kind of as a
17 minority. And I'm not sure where I come down on
18 it yet. I just wanted to leave it on the table a
19 little bit, and partially because -- Henry
20 introduced that concept, so it did come up as a
21 comment yesterday from the Board, and so I didn't
22 want to just rule it out from there.

23 Also partially because I felt like maybe that
24 was at least some indirect way that we were
25 paying attention to those that did appeal the

1 process, without stepping over the bounds of the
2 adjudicatory process. That was another thought
3 in my mind, was that it was a way -- while we are
4 sampling from -- we may not be -- denials, but if
5 we could say we were sampling from appeals that
6 may still not satisfy that individual that
7 appealed, because we may not get his or her case.
8 But it was sort of one way to pay attention
9 specifically to that subset of denials. I hear
10 what you're saying, but --

11 The other thing I wanted to respond to was --
12 well, two things. One, I think that I just want
13 clarification. I think Wanda's question about
14 who is going to find these experts, and we have
15 been going around on this, and who are going to
16 be the available pool of experts that can do this
17 work. But I think that the Board -- it is a
18 Board task to identify the experts. It's NIOSH's
19 role to contract with them, certainly. But I
20 think if this panel's to have independent
21 expertise to review NIOSH, I think we have to
22 make sure that these are our picks, the Board's
23 picks. I think that's a very important
24 distinction in defining independence for this
25 panel. I'll leave it at that.

1 Then the other question about the amount of
2 work and the two full-time equivalents, Wanda, we
3 specifically -- because we had this discussion,
4 too. And part of the reason we left out in
5 yesterday's presentation, I put down a tiered
6 approach of different levels at which we might
7 review cases. And we just said, geez, at that
8 third level, the most in-depth level, it's
9 getting into a lot of work. And before we can
10 even get down into those kind of protocols, we
11 thought it wise to go to NIOSH and review some
12 real cases and see actually what the magnitude of
13 what we're asking for is.

14 So I thought that we tried to stick to the
15 broad scope in protocol rather than -- but we
16 still want to define, and that's where this would
17 just be a first draft of a procedure or
18 something, but we want to further define
19 protocols. And then I think the Board will
20 respond to those protocols as well.

21 **DR. ZIEMER:** Mark, in presenting this you
22 didn't explicitly recommend its adoption. But I
23 think that was implied in the presentation, and
24 since this is a subgroup of the Board that's
25 recommending its adoption that becomes an

1 official motion. I'm going to consider it as
2 such.

3 It doesn't require a second, since it's from
4 an official body of the Board. And we've already
5 had some discussion, but adoption of this
6 protocol as a procedure for moving forward is
7 officially on the table for discussion.

8 Further -- Jim.

9 **DR. MELIUS:** I have another plan. It's not
10 directly relevant to -- concerning the motion.
11 So we can either do it now or do it later, but
12 one -- so stop me if you want to, into this. It
13 shouldn't take long.

14 One way around this dilemma, this getting
15 involved in an appeals process and so forth, is
16 that there's certainly also -- there's a back and
17 forth that goes on between NIOSH and the claimant
18 during the dose reconstruction process. And
19 there'd be awareness on the part of the NIOSH
20 staff that there's some dispute over some of the
21 factual information, or there may be a
22 particularly difficult technical issue involved
23 in the dose reconstruction or whatever.

24 It would seem to me that there should be a
25 way for NIOSH to refer some of these issues into

1 this review Board group to look at in a way that
2 would address these, short of the appeals process
3 and staying out of that appeals process. And I
4 think that may be a way of also helping with the
5 credibility of the process. Because if there is
6 this kind of issue that's in dispute, or sort of
7 new area or whatever, conflicting approaches or
8 whatever, that having -- the Board having
9 reviewed it as part of the process, I think, may
10 be helpful.

11 And I'd like -- I guess I would request that
12 Larry and Jim and other people sort of explore
13 ways of doing that, again keeping us out of the
14 appeals process.

15 **DR. ZIEMER:** Right, you want to be sure that
16 we're simply reviewing the process, and not part
17 of the process.

18 **MR. ELLIOTT:** I guess that would be my
19 concern. I appreciate your comment, Jim, and I
20 think it merits our consideration and discussion.
21 But we do want to do that. You're to review
22 completed dose reconstructions. And I don't know
23 if that really -- we need to talk about that. We
24 need to get general counsel's advice on that as
25 well.

1 **DR. ZIEMER:** Well, again, as experience is
2 gained, we'll have some further insights.

3 **DR. NETON:** I would point out, in a random
4 sampling process you're going to run across
5 these, I guess what you'd consider contentious
6 dose reconstructions, because the administrative
7 record that is associated with all of these cases
8 has every single piece of correspondence and
9 transmittal and whatever we've done in that
10 administrative record. So you will, on a random
11 basis at least, tend to run into these cases in
12 your sampling.

13 **DR. MELIUS:** I guess it's when they're
14 contentious in a technical way or something, not
15 as -- as opposed to -- I think that's what we're
16 trying to get at, process for you to access us,
17 because those are the ones where the credibility
18 of the process is more at stake than -- if
19 somebody's going to appeal --

20 **DR. ZIEMER:** Well, and there may be issues
21 that can be brought to the Board in a generic
22 fashion that are triggered by a particular --

23 **DR. MELIUS:** Right.

24 **MR. ELLIOTT:** It may not be claim-specific,
25 but methodologic issue-specific.

1 **DR. MELIUS:** Yeah.

2 **MR. ELLIOTT:** Maybe that's the way to get at.
3 But it's something we need -- we certainly should
4 look at, and I agree. But I'm worried about --
5 we can't violate this what we consider to be the
6 development of the claim and the administrative
7 record that goes forward, and that's what you
8 need to review as a completed dose
9 reconstruction.

10 **DR. ZIEMER:** Roy has a comment.

11 **DR. DEHART:** Can I call for the vote? I'm
12 having to leave.

13 **DR. ZIEMER:** Yeah. The question's been
14 called for. I'm going to take that as an
15 informal call for the question.

16 **DR. DEHART:** Yes, it is.

17 **DR. ZIEMER:** We're not going to vote on
18 limiting debate.

19 All who favor adopting this procedure, say
20 aye.

21 (Ayes respond)

22 **DR. ZIEMER:** All opposed, say no.

23 (No response)

24 **DR. ZIEMER:** The procedure is adopted.

25 Thank you very much, Mark, and the working

1 group for that.

2 **MR. ELLIOTT:** If I could make one more
3 comment, and that is the surrounding -- I
4 appreciate the Board's need to be independent and
5 identify, but it's a procurement issue. So we're
6 going to have to work together on how we put that
7 in place. There are certain ways we can do sole
8 source, and there's certain ways we can't do sole
9 source. We also have to wait and see what this
10 pool of available remaining experts looks like.

11 **DR. ZIEMER:** As the Chair packs up his things
12 to catch a plane, I'm going to ask for a motion
13 to adjourn.

14 **MR. GRIFFON:** Motion to adjourn.

15 **DR. MELIUS:** We all want to spend time
16 discussing that.

17 (Laughter)

18 **DR. ZIEMER:** All in favor will head out.

19 (Whereupon, the meeting was adjourned at
20 3:58 p.m.)

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C E R T I F I C A T E

STATE OF GEORGIA)
)
 COUNTY OF DEKALB)

I, KIM S. NEWSOM, being a Certified Court Reporter in and for the State of Georgia, do hereby certify that the foregoing transcript, consisting of 270 pages, was reduced to typewriting by me personally or under my direct supervision, and is a true, complete, and correct transcript of the aforesaid proceedings reported by me.

I further certify that I am not related to, employed by, counsel to, or attorney for any parties, attorneys, or counsel involved herein; nor am I financially interested in this matter.

WITNESS MY HAND AND OFFICIAL SEAL this 23rd day of July, 2002.

 KIM S. NEWSOM, CCR-CVR
 CCR No. B-1642

(SEAL)