Infection Control Assessment and Response (ICAR) Tool for General Infection Prevention and Control (IPC) Across Settings

Section 3: Observation Form - Transmission-Based Precautions (TBP)

Transmission-Based Precautions (TBP): This form is intended to guide TBP facility (pages 2-5) and healthcare personnel (pages 6-11) observations. This form is intended primarily for use in acute care facilities and long-term care facilities. **Pages 12-16 can be used to conduct a targeted assessment of practices in outpatient healthcare facilities.**

Note: Transmission-Based Precautions should be used in addition to Standard Precautions. Additional information on precautions can be found in Guidelines for Isolation Precautions: Preventing Transmission of Infectious Agents in Healthcare Settings (https://www.cdc.gov/infectioncontrol/pdf/guidelines/isolation-guidelines-H.pdf)

Transmission-Based Precautions ICAR Interview Questions (Section 2 Module 3) and Observation Forms for other IPC topics (Section 3) are available on the ICAR web page: https://www.cdc.gov/hai/prevent/infection-control-assessment-tools.html



Transmission-Based Precautions (TBP) Facility Observations

This section is intended to guide direct observations of how the facility is implementing many of the policies and practices discussed in Section 2 Module 3. If available, observe at least three rooms under TBP and if possible, observe more than one type of TBP being used (e.g., observe one room under Droplet and one under Contact Precautions). However, the reason for performing the ICAR may also inform where observation time is best spent such as focusing on Contact Precautions rooms in the setting of a multidrug-resistant organism outbreak.

Ideally this section is completed by directly observing this information; however, if this is not possible, interviewing healthcare personnel to obtain this information can also be informative. Whether information was collected through direct observation or via interview should be noted during data collection.

For each observed room, select the type(s) of TBP utilized and then select all the listed elements that are present. An unmarked box should indicate that the element was not present. If an element could not be observed or endorsed by interview of healthcare personnel, this should be indicated in the provided notes section.

Location #1	Unit:	Room:	# occupied beds in room:
Direct obs. of ele	ements Intervi	ew of frontline HCP	
TBP Type (select all t	hat apply):		
Contact Dr	oplet Airborne	Enhanced Barrier	Other (specify):
Waste receptacl exiting room	ent at entry ies of gowns and glov e readily available for and sanitizer (ABHS)	ves stocked at room ent doffing PPE prior to is readily available for	If >1 patient or resident in room Clear separation between patient/resident care areas (e.g., a privacy curtain) Personnel doff gown and gloves and clean hands when moving between patients/residents Enough space (3 feet) exists between beds to allow for clinical care to occur from either side of the bed
Waste receptacl immediately up	ent at entry ies of masks stocked a e readily available for	doffing PPE	If >1 patient or resident in room Clear separation between patient/resident care areas (e.g., a privacy curtain) Personnel clean hands when moving between patients/residents Enough space (3 feet) exists between beds to allow for clinical care to occur from either side of the bed
Room door is ke Waste receptacl protection outs If reusable supp dedicated area	ent at entry ies of respirators stock ept closed e readily available for	doffing of respiratory are used, there is a fection	If >1 patient or resident in room Clear separation between patient/resident care areas (e.g., a privacy curtain) Personnel clean hands when moving between patients/residents Enough space (3 feet) exists between beds to allow for clinical care to occur from either side of the bed
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Other (specify):			
type of precaution) Signage is prese Adequate suppl stocked at room Waste receptacl exiting room	ent at entry ies of PPE necessary f entry e readily available for and sanitizer (ABHS) i		If >1 patient or resident in room Clear separation between patient/resident care areas (e.g., a privacy curtain) Personnel clean hands when moving between patients/residents Enough space (3 feet) exists between beds to allow for clinical care to occur from either side of the bed Other (specify):
lotes			

Location #2	Unit:		Room:	# occupied beds in room:
Direct obs. of e	elements	Intervie	w of frontline HCP	
TBP Type (select all	that apply):			
Contact [Oroplet	Airborne	Enhanced Barrier	Other (specify):
Waste recepta exiting room	sent at entry plies of gowi cle readily av hand sanitiz	ns and glove vailable for o	es stocked at room en doffing PPE prior to readily available for	If >1 patient or resident in room Clear separation between patient/resident care areas (e.g., a privacy curtain) Personnel doff gown and gloves and clean hands when moving between patients/residents Enough space (3 feet) exists between beds to allow for clinical care to occur from either side of the bed
Droplet (Select all to Signage is pres Adequate supp Waste receptad immediately un ABHS readily a	sent at entry plies of mask cle readily av pon room ex	s stocked at railable for c	loffing PPE	If >1 patient or resident in room Clear separation between patient/resident care areas (e.g., a privacy curtain) Personnel clean hands when moving between patients/residents Enough space (3 feet) exists between beds to allow for clinical care to occur from either side of the bed
Room door is I Waste recepta protection out	sent at entry plies of respir kept closed cle readily av sside the roo plies (e.g., P a for cleaning	ators stocke vailable for o m. APR/CAPR) a g and disinfe		If >1 patient or resident in room Clear separation between patient/resident care areas (e.g., a privacy curtain) Personnel clean hands when moving between patients/residents Enough space (3 feet) exists between beds to allow for clinical care to occur from either side of the bed
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Other (specify):				
signage is presented. Signage is presented. Adequate supply stocked at room. Waste receptage exiting room.	sent at entry plies of PPE r m entry cle readily av hand sanitiz	necessary fo	r specified TBP doffing PPE prior to readily available for	If >1 patient or resident in room Clear separation between patient/resident care areas (e.g., a privacy curtain) Personnel clean hands when moving between patients/residents Enough space (3 feet) exists between beds to allow for clinical care to occur from either side of the bed Other (specify):
Notes				
AOLES				

Location #3	Unit:	R	oom:	# occupied beds in room:
Direct obs. of e	lements	Intervie	w of frontline HCP	
TBP Type (select all t				
Contact D	roplet <i>l</i>	Airborne	Enhanced Barrier	Other (specify):
Waste receptace exiting room	ent at entry lies of gown le readily av	s and glove ailable for d	s stocked at room ent offing PPE prior to readily available for	If >1 patient or resident in room Clear separation between patient/resident care areas (e.g., a privacy curtain) Personnel doff gown and gloves and clean hands when moving between patients/residents Enough space (3 feet) exists between beds to allow for clinical care to occur from either side of the bed
Droplet (Select all the Signage is prese Adequate suppl Waste receptace immediately up ABHS readily av	ent at entry lies of masks le readily avo oon room ex	stocked at r ailable for d it	offing PPE	If >1 patient or resident in room Clear separation between patient/resident care areas (e.g., a privacy curtain) Personnel clean hands when moving between patients/residents Enough space (3 feet) exists between beds to allow for clinical care to occur from either side of the bed
protection outs	ent at entry lies of respira ept closed le readily av side the roor olies (e.g., PA for cleaning	ators stocked ailable for d n. .PR/CAPR) a and disinfe	offing of respiratory re used, there is a ction	If >1 patient or resident in room Clear separation between patient/resident care areas (e.g., a privacy curtain) Personnel clean hands when moving between patients/residents Enough space (3 feet) exists between beds to allow for clinical care to occur from either side of the bed
Waste receptac exiting room	ent at entry lies of gowns le readily ava nand sanitize	and gloves ailable for d	stocked at room entry offing PPE prior to readily available for	If >1 resident in room Clear separation between resident care areas (e.g., a privacy curtain) Personnel doff gown and gloves and clean hands when moving between residents Enough space (3 feet) exists between beds to allow for clinical care to occur from either side of the bed
Other (specify):				
exiting room	ent at entry lies of PPE n n entry le readily ava	ecessary for ailable for d		If >1 patient or resident in room Clear separation between patient/resident care areas (e.g., a privacy curtain) Personnel clean hands when moving between patients/residents Enough space (3 feet) exists between beds to allow for clinical care to occur from either side of the bed Other (specify):
lotes				

Transmission-Based Precautions Healthcare Personnel (HCP) Observations

This section is intended to guide direct observations of HCP utilizing the necessary PPE by TBP type. Standard Precautions should always be implemented in addition to Transmission-Based Precautions. For example, if inserting a peripheral IV catheter into a patient on Airborne Precautions, gloves (Standard Precautions) in addition to a respirator (Airborne Precautions) should be used and documented by the ICAR facilitator.

In general, these observations should be conducted covertly (i.e., HCP are not aware they are being observed), and the ICAR facilitator should collect as many observations as feasible across a variety of HCP types and care units. While the ICAR facilitator should aim to observe as many of the listed elements as possible, often times, only partial observations can be made such as only observing a HCP don but not doff PPE. However, this can still provide valuable information on overall IPC practices in a facility.

These observations are largely intended for facilities utilizing conventional PPE practices. More information regarding PPE optimization strategies can be found here: https://www.cdc.gov/coronavirus/2019-ncov/hcp/ppe-strategy/index.html

In addition, the order of listed donning and doffing practice observations are not intended to suggest a specific donning or doffing sequence. A suggested donning and doffing sequence to include ways to doff PPE while limiting self-contamination can be found at the following link: https://www.cdc.gov/HAI/pdfs/ppe/ppeposter148.pdf

f Prec	cautions:		Hand h	ygiene (HH) pri	or to donning	:
ntact oplet borne ner (s <i>p</i>	Standard		Soa _l No I	ohol-rub p and water HH done er (<i>specify</i>):	Not observ	ed
ls F	PPE donned correctly? Note: The order of ob	servations is not in	ntended to	suggest a donnii	ng sequence as	this may vary.
	Gown fully covers torso from neck to knees arms to end of wrists, and wrap around back		Yes	No	N/A	Not observed
b)	Gown is tied per manufacturer recommend	lation	Yes	No	N/A	Not observed
c)	Gloves cover the wrist of the gown		Yes	No	N/A	Not observed
d)	Eye protection fully covers eyes on all sides		Yes	No	N/A	Not observed
e)	Facemask covers nose and mouth		Yes	No	N/A	Not observed
f)	Respirator fits snugly to face below the chir	n	Yes	No	N/A	Not observed
	Select if Not all recommended PPE w					
	PPE doffed correctly Note: The order of obser		nded to su	uggest a doffing s	equence as this	s may vary.
	Gloves removed prior to room exit or before moving to a roommate	e	Yes	No	N/A	Not observed
-	Gloves removed in manner that limited self-contamination		Yes	No	N/A	Not observed
c)	Gown removed prior to room exit or before moving to a roommate		Yes	No	N/A	Not observed
-	Gown removed in a manner that limited self-contamination		Yes	No	N/A	Not observed
	Eye protection is removed by handling head band or earpieces		Yes	No	N/A	Not observed
f)	Facemask is removed by touching only the	straps	Yes	No	N/A	Not observed
۵,۱	Respirator is removed by pulling bottom strover head, followed by top strap after room		Yes	No	N/A	Not observed
	and hygiene (HH) after doffing PPE:					
				Not observed		
	Alcohol-rub Soap and water	No HH done				
	Alcohol-rub Soap and water Other (specify):					

Contact Enhanced Barrier Standard Standard Soap and water No HH done Other (specify): Is PPE donned correctly? Note: The order of observations is not intended to suggest a donning sequence as this may vary. a) Gown fully covers torso from neck to knees, arms to end of wrists, and wrap around back	Contact Enhanced Barrier Standard Soap and water Not observed Standard Soap and water Not Hth done Other (specify):	ICP Type:					
Airborne Other (specify): Is PPE donned correctly? Note: The order of observations is not intended to suggest a donning sequence as this may vary. a) Gown fully covers torso from neck to knees, arms to end of wrists, and wrap around back b) Gown is tied per manufacturer recommendation c) Gloves cover the wrist of the gown d) Eye protection fully covers eyes on all sides PE acemask covers nose and mouth Yes No N/A Not observed f) Respirator fits snugly to face below the chin Select if Not all recommended PPE worn, list missing items: Is PPE doffed correctly Note: The order of observations is not intended to suggest a doffing sequence as this may vary. a) Gloves removed prior to room exit or before moving to a roommate Yes No N/A Not observed b) Gloves removed in manner that limited self-contamination Yes No N/A Not observed d) Gown removed prior to room exit or before moving to a roommate Yes No N/A Not observed d) Gown removed prior to room exit or before moving to a roommate Yes No N/A Not observed e) Eye protection is removed by handling head band or earpieces f) Facemask is removed by pulling bottom strap over head, followed by top strap after room exit No HH done Not observed Hand hygiene (HH) after doffing PPE: Alcohol-rub Soap and water No HH done Not observed	Airborne Other (specify): Is PPE donned correctly? Note: The order of observations is not intended to suggest a donning sequence as this may vary. a) Gown fully covers torso from neck to knees, arms to end of wrists, and wrap around back b) Gown is tied per manufacturer recommendation c) Gloves cover the wrist of the gown d) Eye protection fully covers eyes on all sides e) Facemask covers nose and mouth f) Respirator fits snugly to face below the chin Select if Not all recommended PPE worn, list missing items: Is PPE doffed correctly Note: The order of observations is not intended to suggest a doffing sequence as this may vary. a) Gloves removed prior to room exit or before moving to a roommate b) Gloves removed prior to room exit or before moving to a roommate c) Gown removed prior to room exit or before moving to a roommate c) Gown removed prior to room exit or before moving to a roommate c) Gown removed prior to room exit or before moving to a roommate e) Eye protection is removed by handling head band or earpieces f) Facemask is removed by touching only the straps yes No N/A Not observed g) Respirator is removed by pulling bottom strap over head, followed by top strap after room exit No HIH done Not observed Other (specify): Soap and water No HIH done Other (specify):			•	•	_	
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NOTE: This Section is Intended to be Used for a Targeted Assessment Of Practices in Outpatient Healthcare Facilities

Observation of PPE use as part of Standard & Transmission-Based Precautions in Outpatient Settings

Standard Precautions should always be implemented in addition to Transmission-Based Precautions. For example, if inserting a peripheral IV catheter into a patient on Airborne Precautions, gloves (Standard Precautions) in addition to a respirator (Airborne Precautions) should be used and documented by the ICAR facilitator.

Conduct as many observations as possible during visit.

In general, the ICAR facilitator should conduct these observations without notifying the healthcare worker that they are being observed. Ideally all questions are completed but partial observations can still be useful. These observations are intended for facilities utilizing conventional PPE capacity (https://www.cdc.gov/coronavirus/2019-ncov/hcp/ppe-strategy/index.html).

e of Precautions:		Hand h	nygiene (HH) pı	ior to donnin	g:
Droplet Airborne	andard	Soa No	ohol-rub ip and water HH done ner (<i>specify</i>):	Not obse	rved
Is PPE donned	d correctly? Note: The order of observations	is not intended to	suggest a donnir	ng sequence as	this may vary.
	covers torso from neck to knees, d of wrists, and wrap around back	Yes	No	N/A	Not observed
b) Gown is tie	ed per manufacturer recommendation	Yes	No	N/A	Not observed
c) Gloves cov	er the wrist of the gown	Yes	No	N/A	Not observed
d) Eye protect	tion fully covers eyes on all sides	Yes	No	N/A	Not observed
e) Facemask o	covers nose and mouth	Yes	No	N/A	Not observed
f) Respirator	fits snugly to face below the chin	Yes	No	N/A	Not observed
Is PPE doffed	correctly Note: The order of observations is	not intended to suc	naest a doffina s	eauence as thi	s may yary
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	noved prior to room exit noved in manner that limited nination	Yes	No No	N/A N/A	Not observed Not observed
b) Gloves rem	oved in manner that limited	Yes	No	N/A	Not observed
b) Gloves removed self-containc) Gown removed	noved in manner that limited nination oved prior to room exit oved in a manner that limited	Yes	No No	N/A N/A	Not observed
b) Gloves remself-contantc) Gown remoself-contante) Eye protect	noved in manner that limited nination oved prior to room exit oved in a manner that limited	Yes Yes Yes	No No No	N/A N/A N/A	Not observed Not observed Not observed
b) Gloves rem self-contantc) Gown remote self-contante) Eye protect head band	noved in manner that limited nination oved prior to room exit oved in a manner that limited nination tion is removed by handling	Yes Yes Yes Yes	No No No	N/A N/A N/A N/A	Not observed Not observed Not observed Not observed
 b) Gloves rem self-contant c) Gown remodes elf-contant e) Eye protect head band f) Facemask i g) Respirator 	noved in manner that limited mination oved prior to room exit oved in a manner that limited mination tion is removed by handling or earpieces	Yes Yes Yes Yes Yes	No No No No	N/A N/A N/A N/A	Not observed Not observed Not observed Not observed Not observed
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head band or earpieces Ye	es No	N/A	Not observed
f) Facemask is removed by touching only the straps Ye	es No	N/A	Not observed
 g) Respirator is removed by pulling bottom strap over head, followed by top strap after room exit 	es No	N/A	Not observed
Hand hygiene (HH) after doffing PPE Alcohol-rub Soap and water No HH done Not observed Other (specify):			
es			

Is PPE donned correctly? Note: The order of observation a) Gown fully covers torso from neck to knees, arms to end of wrists, and wrap around back b) Gown is tied per manufacturer recommendation c) Gloves cover the wrist of the gown d) Eye protection fully covers eyes on all sides e) Facemask covers nose and mouth f) Respirator fits snugly to face below the chin Select if Not all recommended PPE worn, list Is PPE doffed correctly Note: The order of observations a) Gloves removed prior to room exit	Soa No Oth	ohol-rub up and water HH done uer (specify): suggest a donnin No No No No No No No	Not obser	
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Select if Not all recommended PPE worn, list				Not observed
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	Yes	No	N/A	Not observed
 b) Gloves removed in manner that limited self-contamination 	Yes	No	N/A	Not observed
c) Gown removed prior to room exit	Yes	No	N/A	Not observed
 d) Gown removed in a manner that limited self-contamination 	Yes	No	N/A	Not observed
 e) Eye protection is removed by handling head band or earpieces 	Yes	No	N/A	Not observed
f) Facemask is removed by touching only the straps	Yes	No	N/A	Not observed
g) Respirator is removed by pulling bottom strap over head, followed by top strap after room exit	Yes	No	N/A	Not observed
Hand hygiene (HH) after doffing PPE Alcohol-rub Soap and water No HH done Not observed Other (specify):				
3				

e of Precautions: Contact Standard Concilet Standard Complet Soap and water Not observed Soap and water Not HII done Other (specify): Is PPE donned correctly? Note: The order of observations is not intended to suggest a donning sequence as this may vary. a) Gown fully covers torso from neck to knees, arms to end of wrists, and wrap around back Yes No N/A Not observed b) Gown is tied per manufacturer recommendation Yes No N/A Not observed d) Eye protection fully covers eyes on all sides Yes No N/A Not observed e) Facemask covers nose and mouth Yes No N/A Not observed f) Respirator fits snugly to face below the chin Yes No N/A Not observed Select if Not all recommended PPE worn, list missing items: Is PPE doffed correctly Note: The order of observations is not intended to suggest a doffing sequence as this may vary. a) Gloves removed prior to room exit Yes No N/A Not observed b) Gloves removed in manner that limited self-contamination Yes No N/A Not observed d) Gown removed prior to room exit Yes No N/A Not observed d) Gown removed prior to room exit Yes No N/A Not observed f) Gown removed prior to room exit Yes No N/A Not observed g) Respirator is removed by handling head band or earpieces No N/A Not observed f) Facemask is removed by touching only the straps Yes No N/A Not observed g) Respirator is removed by touching only the straps Yes No N/A Not observed g) Respirator is removed by touching only the straps Yes No N/A Not observed g) Respirator is removed by touching only the straps Yes No N/A Not observed Other (specify):	Contact Standard Alcohol-rub Soap and water Soap and water Not Holdone Other (specify): Oth	Туре:					
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es	es	Alcohol-rub Soap and water No HH done Not observed					
		25					

Precautions:	Hand h	nygiene (HH) pı	ior to donnin	g:
ntact Standard oplet porne ner (<i>specify</i>):	Soa No	ohol-rub p and water HH done er (<i>specify</i>):	Not obse	rved
Is PPE donned correctly? Note: The order of observations is	not intended to	suggest a donnir	ng sequence as	this may vary.
a) Gown fully covers torso from neck to knees, arms to end of wrists, and wrap around back	Yes	No	N/A	Not observed
b) Gown is tied per manufacturer recommendation	Yes	No	N/A	Not observed
c) Gloves cover the wrist of the gown	Yes	No	N/A	Not observed
d) Eye protection fully covers eyes on all sides	Yes	No	N/A	Not observed
e) Facemask covers nose and mouth	Yes	No	N/A	Not observed
f) Respirator fits snugly to face below the chin	Yes	No	N/A	Not observed
Select if Not all recommended PPE worn, list mis		110	14/71	Not observed
	33111g ICC1113.			
Is PPE doffed correctly <i>Note: The order of observations is no</i>	ot intended to sug	ggest a doffing s	equence as thi	s may vary.
a) Gloves removed prior to room exit	Yes	No	N/A	Not observed
b) Gloves removed in manner that limited self-contamination	Yes	No	N/A	Not observed
c) Gown removed prior to room exit	Yes	No	N/A	Not observed
d) Gown removed in a manner that limited self-contamination	Yes	No	N/A	Not observed
e) Eye protection is removed by handling head band or earpieces	Yes	No	N/A	Not observed
f) Facemask is removed by touching only the straps	Yes	No	N/A	Not observed
g) Respirator is removed by pulling bottom strap over head, followed by top strap after room exit	Yes	No	N/A	Not observed
Hand hygiene (HH) after doffing PPE Alcohol-rub Soap and water No HH done Not observed Other (specify):				